



**DUBLIN SAN RAMON SERVICES DISTRICT
Board of Directors**

NOTICE OF REGULAR MEETING

TIME: 6:00 p.m.
PLACE: Regular Meeting Place
7051 Dublin Boulevard, Dublin, CA

DATE: Tuesday, December 15, 2015

AGENDA

(NEXT RESOLUTION NO. 94-15)

(NEXT ORDINANCE NO. 338)

Our mission is to provide reliable and sustainable water and wastewater services to the communities we serve in a safe, efficient and environmentally responsible manner.

BUSINESS:

REFERENCE

<u>Recommended Action</u>	<u>Anticipated Time</u>
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1. CALL TO ORDER
2. PLEDGE TO THE FLAG
3. ROLL CALL – Members: Duarte, Halket, Howard, Misheloff, Vonheeder-Leopold
4. SPECIAL ANNOUNCEMENTS/ACTIVITIES
5. PUBLIC COMMENT (MEETING OPEN TO THE PUBLIC)

At this time those in the audience are encouraged to address the Board on any item of interest that is within the subject matter jurisdiction of the Board and not already included on tonight’s agenda. Comments should not exceed five minutes. Speakers’ cards are available from the District Secretary and should be completed and returned to the Secretary prior to addressing the Board. The President of the Board will recognize each speaker, at which time the speaker should proceed to the lectern, introduce him/herself, and then proceed with his/her comment.

6. REPORTS
 - A. Reports by General Manager and Staff
 - Event Calendar
 - Correspondence to and from the Board
 - B. Agenda Management (consider order of items)
 - C. Committee Reports
None

- | | | |
|--|-------------------------------------|----------------------|
| 7. <u>APPROVAL OF MINUTES</u> - Special Meeting of
<i>November 30, 2015</i> | Executive
Services
Supervisor | Approve
by Motion |
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BUSINESS:

REFERENCE

<u>Recommended Action</u>	<u>Anticipated Time</u>
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8. **CONSENT CALENDAR**

Matters listed under this item are considered routine and will be enacted by one Motion, in the form listed below. There will be no separate discussion of these items unless requested by a Member of the Board of Directors or the public prior to the time the Board votes on the Motion to adopt.

A.	Approve Director Attendance at 2016 Meetings and Conferences	General Manager	Approve by Motion
B.	Approve Director Reimbursements for Travel and Meetings for 2016	General Manager	Approve by Motion
C.	Notification of 2016 Ethics Training Opportunities for Boardmembers in Compliance with AB 1234 (Government Code Section 53235 (f))	General Manager	Approve by Motion
D.	Approve Amendment to the Capital Improvement Plan and Budget to Add the EBMUD-DSRSD Potable Water Intertie Upgrade Project	Engineering Services Manager	Approve by Resolution
E.	Notice of Rejection of Claim – Mr. Daryl Alejandro	General Manager	Reject by Motion
F.	Accept the Following Regular and Recurring Reports: District Financial Statements, Warrant List, and Upcoming Board Business	General Manager	Accept by Motion

9. **BOARD BUSINESS**

A.	Review and Discuss Drought Management Program	General Manager	Discuss & Provide Direction	5 min
B.	Accept Water Supply and Demand and Drought Response Action Plan Status Reports and Find that the Need for the Community Drought Emergency Still Exists	General Manager	Accept by Motion	5 min
C.	Accept Financial Statements for Year Ended June 30, 2015 with Independent Auditors' Report	General Manager	Accept by Motion	15 min
D.	Authorize Task Order No. OC-19 to the Master Consulting Agreement with Carollo Engineers for Design Services for the DERWA Recycled Water Treatment Plant – Phase 2 (CIP 16-R014) and the DERWA Pump Station R1 – Phase 2 (CIP 16-R015)	Engineering Services Manager	Approve by Motion	10 min

BUSINESS:

REFERENCE

		<u>Recommended Action</u>	<u>Anticipated Time</u>
E.	Approve Board Committees for Calendar Year 2016	Board President	Approve by Motion 5 min
10.	<u>BOARDMEMBER ITEMS</u>		
	<ul style="list-style-type: none"> • Submittal of Written Reports from Travel and Training Attended by Directors 		
11.	<u>CLOSED SESSION</u>		
A.	Conference with Legal Counsel – Anticipated Litigation. Significant exposure to litigation pursuant to paragraph (2) of subdivision (d) of Government Code Section 54956.9: One case. Receipt of claim from Daryl Alejandro pursuant to the Government Claims Act (Government Code §§810-996.6)		5 min
B.	Conference with Legal Counsel - Existing Litigation Pursuant to subdivision (d)(1) of Section 54956.9: (Hendrix; Cameron; Schroen; Under Seal v. Under Seal)		10 min
C.	Conference with Labor Negotiators– Pursuant to Government Code Section 54957.6 Agency Negotiators: John Archer, General Manager Employee Organizations: 1. Mid-Management Employees Bargaining Unit 2. Professional Employees Bargaining Unit 3. Stationary Engineers, Local 39 Additional attendees: Michelle Gallardo, Human Resources Supervisor Carl P.A. Nelson, General Counsel		10 min
12.	<u>REPORT FROM CLOSED SESSION</u>		
13.	<u>ADJOURNMENT</u>		

All materials made available or distributed in open session at Board or Board Committee meetings are public information and are available for inspection at the front desk of the District Office at 7051 Dublin Blvd., Dublin, during business hours, or by calling the District Secretary at (925) 828-0515. A fee may be charged for copies. District facilities and meetings comply with the Americans with Disabilities Act. If special accommodations are needed, please contact the District Secretary as soon as possible, but at least two days prior to the meeting.

**DUBLIN SAN RAMON SERVICES DISTRICT
MINUTES OF A SPECIAL MEETING OF THE BOARD OF DIRECTORS**

November 30, 2015

1. CALL TO ORDER

A special meeting of the Board of Directors was called to order at 6:00 p.m. by President Edward R. Duarte.

2. PLEDGE TO THE FLAG

3. ROLL CALL

Boardmembers present at start of meeting:

President Edward R. Duarte, Vice President D.L. (Pat) Howard, Director Richard M. Halket, Director Georgan M. Vonheeder-Leopold, and Director Madelyne A. Misheloff.

District staff present: John Archer, General Manager/Treasurer; Dan McIntyre, Engineering Services Manager; Dan Gallagher, Operations Manager; Carl P.A. Nelson, General Counsel; and Nicole Genzale, Executive Services Supervisor/District Secretary.

4. SPECIAL ANNOUNCEMENTS/ACTIVITIES

General Manager Archer reported that he, President Duarte, Vice President Howard, and General Counsel Nelson will attend the ACWA Fall Conference beginning tomorrow in Indian Wells. President Duarte has been designated as the District's voting delegate. Vice President Howard and Director Vonheeder-Leopold will attend the CASA Winter Conference January 20-22, 2016 in Palm Springs. Director Vonheeder-Leopold has been designated as the District's voting delegate.

5. PUBLIC COMMENT (MEETING OPEN TO THE PUBLIC) – 6:03 p.m.

There was no public comment received.

6. REPORTS

A. Reports by General Manager and Staff

- Event Calendar – General Manager/Treasurer Archer reported on the following:
 - o The Wise Water User Credit, a component of the District's Drought Management Program, has been calculated and the total amount of credits comes to approximately \$7,700. These credits will appear on eligible customers' bills.
 - o The District's Human Resources Division has been quite busy with the recruitment and hiring of 10 new staff members since mid-calendar year. Recruitment efforts will continue for some time as several positions will still need to be filled.

- o The DSRSD/Pleasanton Liaison Committee meeting will be held on Monday December 14, 2015 at 5:00 p.m. at the City of Pleasanton offices.
- Correspondence to and from the Board on an Item not on the Agenda

Date	Format	From	To	Subject	Response
11/18/15	Email	wndigirl	DSRSD Board	Employee Issue	Handle internally as appropriate
11/25/15	Email	Jason Bezis,, Livermore Heritage Guild	DSRSD Clerk or Custodian of Records	Request for records for I-680 Freeway Opening Ceremony 12/1/65	District Secretary will respond and advise to contact Director Vonheeder-Leopold as Dublin City Historian

B. Agenda Management (consider order of items) – No changes were made

C. Committee Reports

Technical Affairs Committee
Financial Affairs Committee

November 17, 2015
November 17, 2015

President Duarte invited comments on recent committee activities. Directors felt the available staff reports adequately covered the many matters considered at committee meetings and made a few comments about some of the committee activities.

7. APPROVAL OF MINUTES – Regular Meeting of *November 17, 2015*

V.P. Howard MOVED for the approval of the November 17, 2015 minutes with the correction of Boardmember attendees at the November 17, 2015 Committee meetings. Director Vonheeder-Leopold SECONDED the MOTION, which CARRIED with FIVE AYES.

8. CONSENT CALENDAR - None

9. BOARD BUSINESS

A. Discuss Drought Management Program

General Manager Archer reported that this is a standing agenda item intended for discussion by the Board, staff and the public on the District’s Drought Management Program.

Operations Manager Gallagher reported that, beginning next week, the residential filling station hours will be reduced to Wednesday/Saturday/Sunday 9:00 a.m. – 3:00 p.m. due to less demand. He shared that 27.8 million gallons have gone home with users this calendar year, with a grand total of approximately 30 million gallons taken since last year.

Mr. Gallagher and Engineering Services Manager McIntyre also gave an update on the recycled water project for west Dublin, which is progressing well. Service is expected to be activated in December or January.

No members of the public addressed the Board on this topic.

The Board did not direct staff to develop any changes to the program.

- B. Hold Public Hearing: Determine if the Public Interest in Disclosure Clearly Outweighs the Public Interest in Nondisclosure of Street Addresses and Utility Usage Data with Customers who have Violated Water Use Limitations

General Manager Archer reported that this item is being held due to two recent public records requests from the San Francisco Chronicle and the Bay Area News Group asking for water limitation violators' information, including customer names, street addresses, water consumption usage data, and types of violations including applicable fines. Staff responded to the requests and provided customer names, city of residence, and types of violations with applicable fines. Per past practice, staff did not release customer street addresses, nor water consumption usage data out of a concern for customer privacy and safety. Tonight, the Board needs to determine whether or not if public disclosure of this particular information outweighs public interest in nondisclosure.

President Duarte declared the Public Hearing open.

No members of the public addressed the Board on this topic.

Hearing no public comment, Direct Halket MOVED to close the Public Hearing. V.P. Howard SECONDED the MOTION, which CARRIED with FIVE AYES.

The Board briefly discussed the matter of whether to release street addresses and water consumption usage data of customers who have violated Water Use Limitations as requested in the Public Records Act requests from the San Francisco Chronicle of October 27, 2015 and Bay Area News Group of November 9, 2015m in addition to the information previously provided, which information was included in the materials for this item.

Director Halket MOVED that the Board find that it is in the public interest to disclose the requested information for those customers who have violated Water Use Limitations and determine that such disclosure clearly outweighs the public interest in nondisclosure. V.P. Howard SECONDED the MOTION, which FAILED with FIVE NOES.

The Board then directed staff to exclude street addresses and water consumption usage data in responses to subsequent Public Records Act requests for customer records. The Board expressed that should a similar request be brought to the

Board in the future, it is very unlikely that the Board would determine to release this information, unless the District was failing to achieve required water use reductions during a drought. The Board added that the Drought Management Program was designed to encourage compliance with water conservation, not to invade customer privacy or to shame customers who violate the District's water use limitations.

C. Select Investment and Funding Strategy - OPEB Biennial Valuation Report

General Manager Archer reviewed the item and provided a slide presentation regarding the District's Other Post-Employment Benefits (OPEB), which are the medical and dental benefits for retirees, the potential investment strategies available through the California Employers' Retiree Benefit Trust (CERBT), which is the District's OPEB fund administrator, and the findings and recommendations of the draft 2015 actuarial report completed by consultant Bickmore Risk Services. Notable changes include a lowering of long-term rate of return from PERS, and explicit and implicit subsidy of retiree premiums. The District's OPEB fund is currently funded at 96.6 %, which means the District will receive a credit from the trust it has been paying into due to overpayment of premiums.

The Board and staff discussed the current District's process and status of the OPEB investment, the strategies presented, and the outlook and options for future investment.

No members of the public addressed the Board on this topic.

Director Misheloff MOVED to select investment Strategy 1 offered by California Employers' Retiree Benefit Trust Program (CERBT) and authorize funding of annual required contributions for FYE2016 and FYE2017 for the purposes of finalizing the biennial actuarial valuation report for Other Post-Employment Benefits (OPEB). Director Vonheeder-Leopold SECONDED the MOTION, which CARRIED with FIVE AYES.

D. Review Status of General Manager Recruitment Process

General Manager Archer reviewed the item and asked the Board for feedback regarding the draft General Manager recruitment announcement brochure and the recruitment timeline.

No members of the public addressed the Board on this topic.

The Board discussed the brochure and agreed that consultant Heather Renschler, from Ralph Andersen & Associates, created a quality product that captured well the feedback the Boardmembers previously provided. The Board also discussed and agreed to the proposed recruitment advertisement period of December 1, 2015

through January 22, 2016, as well as the tentative schedule proposed for the recruitment and selection process.

To confirm the Board's direction, Director Vonheeder-Leopold MOVED to Approve the General Manager Announcement Brochure and the Recruitment and Selection Process Schedule as Presented. Director Misheloff SECONDED the MOTION, which CARRIED with FIVE AYES.

E. Selection of President and Vice President of the Board of Directors for 2016

President Duarte opened the floor for nominations for the 2016 Board of Directors.

No members of the public addressed the Board on this topic. There were no nominations other than those discussed below.

Director Vonheeder-Leopold NOMINATED and MOVED to ELECT Vice President Howard as the 2016 Board President, effective at the conclusion of the current meeting. Director Halket SECONDED the MOTION, which CARRIED with FIVE AYES.

Director Vonheeder-Leopold NOMINATED and MOVED to ELECT Director Halket as the 2016 Board Vice President. V.P. Howard SECONDED the MOTION, which CARRIED with FIVE AYES.

General Counsel Nelson reaffirmed for the Boardmembers that based on the Election and Rotation of Board Officers policy, eligibility for the office of President and Vice President occurs after one year from the date of election to the Board. Per this policy, Director Misheloff will not be eligible to rotate into an officer role on the Board while an appointee.

10. BOARDMEMBER ITEMS

Director Vonheeder-Leopold submitted a written report to Executive Services Supervisor Genzale. She reported that she attended the Alameda County Special Districts Association meeting on November 18, 2015 at the Alameda County Mosquito Abatement District. She summarized the activities and discussions at the meetings.

Director Misheloff thanked Operations Manager Gallagher and Field Operations Supervisor Clint Byrum for conducting her recent tour of the District's facilities and reported that it was very informative and interesting.

V.P. Howard presented outgoing President Duarte an engraved plaque of appreciation for his admirable and steadfast service as the District's Board President during 2015.

President Duarte thanked the Board amidst a round of applause.

11. ADJOURNMENT

President Duarte expressed his hope that the Board and staff had an enjoyable Thanksgiving holiday.

He adjourned the meeting at 6:57 p.m.

The President's gavel was conceded to newly elected President Howard following adjournment.

Submitted by,

Nicole Genzale
Executive Services Supervisor



Reference General Manager	Type of Action Approve Meeting Attendance	Board Meeting of December 15, 2015
Subject Approve Director Attendance at 2016 Meetings and Conferences		
<input checked="" type="checkbox"/> Motion	<input type="checkbox"/> Minute Order	<input type="checkbox"/> Resolution
<input type="checkbox"/> Ordinance	<input type="checkbox"/> Informational	<input type="checkbox"/> Other
REPORT:	<input type="checkbox"/> Verbal	<input type="checkbox"/> Presentation
<input checked="" type="checkbox"/> Staff	J. Archer	<input type="checkbox"/> Board Member

Recommendation:

The General Manager recommends the Board of Directors approve, by Motion: (a) attendance by the Directors at any required in-person ethics training that satisfies the ethics training requirements of AB 1234; and, (b) attendance by the Directors at meetings, conferences, training sessions or Committee or Board meetings on which the District Director is a member for the organizations listed below and that are held in 2016:

- o Association of California Water Agencies (ACWA held in CA and February Washington D.C. Conference);
- o California Association of Sanitation Agencies (CASA held in CA and February Washington D.C. Conference);
- o California Special Districts Association (State & Alameda and Contra Costa County Chapters);
- o WateReuse Association (State and National – when held in CA); and
- o California Sanitation Risk Management Authority (CSRMA).

Summary:

In response to the passage of SB 135 (Kehoe) and AB 1234 (Salinas), the Board has adopted a Day of Service policy. Under the Day of Service policy and by law, a Director is eligible for a day of service payment for attending a meeting not noticed under the Brown Act (such as is the case with conferences and training) only when pre-approval is given by the Board of Directors and a written report is delivered to the Board at the next Board meeting following the event. The policy itself identifies a number of organizations whose meetings are eligible for a Day of Service payment, but payment is not limited to meetings of those organizations. Furthermore, some of the organizations listed in the policy are no longer active.

In an effort to more efficiently manage and ensure compliance with the law and the policy, and to provide a “big picture” overview of meetings to be attended by Directors throughout the year, the Board traditionally takes one action to approve attendance of Directors at conferences and meetings associated with the organizations listed above. This action will make Directors eligible for a Day of Service payment, provided all other policy requirements have been met. Attendance at events of organizations not listed above will be subject to individual Board approval. This action permits payment for attendance at mandated ethics training classes, but does not allow payment if ethics training is completed on-line.

This action is consistent with the Board action for 2015 meetings and conferences.

The Day of Service policy is attached for reference purposes.

Committee Review			Legal Review	Staff Review		
COMMITTEE ---	DATE ---	RECOMMENDATION ---	Not Required	ORIGINATOR J. Archer	DEPARTMENT Executive	REVIEWED BY
ATTACHMENTS <input type="checkbox"/> None						
<input type="checkbox"/> Resolution	<input type="checkbox"/> Minute Order	<input type="checkbox"/> Task Order	<input type="checkbox"/> Staff Report	<input type="checkbox"/> Ordinance		
<input checked="" type="checkbox"/> Cost \$146 per Director per Day of Service	<input checked="" type="checkbox"/> Funding Source A. Various depending on meeting B.		Attachments to S&R 1. Day of Service Policy 2. 3.			



POLICY

Dublin San Ramon Services District

Policy No.:	P100-14-2	Type of Policy:	Board Business
Policy Title:	Day of Service		
Policy Description:	Definition of a Compensable Day of Service for a Director		

Approval Date:	February 18, 2014	Last Review Date:	2014
Approval Resolution No.:	9-14	Next Review Date:	2018
Rescinded Resolution No.:	3-13	Rescinded Resolution Date:	February 19, 2013

It is the policy of the Board of Directors of Dublin San Ramon Services District:

That each Director shall exhibit good judgment in the matter of compensation for service, and shall have proper regard for the propriety and economy of conducting District business.

1. Compensation for Days of Service

Directors may, upon submittal of the District's monthly Board of Director's Timesheet, receive an amount of compensation not to exceed that approved by the Board of Directors. The amount of compensation shall be set in accordance with Water Code section 20200 *et seq.*, as amended. Compensation shall be earned for each day's service rendered as a member of the Board, and cannot exceed a total of ten [10] days in any calendar month. Except for the Board and Committee meetings described in subsections 2 a and b of this policy, in order to be eligible for compensation for a day of service, the Board must have previously approved the Director's representation of the District, and the Director must deliver a written report to the Board regarding his or her attendance at a qualifying event at the next regular Board meeting following the qualifying event. If the written report is not submitted at the next regular Board meeting following the qualifying event the Director is no longer eligible for and shall not be paid compensation for the day(s) of service associated with the qualifying event. (Gov. Code. Section 61047, subdivisions (e)(2) through (e)(5).)

2. Services Eligible for Compensation

The following activities are eligible for compensation as a day of service:

- a. Attendance in a policy maker role at District meetings noticed in accordance with the Brown Act (Government Code sec. 54950 *et seq.*). This is specifically limited to:
 - Regular Board Meetings
 - Special Board Meetings
 - Adjourned Regular Board Meetings
 - Regular, Adjourned, and Special Meetings of Board Standing Committees, including Liaison Committees
 - Emergency Meetings of the Board or a Standing Committee

- b. Attendance in a policy maker role at meetings of a Joint Powers Authority (JPA) of which the District is a Member and that have been noticed in accordance with the Brown Act (Government Code sec. 54950 *et seq.*), including:
 - Regular JPA Meetings
 - Special JPA Meetings
 - Adjourned Regular JPA Meetings
 - Regular, Adjourned, and Special Meetings of Standing Committees of the JPA, including Liaison Committees
 - Emergency Meetings of the JPA or a Standing Committee of the JPA

JPA's, include but are not limited to LAVWMA, DERWA, WateReuse Finance Authority, Union Sanitary District Financing Authority, or CSRMA.

- c. Attendance at professional, technical, and trade association meetings, conferences, (and the board and/or committee meetings of these groups for which the Director is a member of the board and/or a committee) activities, and organized educational activities, training sessions and events, including but not limited to ethics training pursuant to Government Code section 53234 *et seq.*, as it may be amended from time to time, including but not limited to CASA, CSRMA, ACWA, CSDA, Sanitation and Water Agencies of Contra Costa County, EDAB, WateReuse and NWRI. Travel on the day before or the day after the activity shall not be eligible for compensation as a day of service.

- d. As a principal speaker, panel member or representing the District in an official capacity at a public event, service club, homeowner association, chamber of commerce or other business or neighborhood group including meetings with neighbors of the wastewater treatment facilities (when those meetings are open and held at the wastewater treatment plant).

- e. Representation of the District at a public meeting or public hearing of another public agency (e.g., Board of Supervisors, City Council, LAFCO, SWRCB, RWQCB, BAAQMD) at which that agency has agendaized business that involves the District.

3. Activities and/or Events Ineligible for Compensation

- a. Compensation will only be paid for one day of service if a Director attends two or more meetings or activities on one day which meetings are otherwise separately eligible for compensation.
- b. Compensation will not be paid for attendance at a meeting of an ad hoc or advisory committee of the Board that does not have a continuing subject matter jurisdiction or a meeting schedule fixed by formal action of the Board.
- c. Compensation will not be paid for attendance at meeting of a Standing or Liaison Committee of the Board on which the Director does not serve.
- d. Compensation will not be paid for any meeting with District staff unless the meeting is a Board or Committee meeting noticed pursuant to the Brown Act.

4. Review and Approval

Principal responsibility for compliance with this policy rests with each Director. The General Manager shall review Director's timesheets and written reports to determine conformance with this policy prior to approving payment. If a Director disagrees with the General Manager's determination, the Director submitting the timesheet shall refer the matter to the President of the Board (or Vice President if the timesheet is that of the President) for consideration. The submittal of a timesheet by a Director shall be deemed an acknowledgement by that Director that the timesheet, in the exercise of his or her judgment, complies with the terms of this policy, that any required approval of the Board was obtained in a timely manner, that any required written report has been submitted, and that the Director has considered any issues that the General Manager has identified. If the matter is referred to the Board President (or the Vice President as the case might be), they shall approve the timesheet unless they believe it substantially deviates from this policy. Directors may appeal any timesheet disapproved by the President or Vice President by submitting the matter to the Board as an agenda item at a regular meeting not later than 60 days after the day for which reimbursement is requested.

5. Contents of Written Reports

Written reports submitted in accordance with this Policy shall consist of one or more of the following:

- A handwritten report of the Director's activities;
- A typewritten report of the Director's activities;
- Copies of agendas for the qualifying event;
- Copies of handouts distributed at the qualifying event;
- Copies of business cards from contacts made by the Director;
- Copies of notes the Director took while attending the qualifying event;
- Certificates the Director received for attending the qualifying event;
- Remarks made at the qualifying event if a Director was a speaker (bullet points or script);
- Other similar written materials.

All materials should give a member of the public a sense of the business purpose of the qualifying event as well as the Director's role at the qualifying event.

6. Administration

The General Manager shall administer this policy and shall institute appropriate accounting and control procedures to ensure the policy is being followed.

7. Previous Policies Superseded

This policy supersedes all previously adopted District policies related to compensation for a day of service by a Director.



Reference General Manager	Type of Action Approve Director Reimbursements	Board Meeting of December 15, 2015
Subject Approve Director Reimbursements for Travel and Meetings for 2016		
<input checked="" type="checkbox"/> Motion	<input type="checkbox"/> Minute Order	<input type="checkbox"/> Resolution
<input type="checkbox"/> Ordinance	<input type="checkbox"/> Informational	<input type="checkbox"/> Other
REPORT:	<input type="checkbox"/> Verbal	<input type="checkbox"/> Presentation
<input checked="" type="checkbox"/> Staff	J. Archer	<input type="checkbox"/> Board Member

Recommendation:

The General Manager recommends the Board of Directors, by Motion, find that Director attendance at the following activities and/or events are in the best interest of the District and approve reimbursements for Director expenses incurred in connection with Director attendance at the following activities and/or events held in 2016:

- o Travel expenses associated with attendance at meetings noticed under the Brown Act;
- o Meetings of all organizations for which a Director is eligible for a Day of Service; and
- o Dublin and San Ramon Chamber of Commerce monthly lunch meetings.

Summary:

In response to the passage of SB 135 (Kehoe) and AB 1234 (Salinas), the Board has adopted a Director Travel and Expenses policy. The Director Travel and Expenses policy provides that attendance at, and travel by, Directors on District business within California, for which a Director is eligible for a Day of Service, is pre-approved for the reimbursement of expenses. That same policy goes on to state that Director expenses incurred in connection with activities and/or events that are not eligible for compensation under the Day of Service policy may, with prior Board approval, qualify for reimbursement. The policy states that such events include the activities of civic and charitable organizations that are determined by the Board to be in the best interest of the District. Expenses incurred in connection with political activities or events are not eligible for reimbursement.

In an effort to more efficiently manage and ensure compliance with these policies, and to provide a “big picture” overview of expenses to be incurred by Directors throughout the year, staff is recommending the Board of Directors take one action to pre-approve Director expenses at the events listed above. This action will allow the Directors’ expenses to be reimbursed, provided all other policy requirements are met.

The Director Travel and Expenses policy is attached for reference purposes.

Committee Review			Legal Review	Staff Review		
COMMITTEE ---	DATE ---	RECOMMENDATION ---	Not Required	ORIGINATOR J. Archer	DEPARTMENT Executive	REVIEWED BY
ATTACHMENTS <input type="checkbox"/> None						
<input type="checkbox"/> Resolution	<input type="checkbox"/> Minute Order	<input type="checkbox"/> Task Order	<input type="checkbox"/> Staff Report	<input type="checkbox"/> Ordinance		
<input checked="" type="checkbox"/> Cost Est. \$25-75/local event; \$1,500/ event in state; \$2,500/ event out of state	<input checked="" type="checkbox"/> Funding Source A. Various depending on event B.		Attachments to S&R 1. Director Travel and Expenses policy 2. 3.			



POLICY

Dublin San Ramon Services District

Policy No.:	P100-13-2	Board Business
Type of Policy:		
Policy Title:	Director Travel and Expenses	
Policy Description:	Reimbursement of travel and related expenses incurred by Directors while on District business	

Approval Date:	February 19, 2013	Last Review Date:	2013
Approval Resolution No.:	4-13	Next Review Date:	2017
Rescinded Resolution No.:	18-09	Rescinded Resolution Date:	June 16, 2009

It is the policy of the Board of Directors of the Dublin San Ramon Services District:

1. That each Director shall exhibit good judgment in the matter of travel and incidental expenses, and shall have proper regard for the propriety and economy of conducting District business;
2. To reimburse reasonable and actual travel and/or incidental expenditures of Directors incurred when they are on District business.
3. That travel to and attendance at conferences and meetings by Directors shall be consistent with the approved budget of the District.
4. That all travel by Directors on District business shall be pre-approved by the Board. Travel on District business within the States of California and Nevada is pre-approved by adoption of this policy; expenses associated with pre-approved travel is subject to the separate approval, limitations and guidelines of this policy
5. That expenses incurred in connection with activities and/or events that are not eligible for compensation under the Day of Service policy may, with prior Board approval, qualify for reimbursement under this policy. Such events include activities of civic and charitable organizations that are determined by the Board to be in the best interest of the District. Expenses incurred in connection with partisan or nonpartisan political activities or events are not eligible for reimbursement.
6. That expenditures of Directors for travel shall be allowed in general accordance with the following criteria:

- Registration Fees: A registration or similar fee charged by the organizers of any conference, convention, or meeting is allowable.
 - Lodging: Amounts equivalent to the cost of (conference rate if available at the time of booking and if one has been established) a standard single occupancy room at the hotel or motel at which the conference or meeting is held or comparable nearby lodging are allowable.
 - Transportation:
 - Air Travel: Amounts equivalent to the cost of coach class round-trip airfare from Oakland, San Jose, Sacramento or San Francisco to an airport reasonably near the destination are allowable.
 - Rental Cars: The cost of a mid-size rental car at a destination is allowable.
 - Private Automobiles: Travel to and from a destination more than 15 miles from the District office using private automobiles is allowable at the IRS mileage rate. This applies so long as the amount so calculated does not exceed the cost of pre-purchased round-trip coach class airfare plus ground transportation that would be incurred for the same trip. If that is the case, the expense shall be limited to the cost of pre-purchased round-trip coach class airfare plus estimated ground transportation. When more than one District official travels in the same private automobile, allowance will be made to only the owner or lessor of the vehicle used. No credit for airfare or the avoided cost of a second automobile shall be paid to the second person. If the General Manager determines that air transportation is not feasible due to work schedules, time involved or other similar reasons, an allowance for the use of a private automobile shall be determined on the basis of the IRS mileage rate and shall not be subject to the limitation specified herein.
 - Tolls and Parking: Tolls, parking and other similar charges are allowable; parking shall be at “non-valet” and “long term” rates when available.
 - Public Transportation / Taxis: Expenses for public transportation or private “for hire” ground transportation at the destination, to and/or from the destination and/or to or from the departure airport are allowable.
 - Meals: Actual and reasonable costs of meals while traveling are allowable up to the limits set herein. Directors shall exercise discretion in the selection of restaurants and when incurring costs for meals. No costs for any alcoholic beverages shall be eligible for reimbursement. A maximum daily reimbursement for meals while traveling is hereby established at \$62. Actual reimbursement including tax and tips will be based upon actual receipts submitted. The maximum daily reimbursement will be reduced in the following amounts to reflect pre-paid meals: \$12 for breakfast, \$16 for lunch and \$34 for dinner. The maximum daily reimbursement shall also be reduced by the amount associated with the meals that a Director would normally have taken on his or her personal behalf before departure or after their return. Receipts for meals shall provide a detailed listing of the charges.
 - Incidental Expenses: Reasonable incidental expenditures related to travel or the conduct of District business in amounts less than \$10.00 per item are allowable without receipt. These include items such as parking at meters, baggage handling, use of hotel fax services, phone charges, etc., and other similar expenses.
7. If a receipt is lost, the Director shall so note that on their expense report along with a brief explanation and their estimate of the expense; in such cases copies of credit card statements and/or checking account charges that show the date and amount of the charge and the payee are acceptable

substitutes. The General Manager shall apply reasonable discretion, as supported by the evidence of the situation, and determine the actual amount to be reimbursed. (Example: a Director parks at the Oakland Airport for a three day trip but loses the parking receipt and paid cash. A reasonable estimate would be parking in Long Term Parking for a period from 90 minutes before scheduled departure of the flight to 60 minutes after actual arrival.)

8. That no reimbursement is allowed for any direct or incremental expenses of family members or guests traveling with a Director. An example of an unallowable incremental expense is the added cost of upgrading a room or a rental car to accommodate a non-District traveling companion.
9. That allowable expenses as specified herein are only reimbursable for the time while the conference, business meeting, or other District business activity is occurring, with reasonable allowance for the need to arrive in advance of or depart after a conference or business meeting.
10. Receipts must be provided prior to reimbursement unless otherwise provided herein.
11. That the principal responsibility for compliance with this policy rests with each Director. The General Manager shall review all expenses submitted for reimbursement for conformance with this policy prior to approving payment. When necessary, the General Manager may exercise reasonable discretion in approving reimbursements related to unusual circumstances that are not in strict accordance with this policy but that in his judgment were necessary and prudent and were incurred while furthering the interests of the District. If a Director disagrees with the General Manager's determination, the Director submitting the reimbursement request shall refer the matter to the President of the Board (or Vice President if the expense sheet is that of the President) for consideration. A Director's reimbursement request shall be deemed an acknowledgement by that Director that the request, in the exercise of his or her judgment, complies with the terms of this policy, that any required approval of the Board was obtained in a timely manner, and that the Director has considered any issues that the General Manager has identified. If the matter is referred to the Board President (or the Vice President as the case might be), they shall approve the request unless they believe it substantially deviates from this policy. Directors may appeal any disapproved reimbursement by the President or Vice President by submitting the matter to the Board as an agenda item at a regular meeting not later than 60 days after the day for which reimbursement is requested.
12. That the General Manager shall administer this policy and shall institute appropriate accounting and control procedures to ensure the policy is being followed.
13. This policy supersedes all previously adopted District policies related to reimbursement for travel and incidental expenses.



Reference General Manager	Type of Action Informational	Board Meeting of December 15, 2015
Subject Notification of 2016 Ethics Training Opportunities for Boardmembers in Compliance with AB 1234 (Government Code Section 53235(f))		
<input checked="" type="checkbox"/> Motion	<input type="checkbox"/> Minute Order	<input type="checkbox"/> Resolution
<input type="checkbox"/> Ordinance	<input type="checkbox"/> Informational	<input type="checkbox"/> Other
REPORT:	<input type="checkbox"/> Verbal	<input type="checkbox"/> Presentation
<input checked="" type="checkbox"/> Staff	J. Archer	<input type="checkbox"/> Board Member

Recommendation:

The General Manager recommends the Board of Directors, by Motion, acknowledge receipt of the information contained herein related to ethics training as required in Government Code Section 53235(f).

Summary:

Assembly Bill 1234 (AB 1234) passed into law in 2005 and now referenced as Government Code Section 53235(a), specifies in part:

“If a local agency provides any type of compensation salary, or stipend to a member of a legislative body, or provides reimbursement for actual and necessary expenses incurred by a member of a legislative body in the performance of official duties, then all local agency officials shall receive training in ethics pursuant to this article.”

With the passage of AB 1234, Directors are required to receive ethics training at a program approved by the Fair Political Practices Commission (FPPC) every two years. For newly-elected Directors, such training shall be received within one year of assuming office. Directors who previously attended ethics training must meet the ethics training requirement within 24 months of their last training date. Attached is a summary of when each Boardmember must next complete their ethics training.

Ethics training is most easily and cost-effectively accomplished by completing the on-line training made available through the FPPC website at no charge at: www.fppc.ca.gov. Training is also frequently provided by ACWA, CASA, or CSDA at one of their conferences.

Ethics training opportunities may be available from other organizations if none of the above accommodates a Director’s schedule. In that case, the Directors may contact the District Secretary to obtain scheduling information to complete the required training.

This agenda item serves as formal notification to all Directors of the necessity of attending the ethics training. Government Code Section 53235(f) requires a local agency to provide information on available ethics training on an annual basis.

Committee Review			Legal Review	Staff Review		
COMMITTEE ---	DATE ---	RECOMMENDATION ---	Not Required	ORIGINATOR N. Genzale	DEPARTMENT Executive	REVIEWED BY
ATTACHMENTS <input type="checkbox"/> None						
<input type="checkbox"/> Resolution	<input type="checkbox"/> Minute Order	<input type="checkbox"/> Task Order	<input type="checkbox"/> Staff Report	<input type="checkbox"/> Ordinance		
<input checked="" type="checkbox"/> Cost Estimate \$500	<input checked="" type="checkbox"/> Funding Source A. 900 B.		Attachments to S&R 1. Summary of Current Ethics Training Deadlines 2. 3.			

SUMMARY OF CURRENT ETHICS TRAINING DEADLINES

Director	Required Completion Date for Ethics Training
Edward R. Duarte	January 14, 2017
Richard M. Halket	May 22, 2016
Dwight L. (Pat) Howard	May 7, 2016
Madelyne A. Misheloff	October 6, 2016
Georgian M. Vonheeder-Leopold	August 20, 2016



Reference Engineering Services Manager	Type of Action Amend CIP Plan and Budget	Board Meeting of December 15, 2015
Subject Approve Amendment to the Capital Improvement Plan and Budget to Add the EBMUD-DSRSD Potable Water Intertie Upgrade Project		
<input type="checkbox"/> Motion	<input type="checkbox"/> Minute Order	<input checked="" type="checkbox"/> Resolution
<input type="checkbox"/> Ordinance	<input type="checkbox"/> Informational	<input type="checkbox"/> Other
REPORT:	<input type="checkbox"/> Verbal	<input type="checkbox"/> Presentation
	<input checked="" type="checkbox"/> Staff	D. McIntyre
		<input type="checkbox"/> Board Member

Recommendation:

The Engineering Services Manager recommends the Board of Directors approve, by Resolution, an amendment to the Capital Improvement Program Ten-Year Plan for Fiscal Years Ending 2016 through 2025 and Two-Year Budget for Fiscal Years Ending 2016 and 2017 to add the EBMUD-DSRSD Potable Water Intertie Upgrade Project, and approve an appropriation from the Water Replacement fund in the amount of \$177,000 for the project.

Summary:

The District is exploring the potential transfer of water from the Yuba County Water District or another source via East Bay Municipal Utility District (EBMUD) to Dublin San Ramon Services District (DSRSD) in 2016 to provide an emergency water supply. There are two temporary potable water interties between the EBMUD and DSRSD systems. These interties are made operational for short periods of time during emergencies by connecting the two systems with short hoses. This method of making the interconnections active is appropriate in short-term emergencies. However, it is not operationally suitable for a significant water transfer because it may take several months of continuous operation to transfer water from the EBMUD system to the DSRSD system. To facilitate the planned water transfer, at least one of the interties, located at the intersection of Davona Drive (Dublin) and Alcosta Boulevard (San Ramon), will need to be upgraded with a permanent plumbed connection and replacement of a pressure reducing station between the two utilities' systems. Moreover, it may be necessary to make a permanent interconnection improvement at the second intertie location at the intersection of Southwick Drive (Dublin) and Alcosta Boulevard (San Ramon). The necessity for the second improvement at Southwick Drive will be determined by final engineering analysis prior to construction.

This project is for DSRSD to improve the interconnection of one or both of the EBMUD intertie(s), construct underground water piping, valves, backflow preventer and meter so that an appropriate connection is created. Staff intends to complete this work by late spring 2016 so that the intertie will be ready for an emergency water transfer between DSRSD and EBMUD.

The estimated cost of the planning, design and construction is \$177,000. The project will be funded by the Water Replacement fund (Fund 610).

Committee Review			Legal Review	Staff Review		
COMMITTEE ---	DATE ---	RECOMMENDATION ---	No	ORIGINATOR J. Yee	DEPARTMENT Engineering	REVIEWED BY
ATTACHMENTS <input type="checkbox"/> None						
<input checked="" type="checkbox"/> Resolution	<input type="checkbox"/> Minute Order	<input type="checkbox"/> Task Order	<input type="checkbox"/> Staff Report	<input type="checkbox"/> Ordinance		
<input checked="" type="checkbox"/> Cost \$177,000	<input checked="" type="checkbox"/> Funding Source A. Water Replacement (Fund 610) B.		Attachments to S&R 1. 2. 3.			

RESOLUTION NO. _____

RESOLUTION OF THE BOARD OF DIRECTORS OF DUBLIN SAN RAMON SERVICES DISTRICT AMENDING THE CAPITAL IMPROVEMENT PLAN TEN-YEAR PLAN FOR FISCAL YEARS ENDING 2016 THROUGH 2025 AND TWO-YEAR BUDGET FOR FISCAL YEARS ENDING 2016 AND 2017 TO ADD THE EBMUD-DSRSD POTABLE WATER INTERTIE UPGRADE PROJECT

WHEREAS, the Board of Directors adopted the District's Capital Improvement Program (CIP) Ten-Year Plan for Fiscal Years Ending 2016 through 2025 and Two-Year Budget for Fiscal Years Ending 2016 and 2017 on June 2, 2015 to serve as a budgetary planning document providing direction and guidance, in accordance with District policies, for the replacement and improvement of existing District facilities and the construction of new facilities; and authorizing the fund appropriations for fiscal years ending 2016 and 2017; and

WHEREAS, the District desires to add a new project, the EBMUD-DSRSD Potable Water Intertie Upgrade, to the current CIP Two-Year Budget to relocate and construct an underground water intertie for an emergency water transfer between districts.

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF DIRECTORS OF DUBLIN SAN RAMON SERVICES DISTRICT, a public agency located in the Counties of Alameda and Contra Costa, California, as follows:

1. The Capital Improvement Program Ten-Year Plan for Fiscal Years Ending 2016 through 2025 and Two-Year Budget for Fiscal Years Ending 2016 and 2017 is hereby amended to include the EBMUD-DSRSD Potable Water Intertie Upgrade project, attached hereto and incorporated herein as Exhibit "A."
2. An appropriation from the Water Replacement fund (Fund 610) for the EBMUD-DSRSD Potable Water Intertie Upgrade project is made in the amount of \$177,000.

Res. No. _____

ADOPTED by the Board of Directors of Dublin San Ramon Services District, a public agency in the State of California, Counties of Alameda and Contra Costa, at its regular meeting held on the 15th day of December 2015, and passed by the following vote:

AYES:

NOES:

ABSENT:

D. L. (Pat) Howard, President

ATTEST: _____
Nicole Genzale, District Secretary

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<i>CIP Budget FYEs 16 and 17</i>	EBMUD-DSRSD Potable Water Intertie Upgrade	
<i>New</i>	CIP #:	Water Replacement Fund (610)

Category: Water System

Project Manager: Jackie Yee

PURPOSE AND DESCRIPTION

This project is to improve the interconnection of one or both of the EBMUD intertie(s), construct underground water piping, valves, backflow preventer and meter so that an appropriate connection is created. There are two temporary potable water interties between the EBMUD and DSRSD systems. These interties are made operational for short periods of time during emergencies by connecting the two systems with short hoses. This method of making the interconnections active is appropriate in short term emergencies. However, it is not operationally suitable for a significant water transfer because it may take several months of continuous operation to transfer water from the EBMUD system to the DSRSD system. To facilitate any potential water transfer, at least one of the interties, located at the intersection of Davona Drive (Dublin) and Alcosta Boulevard (San Ramon), will need to be upgraded with a permanent plumbed connection and replacement of a pressure reducing station between the two utilities' systems.

It may also be necessary to make a permanent interconnection improvement at the second intertie location at the intersection of Southwick Drive (Dublin) and Alcosta Boulevard (San Ramon). The current intertie layout would require aboveground piping, fencing and pedestrian detour in order to connect the two systems. The existing EBMUD intertie manhole is located in the sidewalk and would be relocated to avoid obstructing the pedestrian path in the event that DSRSD would need to connect the intertie for several months in order to convey potable water from EBMUD to DSRSD. By placing the intertie underground, both District's facilities would be out of public view, minimize any potential vandalism and provide a safe pedestrian route. The necessity for the second improvement at Southwick Drive will be determined by final engineering analysis prior to construction.

Staff intends to complete this work by late spring 2016 so that the intertie will be ready for a potential water transfer from Yuba County Water District or another source to DSRSD via EBMUD to provide an emergency water supply.

Impact Analysis:

Anticipated CEQA Requirement: Categorical Exemption [CEQA Guideline 15302].

Reference: Intertie Agreement with EBMUD (A90-17) dated June 19, 1990 and amended April 16, 2007

FINANCIAL OVERVIEW

	Proposed Budget			Actual + Estimated Cash Flow					
	Adopted Budget	Proposed Adjustment	Revised Budget	Actual Thru FYE 2015	Actual FYE 2016 to Date	Estimated Remaining FYE 2016	Estimated FYE 2017	Estimated Future	Total Projected Budget
Planning	0	10,000	10,000	0	0	10,000	0	0	10,000
Design	0	40,000	40,000	0	0	40,000	0	0	40,000
Construction	0	107,000	107,000	0	0	107,000	0	0	107,000
Const Mgmt	0	10,000	10,000	0	0	10,000	0	0	10,000
Admin Mgmt	0	5,000	5,000	0	0	5,000	0	0	5,000
Staff Time	0	5,000	5,000	0	0	5,000	0	0	5,000
Subtotal	0	177,000	177,000	0	0	177,000	0	0	177,000
<i>Other Funding</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>
Net Impact	0	177,000	177,000	0	0	177,000	0	0	177,000

Fund Split Basis: N/A

610	100%	177,000	177,000	177,000	177,000
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NOTES:

New project added to 2-Year CIP Budget for FYEs 2016 and 2017; for Board approval by Resolution on 12/15/2015 Board meeting



Reference General Manager	Type of Action Accept Report(s)	Board Meeting of December 15, 2015
Subject Accept the Following Regular and Recurring Reports: District Financial Statements, Warrant List, Upcoming Board Business		
<input checked="" type="checkbox"/> Motion	<input type="checkbox"/> Minute Order	<input type="checkbox"/> Resolution
<input type="checkbox"/> Ordinance	<input type="checkbox"/> Informational	<input type="checkbox"/> Other
REPORT:	<input type="checkbox"/> Verbal	<input type="checkbox"/> Presentation
	<input checked="" type="checkbox"/> Staff	J. Archer
		<input type="checkbox"/> Board Member

Recommendation:

The General Manager recommends the Board of Directors accept, by Motion, the attached regular and recurring reports.

Summary:

To maximize openness and transparency and to allow the Board to be informed about key aspects of District business and to provide direction when appropriate, the Board directed that various regular and recurring reports be presented for Board acceptance at regular intervals. This item is routinely presented to the Board at the second meeting of each calendar month.

Attachment 1 summarizes the current regular and recurring reports; the actual reports are themselves attachments to Attachment 1. Reports presented this month for acceptance are:

- District Financial Statements;
- Warrant List; and
- Upcoming Board Business.

Committee Review			Legal Review	Staff Review		
COMMITTEE ---	DATE ---	RECOMMENDATION ---	Not Required	ORIGINATOR J. Archer	DEPARTMENT Executive	REVIEWED BY
ATTACHMENTS <input type="checkbox"/> None						
<input type="checkbox"/> Resolution	<input type="checkbox"/> Minute Order	<input type="checkbox"/> Task Order	<input type="checkbox"/> Staff Report	<input type="checkbox"/> Ordinance		
<input checked="" type="checkbox"/> Cost \$0	<input type="checkbox"/> Funding Source A. B.	Attachments to S&R 1. Summary of Regular and Recurring Reports 2. 3.				

ATTACHMENT 1 to S&R
SUMMARY OF REGULAR AND RECURRING REPORTS

Ref.	Description	Frequency	Authority	Last Acceptance	Acceptance at this Meeting?	Next Acceptance
A	Water Supply and Conservation Report ^{1 2}	Monthly	Board Direction	Nov 2015	Yes	Jan 2016
B	District Financial Statements ³					
C	Warrant List					
D	Upcoming Board Business					
E	Low Income Assistance Program Report	Annually. Fiscal Year Cycle	District Code	N/A		July 2016
F	Strategic Work Plan Accomplishments Report			July 2015		
G	Employee Retention Statistics ⁴			July 2015		
H	Outstanding Receivables Report			July 2015		
I	Employee and Director Reimbursements greater than \$100 ⁵			CA Government Code		
J	Board Committee Goal Status Report	Annually, Calendar Year Cycle	Board Direction	Jan 2015		Jan 2016
K	Annual Rate Stabilization Fund Transfer Calculation ⁶			Nov 2015		Nov 2016
L	"No Net Change" Operating Budget Adjustments	As they occur but not more frequently than monthly	Budget Accountability Policy (See Note A)	April 2014		Before end of month after occurrence
M	Capital Outlay Budget Adjustments			April 2015		
N	Capital Project Budget Adjustments			Oct 2014		
O	Unexpected Asset Replacements			Nov 2015		

Note A: For the fiscal year ending 2016, the totals for these reports are as follows:

Category	YTD	This Meeting	Total
"No Net Change" Operating Budget Adjustments	\$0	\$0	\$0
Capital Outlay Budget Adjustments	\$0	\$0	\$0
Capital Project Budget Adjustments	\$0	\$0	\$0
Unexpected Asset Replacements	\$113,032	\$)	\$135,990

¹ Monthly during Community Drought Emergency; monthly during the winter season in non-drought years.

² Separate agenda item presented to Board as a Board Business item during Community Drought Emergency.

³ No Report for the months of June-September, quarterly report (July-September) to be provided in October

⁴ In Jan 2015 administratively moved to FY rather than CY cycle to accommodate data access issues which are reported on CY cycle

⁵ Reimbursements also reported monthly in the Warrant List (Item C).

⁶ Separate agenda item presented to Board as a Board Business item on 11/17/15



Monthly Financial Report

Report Name	Page
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JJD
12/8/15

Dublin San Ramon Services District

Revenue Summary

November 2015

Revenue Source	Budget	Budget to Date	YTD Actual	Amount Remaining	%f Budget Received	% Revenue Expected
Local Sewer Operations	\$ 2,308,980	\$ 824,537	\$ 812,366	\$ 1,496,614	35.18%	35.71%
Regional Sewer Operations	\$ 19,347,168	\$ 5,642,924	\$ 5,204,638	\$ 14,142,530	26.90%	29.17%
Service Charges - Sewer	\$ 21,656,148	\$ 6,467,461	\$ 6,017,003	\$ 15,639,145	27.78%	29.86%
Water Operations	\$ 26,018,368	\$ 7,588,691	\$ 6,969,009	\$ 19,049,359	26.78%	29.17%
Water Expansion	\$ 0	\$ 0	\$ (1,041)	\$ 1,041	100.00%	41.67%
Service Charges - Water	\$ 26,018,368	\$ 7,588,691	\$ 6,967,968	\$ 19,050,400	26.78%	29.17%
Local Sewer Replacement	\$ 296,752	\$ 123,647	\$ 283,190	\$ 13,562	95.43%	41.67%
Local Sewer Expansion	\$ 288,558	\$ 120,232	\$ 275,467	\$ 13,091	95.46%	41.67%
Regional Sewer Replacement	\$ 1,033,114	\$ 430,464	\$ 1,388,754	\$ (355,640)	134.42%	41.67%
Regional Sewer Expansion	\$ 6,820,299	\$ 2,841,791	\$ 9,017,091	\$ (2,196,792)	132.21%	41.67%
Capacity Reserve Fees - Sewer	\$ 8,438,723	\$ 3,516,135	\$ 10,964,502	\$ (2,525,779)	129.93%	41.67%
Water Replacement	\$ 2,046,528	\$ 852,720	\$ 1,731,974	\$ 314,554	84.63%	41.67%
Water Expansion	\$ 5,478,688	\$ 2,282,787	\$ 4,853,480	\$ 625,208	88.59%	41.67%
Capacity Reserve Fees - Water	\$ 7,525,216	\$ 3,135,507	\$ 6,585,454	\$ 939,762	87.51%	41.67%
Fees & Permits	\$ 2,602,355	\$ 1,084,314	\$ 1,815,462	\$ 786,893	69.76%	41.67%
Interest	\$ 1,142,404	\$ 476,002	\$ 415,000	\$ 727,404	36.33%	41.67%
Other Income	\$ 3,944,991	\$ 1,643,746	\$ 1,914,073	\$ 2,030,918	48.52%	41.67%
	<u>\$ 71,328,205</u>	<u>\$ 23,911,855</u>	<u>\$ 34,679,462</u>	<u>\$ 36,648,743</u>	<u>48.62%</u>	<u>33.52%</u>

Note: Interfund transfers and Contributions of Property are excluded from this report.

Dublin San Ramon Services District
Working Capital Summary
November, 2015

Enterprise Funds					
Fund	Dollars (\$)		In Months		
	YTD Actual	Budget	Target (Months)	Last Month	Current Month
Local Enterprise	\$ 982,137	\$ 688,374	4	6.11	5.71
Regional Enterprise	\$ 6,199,215	\$ 5,434,384	4	4.82	4.56
Water Enterprise	\$ 9,253,191	\$ 6,658,940	4	6.91	5.56

Replacement Funds			
Fund	In Dollars (\$)		
	Actual	Minimum	Above (Below)
Local Replacement	\$ 9,727,061	\$ 1,848,086	\$ 7,878,975
Regional Replacement	\$ 18,438,956	\$ 7,205,245	\$ 11,233,711
Water Replacement	\$ 14,847,745	\$ 6,358,930	\$ 8,488,815

Expansion Funds			
Fund	In Dollars (\$)		
	Actual	Minimum	Above (Below)
Local Expansion	\$ 6,872,354	\$ 767,000	\$ 6,105,354
Regional Expansion	\$ 43,387,338	\$ 8,622,594	\$ 34,764,744
Water Expansion	\$ 19,204,003	\$ 12,715,971	\$ 6,488,032

Temporary Infrastructure Charge Status			
Revenue Type	In Dollars (\$)		
	Amount Collected	Amount Repaid	Net
Temporary Infrastructure Charge Status	\$ 8,206,471	\$ (4,212,358)	\$ 3,994,113

Dublin San Ramon Services District

Expense Summary by Fund

November 2015

% of Year Completed= 42%

Expense Summary by Fund	Budget	Budget to Date	Year To Date Actual	Dollars Remaining	Percent Used
200 - Local Sewer Operations	\$ 1,579,486	\$ 658,119	\$ 615,931	\$ 963,556	39.00 %
210 - Local Sewer Replacement	\$ 313,115	\$ 130,465	\$ 32,452	\$ 280,663	10.36 %
220 - Local Sewer Expansion	\$ 708,464	\$ 295,193	\$ 209,507	\$ 498,958	29.57 %
300 - Regional Sewer Operations	\$ 13,631,371	\$ 5,679,738	\$ 5,348,367	\$ 8,283,004	39.24 %
310 - Regional Sewer Replacement	\$ 280,450	\$ 116,854	\$ 148,803	\$ 131,647	53.06 %
320 - Regional Sewer Expansion	\$ 4,737,600	\$ 1,974,000	\$ 1,964,960	\$ 2,772,640	41.48 %
600 - Water Operations	\$ 18,185,879	\$ 7,577,450	\$ 5,520,178	\$ 12,665,701	30.35 %
605 - Water Rate Stabilization Fund	\$ 20,000	\$ 8,333	\$ 6,149	\$ 13,851	30.74 %
610 - Water Replacement	\$ 1,102,554	\$ 459,398	\$ 168,091	\$ 934,463	15.25 %
620 - Water Expansion	\$ 4,764,688	\$ 1,985,287	\$ 1,561,680	\$ 3,203,008	32.78 %
900 - Administrative Overhead	\$ 6,548,195	\$ 2,728,415	\$ 2,097,679	\$ 4,450,516	32.03 %
965 - Other Post Employment Benefits	\$ 782,545	\$ 326,061	\$ 362,267	\$ 420,279	46.29 %
995 - DV Standby Assessment	\$ 1,530,156	\$ 637,565	\$ 9,037	\$ 1,521,120	0.59 %
	<u>\$ 54,184,505</u>	<u>\$ 22,576,877</u>	<u>\$ 18,045,099</u>	<u>\$ 36,139,406</u>	<u>33.30 %</u>

Note: This report shows operating expenses prior to the Administrative Overhead fund's expenses being allocated to the other funds.

Dublin San Ramon Services District
Expense Summary By Department
November 2015

% of Year Completed= 42%

Expense Summary by Department	Budget	Budget To Date	Year To Date Actual	Dollars Remaining	Percentage Used
Executive	\$ 2,361,396	\$ 983,915	\$ 787,155	\$ 1,574,241	33.33%
Financial Services	\$ 4,786,449	\$ 1,994,354	\$ 1,708,609	\$ 3,077,841	35.70%
Engineering	\$ 4,098,295	\$ 1,707,623	\$ 1,327,665	\$ 2,770,630	32.40%
Operations	\$ 14,919,658	\$ 6,216,524	\$ 5,725,397	\$ 9,194,261	38.37%
Non-Departmental	\$ 28,018,707	\$ 11,674,461	\$ 8,496,274	\$ 19,522,433	30.32%
	<u>\$ 54,184,505</u>	<u>\$ 22,576,877</u>	<u>\$ 18,045,099</u>	<u>\$ 36,139,406</u>	<u>33.30%</u>

Dublin San Ramon Services District

Expense Summary by Category

November 2015

% of Year Completed= 42%

Expense Summary by Category	Budget	Budget to Date	Year to Date Actual	Budget Remaining	Percentage Used
Personnel	\$ 19,983,876	\$ 8,326,615	\$ 7,547,618	\$ 12,436,258	37.77%
Materials and Supplies	\$ 13,523,119	\$ 5,634,633	\$ 3,980,231	\$ 9,542,887	29.43%
Contract Services	\$ 6,799,212	\$ 2,833,005	\$ 1,142,367	\$ 5,656,845	16.80%
Other Expenses	\$ 13,246,297	\$ 5,519,291	\$ 5,197,359	\$ 8,048,939	39.24%
Capital Outlay	\$ 632,000	\$ 263,333	\$ 177,524	\$ 454,476	28.09%
	<u>\$ 54,184,505</u>	<u>\$ 22,576,877</u>	<u>\$ 18,045,099</u>	<u>\$ 36,139,406</u>	<u>33.30%</u>

Dublin San Ramon Services District

Capital Outlay by Division November, 2015

<u>Capital Outlay - Identified</u>	<u>Budget</u>	<u>Year To Date Actual</u>	<u>Dollars Remaining</u>	<u>Percent Used</u>
Van - Ford Transit	\$ 35,000	\$ -	\$ 35,000	0.00%
Engineering Admin	\$ 35,000	\$ -	\$ 35,000	0.00%
Asset Management Software	\$ 140,500	-	\$ 140,500	0.00%
Asset Management	\$ 140,500	\$ -	\$ 140,500	0.00%
Truck mounted valve exercise mach/controller	\$ 45,000	\$ -	\$ 45,000	0.00%
Pump station emergency generator	\$ 50,000	-	\$ 50,000	0.00%
F-450 truck w/ utility bed, crane, compressor	\$ 59,000	\$ 54,015	\$ 4,985	91.55%
Field Operations	\$ 154,000	\$ 54,015	\$ 99,985	0.00%
Heavy Capacity Forklift for biosolids, used	\$ 35,000	\$ -	\$ 35,000	0.00%
Plant Operations	\$ 35,000	\$ -	\$ 35,000	0.00%
Truck - Ford F-350 w/service body	\$ 60,000	\$ -	\$ 60,000	0.00%
Truck - Ford F-450 w/service body/crane	110,000	-	110,000	0.00%
Grit Roll-off Container	12,000	-	12,000	0.00%
Chlorine contact tank exit gate	12,500	-	12,500	0.00%
Building "A" air compressor	13,000	-	13,000	0.00%
Cogen Emission Analyzer	13,000	13,383	(383)	102.95%
Mechanical Maintenance	\$ 220,500	\$ 13,383	\$ 207,117	6.07%
Van - Ford Transit	\$ 27,000	\$ -	\$ 27,000	0.00%
Update Security system	20,000	-	20,000	0.00%
Electrical Maintenance	\$ 47,000	\$ -	\$ 47,000	0.00%
Total Capital Outlay - Identified	\$ 632,000	\$ 67,398	\$ 564,602	10.66%
Unexpected Capital Outlay				
Completely refurbish aeration preopeller mixer	\$ 11,927	\$ 10,940	\$ 987	
WWTP Secondary clarifier	\$ 99,186	\$ 99,186	-	
WWTP Bldg G A/C for MCC Room (7.5 ton)	11,031	-	11,031	
			-	
Total Unexpected Capital Outlay	\$ 122,144	\$ 110,126	\$ 12,018	
Total All Capital Outlay	\$ 754,144	\$ 177,524	\$ 576,620	

Capital Project Expense Summary Report

Dublin San Ramon Services District

November, 2015

<u>Fund #</u>		<u>Budget</u>	<u>Year-to-date Expenditures</u>	<u>Balance</u>	<u>Prct Used</u>
210	Local Sewer Replacement	1,678,736.00	66,720.51	1,612,015.49	3.97%
220	Local Sewer Expansion	377,000.00	9,071.74	367,928.26	2.41%
310	Regional Sewer Replacement	3,281,171.00	266,534.67	3,014,636.33	8.12%
320	Regional Sewer Expansion	1,306,362.00	227,249.75	1,079,112.25	17.40%
610	Water Replacement	10,318,674.00	1,934,645.19	8,384,028.81	18.75%
620	Water Expansion	5,458,281.00	2,449,668.21	3,008,612.79	44.88%
	Grand Total	<u>22,420,224.00</u>	<u>4,953,890.07</u>	<u>17,466,333.93</u>	<u>22.10%</u>

**Financing Administration Agreement Calculations
November, 2015**

Bond Target Level Calculation

	Max Annual Debt	
LAVWMA 2011 Refunding Bonds (Expansion Portion) highest fiscal year debt service (2024)	\$ 4,332,552	
DSRSD Expansion Amount Outstanding	\$46,791,732	
<u>Bank of America Refunding Bond</u>		
Expansion Amount Outstanding	\$0	
BOND TARGET LEVEL (7c) or 2X		\$ 8,665,105
ADMINISTRATIVE TARGET LEVEL (7d) or 5XMADS		\$ 21,662,761
Working Capital in Rate Stabilization/Regional Sewer Expansion Fund		\$ 43,387,338
Number of Years of Maximum Debt Service on Hand (Working Capital/Max Annual Debt)	\$ 4,332,552	10.01
Capacity Fee Revenue this Fiscal Year		\$ 9,017,091
Debt Service for FY 15/16		\$ 4,311,297
Capacity fees in excess (deficiency) of this amount		\$ 4,705,794
Amount in Rate Stabilization Fund in Excess of (below) 5XMADS		\$21,724,577

Dublin San Ramon Services District
Dublin San Ramon Services District
November, 2015

Comparison of Actual DUE's to Budget

	Budget	Actual	Above (Below)
Sewer			
<i>DSRSD</i>	347	504	157
<i>Pleasanton</i>	150	151	1
Water	608	526	(82)

Dublin San Ramon Services District
Treasurer's Report - Portfolio Management Summary
As of : November 30, 2015

Description	Face Amount	Market Value	Book Value	% of Portfolio	Permitted by Policy	In Compliance	YTM @ Cost
CAMP	12,008,967.99	12,008,967.99	12,008,967.99	8.54%	100%	Yes	0.150%
Certificate of Deposit	4,000,000.00	4,006,069.50	4,000,000.00	2.85%	30%	Yes	0.972%
Corporate Bonds	22,500,000.00	22,727,574.00	22,730,808.05	16.01%	30%	Yes	1.526%
Federal Agency Callables	50,880,000.00	50,791,916.92	50,881,175.41	36.20%	100%	Yes	1.162%
LAIF - Operating	49,150,832.03	49,150,832.03	49,150,832.03	34.97%	\$50 million	Yes	0.374%
Municipals	2,000,000.00	1,979,420.00	2,007,712.82	1.42%	100%	Yes	1.710%
Total Investments	\$ 140,539,800.02	\$ 140,664,780.44	\$ 140,779,496.30	100.00%			0.860%
Bank of America	2,272,538.29	2,272,538.29	2,272,538.29				
Total Cash & Investments	\$ 142,812,338.31	\$ 142,937,318.73	\$ 143,052,034.59				0.860%

I certify that this report reflects all Government Agency pooled investments and is in conformity with the Investment Policy of Dublin San Ramon Services District.

The investment program herein shown provides sufficient cash flow liquidity to meet the next six month's expenses.

Market values for Certificates of Deposit and Federal Agency Callables were provided by Wells Fargo Institutional Securities, LLC.

John Archer, Administrative Services Manager

Date

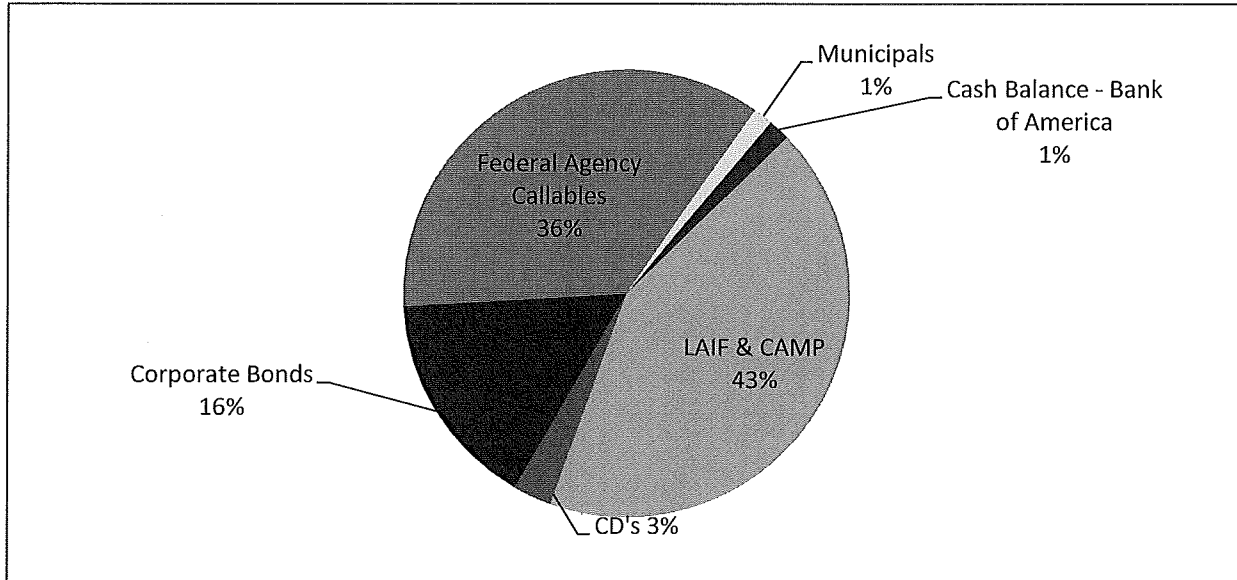
For comparison - prior month summary as of: **10/31/2015**

Description	Face Amount	Market Value	Book Value	% of Portfolio	Permitted by Policy	In Compliance	YTM @ Cost
CAMP	12,007,470.44	12,007,470.44	12,007,470.44	8.54%	100%	Yes	0.140%
Certificate of Deposit	4,000,000.00	4,004,856.00	4,000,000.00	2.85%	30%	Yes	0.972%
Corporate Bonds	22,500,000.00	22,771,079.50	22,728,639.36	16.01%	30%	Yes	1.523%
Federal Agency Callables	50,880,000.00	50,868,428.80	50,881,175.41	36.20%	100%	Yes	1.162%
LAIF - Operating	49,150,832.03	49,150,832.03	49,150,832.03	34.97%	\$50 million	Yes	0.357%
Municipals	2,000,000.00	1,984,120.00	2,007,712.82	1.42%	100%	Yes	1.710%
Total Investments	\$ 140,538,302.47	\$ 140,786,786.77	\$ 140,775,830.06	100.00%			0.853%
Bank of America	2,038,899.93	2,038,899.93	2,038,899.93				
Total Cash & Investments	\$ 142,577,202.40	\$ 142,825,686.70	\$ 142,814,729.99				0.853%

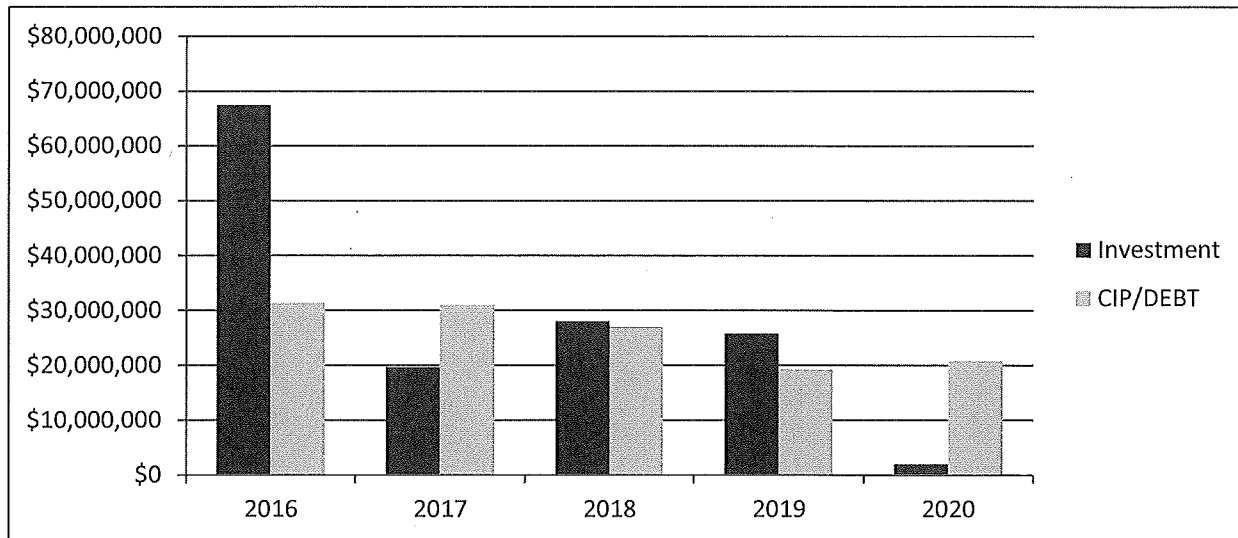
**Investment Review for :
Summary of Current Investments**

November 30, 2015

	Face Amount	% of Portfolio	Avg Maturity (In Years)	Avg Yield
Cash Balance - Bank of America	\$ 2,272,538.29	2%		
LAIF & CAMP	61,159,800.02	43%		0.374%
Certificates of Deposit	4,000,000.00	3%	1.1	0.972%
Corporate Bonds	22,500,000.00	16%	1.7	1.526%
Federal Agency Callables	50,880,000.00	36%	2.7	1.162%
Municipals	2,000,000.00	1%	4.5	1.710%
	<u>\$ 142,812,338.31</u>			



Investment / Cash needs next 5 years



FYE	Investment	CIP/DEBT
2016	\$ 67,432,338.31	\$ 31,258,003.75
2017	19,630,000.00	\$ 30,984,268.75
2018	28,000,000.00	\$ 26,965,800.75
2019	25,750,000.00	\$ 19,176,387.75
2020	2,000,000.00	\$ 20,845,455.75
	<u>\$ 142,812,338.31</u>	<u>\$ 129,229,916.75</u>

Treasurer's Report - Portfolio Management Detail

As of 11/30/2015

Description	CUSIP	Settlement Date	Face Amount	Market Value	Book Value	Coupon Rate	YTM @ Cost	Next Call Date	DTC/M	DTM	Maturity Date	Accrued Interest
CAMP LGIP	LGIP6300	6/30/2011	12,008,967.99	12,008,967.99	12,008,967.99	0.15	0.15	N/A	1	1	N/A	
CAMP Sub Total / Average			12,008,967.99	12,008,967.99	12,008,967.99	0.15	0.15		1	1		0.00
American Exp Centr 1.15 9/26/2016	02587DTW8	9/26/2013	250,000.00	251,403.25	250,000.00	1.15	1.15		301	301	9/26/2016	511.99
BMW Bk 0.5 5/16/2016	05580AAB0	5/16/2014	250,000.00	250,313.75	250,000.00	0.5	0.5		168	168	5/16/2016	47.95
Capital One 2 10/7/2019	14042RAN1	10/7/2015	250,000.00	250,683.50	250,000.00	2	2		1407	1407	10/7/2019	754.55
Capital One USA 2 10/7/2019	140420WK2	10/7/2015	250,000.00	250,682.75	250,000.00	2	2		1407	1407	10/7/2019	754.55
Comenity Capital 0.7 3/29/2016	20033AGY6	9/29/2014	250,000.00	250,272.75	250,000.00	0.7	0.7		120	120	3/29/2016	9.59
Compass Bnk 0.5 5/31/2016	20451PJX5	5/28/2014	250,000.00	250,330.25	250,000.00	0.5	0.5		183	183	5/31/2016	6.85
Customers Bk 0.5 5/31/2016	23204HBF4	5/28/2014	250,000.00	250,342.50	250,000.00	0.5	0.5		183	183	5/31/2016	6.85
Discover Bank 1.2 3/13/2018	254671LE8	3/13/2013	250,000.00	250,325.25	250,000.00	1.2	1.2		834	834	3/13/2018	641.1
Everbank 0.95 11/30/2016	29976DPX2	11/30/2012	250,000.00	250,857.25	250,000.00	0.95	0.95		366	366	11/30/2016	0
First Fed S&L 0.5 5/31/2016	32023HAC2	5/30/2014	250,000.00	250,330.75	250,000.00	0.5	0.5		183	183	5/31/2016	6.85
Flushing Bank 0.7 3/29/2016	34387AAQ2	9/30/2014	250,000.00	250,280.75	250,000.00	0.7	0.7		120	120	3/29/2016	71.92
Goldman Sachs 1.95 10/7/2019	38148JQ79	10/7/2015	250,000.00	250,682.75	250,000.00	1.95	1.95		1407	1407	10/7/2019	735.65
Oriental Bank 0.5 5/31/2016-14	686184UH3	5/29/2014	250,000.00	250,054.00	250,000.00	0.5	0.5		183	183	5/31/2016	6.85
State Bk of India 1.15 5/14/2018	856283UK0	5/14/2013	250,000.00	249,900.25	250,000.00	1.15	1.15		896	896	5/14/2018	126.03
Sterling Svgs Bank 0.5 3/22/2016	8595316H3	3/22/2013	250,000.00	250,159.75	250,000.00	0.5	0.5		113	113	3/22/2016	236.3
Washington Fed Seattle 0.75 5/30/2017-13	938828AB6	5/30/2013	250,000.00	249,450.00	250,000.00	0.75	0.75		547	547	5/30/2017	10.27
Certificate of Deposit Sub Total / Average			4,000,000.00	4,006,069.50	4,000,000.00	0.972	0.972		526	526		3,927.30
AUST/NZ Bank Grp 1.5 1/16/2018	05253JAH4	1/26/2015	3,000,000.00	2,993,247.00	3,002,906.77	1.5	1.46		778	778	1/16/2018	16,750.00
Barclays Bank PLC Var. Corp 5/11/2017	06738K4G3	5/11/2012	3,000,000.00	2,991,363.00	3,000,000.00	2	2		528	528	5/11/2017	3,166.67
Coca-cola 1.15 4/1/2018	191216BA7	2/20/2015	3,000,000.00	2,992,221.00	2,986,787.22	1.15	1.33		853	853	4/1/2018	5,654.17
Exxon Mobil 0.921 3/15/2017	0231GAA0	9/9/2015	2,000,000.00	2,000,932.00	2,002,987.23	0.921	0.821		471	471	3/15/2017	3,837.50
GE Capital Corp 2.3 4/27/2017	36962G5W0	5/21/2012	3,000,000.00	3,048,006.00	2,997,418.14	2.3	2.361		514	514	4/27/2017	6,325.00
GE Capital Var. Corp 2/8/2016	36962G5T7	2/8/2012	2,000,000.00	2,002,294.00	2,000,000.00	1.144	1.144		70	70	2/8/2016	1,398.10
Gen Elec Co 5.25 12/6/2017	369604BC6	12/17/2012	2,500,000.00	2,696,175.00	2,732,150.96	5.25	1.396		737	737	12/6/2017	63,437.50
JPMorgan Chase 2 8/15/2017	48126EAA5	12/22/2014	2,000,000.00	2,013,718.00	2,019,306.87	2	1.506		624	624	8/15/2017	11,666.67
Microsoft 1 5/1/2018	594918AS3	3/16/2015	2,000,000.00	1,989,618.00	1,989,250.86	1	1.22		883	883	5/1/2018	1,611.11
Corporate Bonds Sub Total / Average			22,500,000.00	22,727,574.00	22,730,808.05	1.96	1.526		620	620		113,846.72
FFCB 0.65 3/28/2017	3133ECKC7	5/8/2013	1,380,000.00	1,377,976.92	1,381,019.73	0.65	0.6		484	484	3/28/2017	1,544.83
FFCB 0.74 6/9/2017-15	3133EFCX3	9/9/2015	3,000,000.00	3,000,222.00	3,000,000.00	0.74	0.74	12/9/2015	9	557	6/9/2017	4,995.00
FFCB 1.08 2/26/2018-14	3133ECGC2	2/26/2013	5,000,000.00	4,981,510.00	5,000,000.00	1.08	1.08		819	819	2/26/2018	14,100.00
FFCB 1.37 3/21/2019-16	3133EFEA1	9/21/2015	5,000,000.00	5,002,625.00	4,997,500.00	1.37	1.385	9/21/2016	296	1207	3/21/2019	13,129.17
FFCB 1.42 4/29/2019-16	3133EED56	4/29/2015	5,000,000.00	5,004,045.00	5,000,000.00	1.42	1.42	4/29/2016	151	1246	4/29/2019	6,113.89
FHLB 0.875 3/10/2017	3133782N0	8/22/2014	4,000,000.00	4,002,288.00	4,002,655.68	0.875	0.83		466	466	3/10/2017	7,777.78
FHLB 1 6/28/2018-13	313381HD0	12/28/2012	5,000,000.00	4,940,385.00	5,000,000.00	1	1		941	941	6/28/2018	21,111.11
FHLMC 0.625 9/26/2016-14	3134G4YA0	3/26/2014	2,500,000.00	2,500,065.00	2,500,000.00	0.625	0.625		301	301	9/26/2016	2,777.78
FHLMC 1.2 6/12/2018-13	3134G46D5	6/12/2013	5,000,000.00	4,971,835.00	5,000,000.00	1.2	1.2	12/12/2015	12	925	6/12/2018	28,000.00
FHLMC 1.25 8/2/2018-15	3134G7UM1	9/3/2015	5,000,000.00	5,008,675.00	5,000,000.00	1.25	1.25	2/27/2016	89	976	8/2/2018	15,625.00
FHLMC 1.4 7/29/2019-16	3134G7X89	10/29/2015	5,000,000.00	4,990,490.00	5,000,000.00	1.4	1.4	1/29/2016	60	1337	7/29/2019	6,027.78
FHLMC 1.5 2/21/2019-15	3134G6XX6	5/21/2015	5,000,000.00	5,011,800.00	5,000,000.00	1.5	1.5	2/21/2016	83	1179	2/21/2019	20,625.00
Federal Agency Callables Sub Total / Average			50,880,000.00	50,791,916.92	50,881,175.41	1.165	1.162		306	945		141,827.34
LAIF LGIP	LGIP1001	6/30/2011	49,150,832.03	49,150,832.03	49,150,832.03	0.374	0.374	N/A	1	1	N/A	
LAIF Sub Total / Average			49,150,832.03	49,150,832.03	49,150,832.03	0.374	0.374		1	1		0
State of CA 1.8 4/1/2020	13063CSQ4	4/29/2015	2,000,000.00	1,979,420.00	2,007,712.82	1.8	1.71		1584	1584	4/1/2020	5,900.00
Municipals Sub Total / Average			2,000,000.00	1,979,420.00	2,007,712.82	1.8	1.71		1584	1584		5,900.00
Total / Average			140,539,800.02	140,664,780.44	140,779,496.30	0.933	0.86		248	480		265,501.36

	200 Local Sewer Operations	205 Local Rate Stabilization (RSF)	210 Local Sewer Replacement	220 Local Sewer Expansion	Total
BALANCE SHEETS					
CASH & INVESTMENTS	435,492	782,299	9,715,228	6,865,877	17,798,895
RECEIVABLES	669,107	985	13,499	9,173	692,764
OTHER	0	0	0	4,764	4,764
CURRENT ASSETS	1,104,599	783,284	9,728,727	6,879,814	18,496,423
FIXED ASSETS	33,146,120	0	349,598	21,595	33,517,314
LONG-TERM ASSETS	236,312	0	0	181,125	417,438
TOTAL ASSETS	34,487,032	783,284	10,078,325	7,082,534	52,431,175
ACCOUNTS PAYABLE	9,325	0	1,474	0	10,799
DEPOSITS	55,447	0	0	0	55,447
OTHER CURRENT LIABILITIES	57,689	0	193	7,459	65,341
CURRENT LIABILITIES	122,461	0	1,666	7,459	131,587
ACCRUED EXPENSES/OTHER	1,760,743	0	0	438,339	2,199,082
DEFERRED REVENUE	0	0	0	395,098	395,098
LONG-TERM LIABILITIES	1,760,743	0	0	833,437	2,594,181
RETAINED EARNINGS	32,603,827	783,284	10,076,658	6,241,638	49,705,407
TOTAL LIABILITIES & RETAINED EARNINGS	34,487,032	783,284	10,078,325	7,082,534	52,431,175

INCOME STATEMENT					
OPERATING REVENUE					
SERVICE CHARGES	812,366	0	0	0	812,366
OTHER OPERATING REVENUE	4,992	0	0	421,411	426,403
TOTAL OPERATING REVENUE	817,358	0	0	421,411	1,238,769
NON-OPERATING REVENUE					
CONNECTION FEES	0	0	283,190	275,467	558,657
INTEREST	2,177	2,012	27,652	19,068	50,909
OTHER NON-OPERATING REVENUE	99,590	0	0	0	99,590
TOTAL NON-OPERATING REVENUE	101,767	2,012	310,842	294,535	709,156
TRANSFERS IN	0	91,575	119,583	0	211,158
TOTAL RECEIPTS	919,125	93,587	430,425	715,946	2,159,083
DISBURSEMENTS					
OPERATING EXPENSES	750,376	0	32,452	241,580	1,024,408
CAPITAL PROJECTS	0	0	66,721	9,072	75,792
TRANSFER OUT	211,158	0	0	0	211,158
TOTAL DISBURSEMENTS	961,535	0	99,172	250,652	1,311,359
NET INCOME (LOSS)	(42,410)	93,587	331,253	465,294	847,724

EXPENSE BUDGET FOR FY 2016	2,065,123				
WORKING CAPITAL TARGET FOR FY 2016	688,374				
WORKING CAPITAL TARGET (in months)	4.00				
WORKING CAPITAL	982,137	783,284	9,727,061	6,872,354	18,364,836
WORKING CAPITAL ON HAND (in months) WC / (ExpBudget / 12)	5.71				
CURRENT EXCESS (DEFICIENCY) <i>Working Capital - Working Capital Target</i>	293,763				

	300	305	310	320	Total
	Regional Sewer Operations	Regional Rate Stabilization (RSF)	Regional Sewer Replacement	Regional Sewer Expansion	

BALANCE SHEETS

CASH & INVESTMENTS	3,126,313	7,778,510	18,425,891	41,699,375	71,030,088
RECEIVABLES	3,280,565	11,097	27,618	333,389	3,652,669
OTHER	808,934	0	0	1,641,563	2,450,497
CURRENT ASSETS	7,215,811	7,789,607	18,453,509	43,674,326	77,133,254
FIXED ASSETS	105,531,416	0	1,553,491	29,664,640	136,749,547
LONG-TERM ASSETS	3,760,984	0	34,634	576,836	4,372,454
TOTAL ASSETS	116,508,211	7,789,607	20,041,634	73,915,803	218,255,255
ACCOUNTS PAYABLE	480,930	0	7,001	385	488,316
DEPOSITS	112,621	0	0	1,519	114,139
OTHER CURRENT LIABILITIES	423,045	0	7,552	285,085	715,682
CURRENT LIABILITIES	1,016,596	0	14,553	286,989	1,318,138
BONDS PAYABLE	6,708,041	0	0	29,992,372	36,700,413
ACCRUED EXPENSES/OTHER	10,222,761	0	0	75,511	10,298,271
DEFERRED REVENUE	0	0	34,634	541,153	575,787
LONG-TERM LIABILITIES	16,930,801	0	34,634	30,609,036	47,574,471
RETAINED EARNINGS	98,560,813	7,789,607	19,992,447	43,019,779	169,362,646
TOTAL LIABILITIES & RETAINED EARNINGS	116,508,211	7,789,607	20,041,634	73,915,803	218,255,255

INCOME STATEMENT

OPERATING REVENUE					
SERVICE CHARGES	5,204,638	0	0	0	5,204,638
OTHER OPERATING REVENUE	274,474	0	0	17,943	292,417
TOTAL OPERATING REVENUE	5,479,111	0	0	17,943	5,497,054
NON-OPERATING REVENUE					
CONNECTION FEES	0	0	1,388,754	9,017,091	10,405,845
INTEREST	14,436	22,380	51,335	117,654	205,805
OTHER NON-OPERATING REVENUE	0	0	0	0	0
TOTAL NON-OPERATING REVENUE	14,436	22,380	1,440,088	9,134,745	10,611,650
TRANSFERS IN	0	0	1,072,692	0	1,072,692
TOTAL RECEIPTS	5,493,547	22,380	2,512,780	9,152,688	17,181,396
DISBURSEMENTS					
OPERATING EXPENSES	6,088,257	0	148,803	1,972,429	8,209,490
CAPITAL PROJECTS	0	0	266,535	227,250	493,784
TRANSFER OUT	1,072,692	0	0	0	1,072,692
TOTAL DISBURSEMENTS	7,160,949	0	415,338	2,199,679	9,775,967
NET INCOME (LOSS)	(1,667,402)	22,380	2,097,442	6,953,009	7,405,429

EXPENSE BUDGET FOR FY 2016	16,303,153				
WORKING CAPITAL TARGET FOR FY 2016	5,434,384				
WORKING CAPITAL TARGET (in months)	4.00				
WORKING CAPITAL	6,199,215	7,789,607	18,438,956	43,387,338	75,815,116
WORKING CAPITAL ON HAND (in months) WC / (ExpBudget / 12)	4.56				
CURRENT EXCESS (DEFICIENCY) <i>Working Capital - Working Capital Target</i>	764,831				

	600 Water Operations	605 Water Stabilization (RSF)	610 Water Replacement	620 Water Expansion	Total
BALANCE SHEETS					
CASH & INVESTMENTS	10,271,982	10,039,542	14,901,169	20,255,438	55,468,132
RECEIVABLES	1,059,374	223,270	15,862	27,962	1,326,468
OTHER	0	0	0	0	0
CURRENT ASSETS	11,331,356	10,262,812	14,917,031	20,283,400	56,794,600
FIXED ASSETS	120,713,359	0	3,073,265	24,247,774	148,034,398
LONG-TERM ASSETS	2,442,206	0	0	300,791	2,742,997
TOTAL ASSETS	134,486,921	10,262,812	17,990,297	44,831,965	207,571,994
ACCOUNTS PAYABLE	29,773	0	4,048	504	34,325
DEPOSITS	493,419	0	0	0	493,419
OTHER CURRENT LIABILITIES	1,554,974	0	65,238	1,078,893	2,699,105
CURRENT LIABILITIES	2,078,166	0	69,286	1,079,397	3,226,850
BONDS PAYABLE	0	0	0	35,115,208	35,115,208
ACCRUED EXPENSES/OTHER	6,031,235	0	0	696,615	6,727,849
DEFERRED REVENUE	0	0	0	6,043,209	6,043,209
LONG-TERM LIABILITIES	6,031,235	0	0	41,855,032	47,886,267
RETAINED EARNINGS	126,377,520	10,262,812	17,921,010	1,897,535	156,458,878
TOTAL LIABILITIES & RETAINED EARNINGS	134,486,921	10,262,812	17,990,297	44,831,965	207,571,994

INCOME STATEMENT

OPERATING REVENUE					
SERVICE CHARGES	6,969,009	0	0	(1,041)	6,967,968
OTHER OPERATING REVENUE	225,680	26,016	1,615	1,262,216	1,515,527
TOTAL OPERATING REVENUE	7,194,689	26,016	1,615	1,261,175	8,483,495
NON-OPERATING REVENUE					
CONNECTION FEES	0	0	1,731,974	4,853,480	6,585,454
INTEREST	34,645	30,040	35,118	55,405	155,208
OTHER NON-OPERATING REVENUE	116,100	224,494	0	0	340,594
TOTAL NON-OPERATING REVENUE	150,745	254,534	1,767,092	4,908,885	7,081,256
TRANSFERS IN	0	2,151,769	4,281,283	281,250	6,714,302
TOTAL RECEIPTS	7,345,434	2,432,319	6,049,990	6,451,310	22,279,053
DISBURSEMENTS					
OPERATING EXPENSES	6,016,221	6,149	168,091	1,616,307	7,806,768
CAPITAL PROJECTS	0	0	1,934,645	2,449,668	4,384,313
TRANSFER OUT	4,100,102	2,614,200	0	0	6,714,302
TOTAL DISBURSEMENTS	10,116,323	2,620,349	2,102,736	4,065,976	18,905,384
NET INCOME (LOSS)	(2,770,890)	(188,030)	3,947,254	2,385,334	3,373,669

EXPENSE BUDGET FOR FY 2016	19,976,821				
WORKING CAPITAL TARGET FOR FY 2016	6,658,940				
WORKING CAPITAL TARGET (in months)	4.00				
WORKING CAPITAL	9,253,191	10,262,812	14,847,745	19,204,003	53,567,750
WORKING CAPITAL ON HAND (in months) WC / (ExpBudget / 12)	5.56				
CURRENT EXCESS (DEFICIENCY) <i>Working Capital - Working Capital Target</i>	2,594,250				

	900 Administrative Overhead	965 OPEB	995 DV Standby Assessment	Total	
BALANCE SHEETS					
CASH & INVESTMENTS	(706,042)	236,481	698,468	0	228,906
RECEIVABLES	416,301	417	638,326	0	1,055,043
OTHER	582,581	0	0	0	582,581
CURRENT ASSETS	292,840	236,897	1,336,793	0	1,866,530
LONG-TERM ASSETS	0	12,195,375	0	0	12,195,375
TOTAL ASSETS	292,840	12,432,272	1,336,793	0	14,061,905
ACCOUNTS PAYABLE	23,888	55,075	0	0	78,963
OTHER CURRENT LIABILITIES	268,952	323,445	0	0	592,397
CURRENT LIABILITIES	292,840	378,520	0	0	671,360
RETAINED EARNINGS	0	12,053,751	1,336,793	0	13,390,545
TOTAL LIABILITIES & RETAINED EARNINGS	292,840	12,432,272	1,336,793	0	14,061,905

INCOME STATEMENT					
OPERATING REVENUE					
OTHER OPERATING REVENUE	633,129	0	637,565	0	1,270,694
TOTAL OPERATING REVENUE	633,129	0	637,565	0	1,270,694
NON-OPERATING REVENUE					
INTEREST	0	686	1,997	0	2,683
OTHER NON-OPERATING REVENUE	0	0	0	0	0
TOTAL NON-OPERATING REVENUE	0	686	1,997	0	2,683
TRANSFERS IN	0	0	0	0	0
TOTAL RECEIPTS	633,129	686	639,562	0	1,273,377
DISBURSEMENTS					
OPERATING EXPENSES	633,129	362,267	9,037	0	1,004,432
CAPITAL PROJECTS	0	0	0	0	0
TRANSFER OUT	0	0	0	0	0
TOTAL DISBURSEMENTS	633,129	362,267	9,037	0	1,004,432
NET INCOME (LOSS)	0	(361,581)	630,526	0	268,945

EXPENSE BUDGET FOR FY 2016	0				
WORKING CAPITAL TARGET FOR FY 2016	0				
WORKING CAPITAL TARGET (in months)	0.00				
WORKING CAPITAL	0	(141,623)	1,336,793	0	1,195,170
WORKING CAPITAL ON HAND (in months) WC / (ExpBudget / 12)	0.00				
CURRENT EXCESS (DEFICIENCY) <i>Working Capital - Working Capital Target</i>	0				

November Budget Variance Report

Categories are flagged if Actual Expense % > Target Expense %
 (Target Expense % = (Period/12)*100 + Tolerance %)

Expected Expense: 41.67%
 Tolerance Level: 3.00%

Target Expense: 44.67%

Accounting Period: 5
 Accounting Year: 2016

Division: 10. Legislative

	<u>2016</u> <u>Adjusted Budget</u>	<u>YTD</u> <u>Expenditure</u>	<u>MTD</u> <u>Expenditure</u>	<u>% of YTD</u> <u>to Budget</u>	<u>Flag</u>
Employee Memberships & Certifications	0.00	0.00	0.00	-	
Medical	25,104.00	7,645.00	1,569.00	30.45%	
Other Benefits	10,352.64	2,961.50	510.19	28.61%	
Retirement	5,330.72	651.39	192.43	12.22%	
Salaries	56,160.00	8,614.00	2,628.00	15.34%	
Salary / Benefit Credit	0.00	0.00	0.00	-	
Training Costs	20,000.00	4,873.32	2,362.29	24.37%	
1. Personnel	116,947.36	24,745.21	7,261.91	21.16%	
Office Supplies	2,800.00	234.32	128.80	8.37%	
2. Materials and Supplies	2,800.00	234.32	128.80	8.37%	
Advertising	0.00	0.00	0.00	-	
Other Services	25,500.00	3,840.00	3,092.00	15.06%	
Professional Services	33,000.00	10,950.75	0.00	33.18%	
Telecommunication Services	0.00	0.00	0.00	-	
3. Contract Services	58,500.00	14,790.75	3,092.00	25.28%	
Meetings	700.00	70.00	0.00	10.00%	
Permits, Licenses & District Mbrshps	0.00	0.00	0.00	-	
Subscriptions & Publications	0.00	0.00	0.00	-	
4. Other	700.00	70.00	0.00	10.00%	
10. Legislative Total	178,947.36	39,840.28	10,482.71	22.26%	

Check History Description Listing

Dublin San Ramon Services District

From: 11/9/2015 To: 12/6/2015

Bank code: apbank

Date	Check #	Vendor	Description	Amount Paid	Check Total
11/10/2015	1000619783	01111 CALPERS	NOVEMBER 2015 - ER CODE 0740 & 7316	180,196.68	180,196.68
11/12/2015	87141	00710 AAI TERMITE & PEST CONTROL	WWTP: RODENT EXCLUSION SERVICE W/ 3 FOLL MONTHLY SERVICE - OCTOBER @ DO WWTP: RODENT EXCLUSION DOOR SWEEPS 4TH & EVANS FOD PC RODENT SERVICE & INSP PS 4A PC RODENT SERVICE & INSPECTION	250.00 145.00 125.00 45.00 45.00	610.00
11/12/2015	87142	01013 BARRETT BUSINESS SERVICES	D. SWIFT: W/E 10/18/15 T. AMARO: W/E 10/18/15 J. KAUFFMAN: W/E 10/18/15 C. HIVES: W/E 10/18/15 S. JENNE: W/E 10/18/15 P. BRICK JR.: W/E 10/18/15 K. KOLODZIE: W/E 10/18/15 N. POON: W/E 10/18/15 A. JEW: W/E 10/18/15 W. RHODES: W/E 10/18/15 K. GARCIA: W/E 10/18/15 M. ZAVALA: W/E 10/18/15 C. SIMMONS: W/E 10/18/15	1,024.00 998.40 737.28 701.12 537.60 437.76 437.76 413.44 385.92 364.80 245.76 245.76 108.80	6,638.40
11/12/2015	87143	05359 BURKE, WILLIAMS & SORENSEN	GENERAL COUNSEL SVCS - SEPTEMBER 2015	576.68	576.68
11/12/2015	87144	03614 CAROLLO ENGINEERS	DERWA TO OC-14	1,173.15	1,173.15
11/12/2015	87145	00319 FISHER SCIENTIFIC	HYDROXYLAMINE, FILTER	243.17	243.17
11/12/2015	87146	02749 DAN GALLAGHER	GALLAGHER REIMB EXP FOR W/E 10/29/2015	316.50	316.50
11/12/2015	87147	04424 GRAYBAR ELECTRIC COMPANY	ELE MATLS FOR SHOP STOCK ELE MATLS TO REPLACE PLANT LIGHT POLE FI	450.89 171.56	

Check History Description Listing

Dublin San Ramon Services District

From: 11/9/2015 To: 12/6/2015

Bank code: apbank

Date	Check #	Vendor	Description	Amount Paid	Check Total
			ELE PARTS FOR COOLING WATER FILTER	143.77	
			LAVWMACIP: ALL-IN-ONE RING TYPE SURFACE	77.30	843.52
11/12/2015	87148	00473 KEMIRA WATER SOLUTIONS INC	DERWA OPS PAX-XL8 DELIVERY ON 10/22/15	11,284.93	11,284.93
11/12/2015	87149	00503 LEADERSHIP SERVICES LTD	LEADERSHIP COACHING SESSION W/ MARK GROS	1,245.06	1,245.06
11/12/2015	87150	01171 MCGUIRE & HESTER	LOCUST PL. WATER SERVICE PERFORMED 10-19	6,414.24	6,414.24
11/12/2015	87151	04553 PACHECO BROTHERS GARDENI	REMOVAL OF DEAD TREE/ REPLACE WITH COAST	450.00	450.00
11/12/2015	87152	01403 PRAXAIR DISTRIBUTION INC 186	CYLINDER RENTAL	66.08	66.08
11/12/2015	87153	04973 NATERCIA SAUCEDA	CASE ID FL364781: PAYMENT	111.23	111.23
11/12/2015	87154	05127 VISION SERVICE PLAN - CA (VSF	NOVEMBER 2015 - VISION	2,526.88	2,526.88
11/12/2015	87155	05305 GEORGEAN VONHEEDER-LEOP	2015 CASA BOARD RETREAT - DIRECTOR VONHE	997.54	997.54
11/12/2015	87156	03536 U S BANK/ CORP PMT SYSTEMS	FOD SUPPLIES	889.55	
			ACWA 2015 FALL CONFERENCE - REGISTRATION	695.00	
			ENGINE DRIVEN CENTRIFUGAL PUMP, 1 HP	650.13	
			ERGONOMIC SIT-STAND - S. GRASHUIS	547.50	
			ERGONOMIC SIT-STAND FOR A. BAHLOUL	547.50	
			R. BIAGTAN - REGISTRATION FOR AFC15 CONF	495.00	
			MAN LIFT FRENAL FOR INFLUENT PUMP ROOM	468.74	
			RECYCLE PICK-UP	460.84	
			4 CS SCRIMDRY	449.95	
			BTREPPA MISAC CONFERENCE LODGING	442.59	
			QTY (1) REPLACEMENT LAMP FOR INFOCUS PRO	438.93	
			RCOLLINS MISAC CONFERENCE LODGING	425.85	
			BCASTELLO MISAC CONFERENCE LODGING	425.85	
			1 UCC (STANDARD) SSL CERT -3 YR	404.97	
			ANNUAL MAINT CONTRACT FOR WWTP SCADA ALA	395.00	
			STEPHENSON - ACWA BOARD WORKSHOP LODGING	356.16	

Check History Description Listing

Dublin San Ramon Services District

From: 11/9/2015 To: 12/6/2015

Bank code: apbank

Date	Check #	Vendor	Description	Amount Paid	Check Total
			DYE TABLETS FOR CONSTRUCTION INSPECTORS	355.39	
			(2QTY) TRANSITION HOSE FOR CO-GEN #3 AIR	316.10	
			SERVICE CALL: DO INTRUSION SYSTEM ON 04/	315.00	
			QTY (1) DELL P2414H 24" MONITOR FOR JACK	286.50	
			MISCELLANEOUS SUPPLIES FOR PLANT OPERATI	273.85	
			OFFICE SUPPLIES: HANGING FILE FOLDERS, H	259.25	
			FLIP ULTRA HD VIDEO CAMERA	244.98	
			COPY PAPER, LABELS, ENVELOPES, ETC	233.27	
			CEMENT; BUCKET; TRANSPLANTER FOR SECONDA	233.16	
			BUMPER STEPS FOR UNIT #113	228.55	
			MATENDIDO: 2016 MEMBERSHIP RENEWAL	197.00	
			COPY PAPER, BATTERIES, STAPLES, ETC	189.44	
			R. BIAGTAN - FLIGHTS FOR AWWA AFC15 CONF	188.00	
			CONCRETE 6 SACKS	182.32	
			KHAW - BALANCE OF HOTEL STAY FOR WATERSM	168.00	
			FIBER OPTIC PATCH CABLE FOR SCADA UPGRAD	166.40	
			RBROOKS: MEMBERSHIP RENEWAL, EXP 09/30/1	164.00	
			CWEA RENEWAL J. HENDRYX	164.00	
			2015 CAFR & STRATEGIC PLAN PHOTOS	159.00	
			RAINSUIT C. BYRUM	154.25	
			CWEA WOODLAND SAFETY DAY J. RODRIGUEZ	140.00	
			JMILLER: REG FEE RE: NORTHERN SAFETY DAY	140.00	
			RFREITAS: REG FEE RE: NORTHERN SAFETY DA	140.00	
			REPLT DOOR STRICKE FOR FLEET	138.17	
			HERCULINER FOR UNIT #113; (2QTY) BLUE DE	131.37	
			WALL DOCUMENT RACK FOR DO BOARDROOM	123.20	
			RBAKER: REG FEE RE: NORTHERN SAFETY DAY	115.00	
			REGISTRATION: A. CIGLIUTI - ONE-DAY SPEC	110.00	
			HR CHAIR MATS	109.48	

Check History Description Listing

Dublin San Ramon Services District

From: 11/9/2015

To: 12/6/2015

Bank code: apbank

Date	Check #	Vendor	Description	Amount Paid	Check Total
			DPD TOTAL CHLORINE REAGENT PACK/100 FOR	108.24	
			PARTS FOR CO-GEN #3 AND SHOP SUPPLIES	101.92	
			R. BIAGTAN - HOTEL RES FOR AWWA AFC15 CO	99.68	
			SUBSCRIPTION - SAN FRANCISCO CHRONICLE O	99.00	
			F. KHAW FLIGHTS FOR WATERSMART INNOVATIO	98.20	
			OFFICE SUPPLIES: CLIPBOARDS, D BATTERIES	96.98	
			OFFICE SUPPLIES: PENS, PAPER, MARKERS, W	96.51	
			CHANG - PHOTO SEMINAR 10/28/15	89.00	
			F. KHAW - BAG AND SEAT FEES FOR SPIRIT A	88.99	
			RBAKER: MT-2 CERTIFICATE RENEWAL, EXP 11	86.00	
			IMPACT WRENCH SOCKET ADAPTER; SOCKET SET	85.32	
			(25.007G) DIESEL FUEL FOR BOOM TRUCK	85.00	
			OFFICE SUPPLIES: DRY ERASE MARKERS, PENS	84.40	
			NEW EMPLOYEES COLLINS AND SIMMERSON - PO	83.21	
			LUMBER & REBAR FOR FSL RE-ODORANT SHED	82.64	
			LUNCH FOR SCADA FOD UPGRADE PROJECT MEET	79.42	
			GAS TRUCK #38	79.00	
			APPRAISAL BOARD EXPENSE (MECHANIC I-II)	72.13	
			IT WELCOME LUNCH FOR RENEE COLLINS	70.64	
			ELE PARTS FOR DISTRICT OFFICE LIGHTING	68.25	
			STEPHENSON - UTILITY BRANDING NETWORK WO	68.00	
			DPD TOTAL BULK DISPENSER + POWDER	67.18	
			CAB STEPS FOR TRUCK #113	66.53	
			GENERAL SUPPLIES FOR WWTP	60.06	
			HANG FILE RAILS FOR FILE CABINET	56.78	
			QTY (1) BAMBOO CHAIR MAT FOR AOMAR	54.74	
			HVAC DUCT TAPE FOR BLOWER LINE REPAIR IN	54.40	
			MISC SUPPLIES	51.98	
			SS COMPARTMENT LATCH FOR WWTP HATCH DOOR	51.02	

Check History Description Listing

Dublin San Ramon Services District

From: 11/9/2015

To: 12/6/2015

Bank code: apbank

Date	Check #	Vendor	Description	Amount Paid	Check Total
			SS COMPARTMENT LATCH FOR WWTP HATCH DOOR	51.02	
			WINDOW ENVELOPES	50.28	
			STEPHENSON - LUNCHEON WITH SENATOR STEVE	50.00	
			CO-GEN: HD CLEANER; 9FT OF HEATER HOSE	48.96	
			NEW DIRECTOR MISHELOFF - POLO SHIRT	43.84	
			MAGNETIC NAME TAG FOR IN AND OUT BOARDS	43.80	
			SPACE HEATER FOR E. SCHNUPP	43.79	
			TEMPERATURE PROBE FOR BLDG A HVAC	41.99	
			CAULKING FOR TURN-OUT 2 PUMP STATION	40.29	
			STEPHENSON - ACWA BOARD WORKSHOP AIRPORT	40.00	
			FOD MOBILE TRAILER REGISTRATION RENEWAL	40.00	
			WIPER SPRAY FITTING FOR UNIT #77	39.84	
			ACCUVAC SNAPPER	36.56	
			BLANK ID CARDS - SECURITY	35.10	
			2015 TRI-VALLEY MAYORS' SUMMIT - REGISTR	35.00	
			2015 TRI-VALLEY MAYORS' SUMMIT - REGISTR	35.00	
			2015 TRI-VALLEY MAYORS' SUMMIT - REGISTR	35.00	
			PLASTIC FOR FIRE EXTINGUISHERS	34.22	
			HANGING POCKET FILES	30.84	
			J. SIMMERSON - HIPAA TRAINING	29.99	
			APPRAISAL BOARD EXPENSE (MECHANIC I-II)	29.26	
			THERMAL PAPER FOR MAINT WORK	28.34	
			10 ROLLS OF SILVER DUCT TAPE	28.26	
			2 TARPS	26.26	
			PRO SUBSCRIPTION (OCTOBER 2015)	26.00	
			LED FOR DISTRICT OFFICE LIGHTING	25.15	
			ONLINE JOB POSTING - ASSOCIATE CIVIL ENG	25.00	
			ONLINE JOB POSTING - ASSOCIATE CIVIL ENG	25.00	
			ONLINE JOB POSTING - ASSOCIATE CIVIL ENG	25.00	

Check History Description Listing

Dublin San Ramon Services District

From: 11/9/2015 To: 12/6/2015

Bank code: apbank

Date	Check #	Vendor	Description	Amount Paid	Check Total
			ONLINE JOB POSTING - ASSOCIATE CIVIL ENG	25.00	
			QTY (1) SAMSUNG CHARGING BLOCK FOR CS TE	21.89	
			LUMBER FOR FSL PAD	20.20	
			DONUTS FOR JOINT MAINT MEETING - 10/15/1	20.00	
			STEPHENSON - ACWA BOARD WORKSHOP MEAL 09	19.20	
			EMPLOYEE NAMEPLATE - RENEE COLLINS	17.52	
			QTY (1) 2 PACK OF 8GB USB FLASH DRIVES F	14.22	
			OIL FILTERS FOR STARTING AIR COMPRESSOR	13.67	
			CABLE CLAMP FOR HYPO STORAGE TANKS	11.92	
			LAMPS FOR DISTRICT OFFICE UNDER COUNTER	9.84	
			DUBLIN FILL STATION - LOCK FOR PUMPS	9.30	
			LUMBER MATERIAL FOR PS 1A LOUVER PROJECT	8.63	
			FAX SERVICE - SEPT 2015	7.95	
			LAVWMA: KEYS FOR BRIAN	6.57	
			CREDIT FOR FOLDERS RETURNED TO STAPLES	-53.76	17,200.40
11/12/2015	87157	01738 3T EQUIPMENT COMPANY INC.	CCTV CAMERA SERVICE & REPAIR	723.37	
			REPAIR & PARTS FOR CCTV CAMERA	211.00	934.37
11/12/2015	87158	00021 AAF INTERNATIONAL	PARTIAL DELIVERY: AIR FILTERS FOR WWTP	1,184.45	1,184.45
11/12/2015	87159	02158 AMADOR VALLEY INDUSTRIES	DO GARBAGE SVC - FY 15/16 - OCT. 2015	321.67	321.67
11/12/2015	87160	01009 ARCHER NORRIS	16-A005 TO NO. 1	5,552.00	5,552.00
11/12/2015	87161	01009 ARCHER NORRIS	GROUNDWATER CONTAMINATION INVESTIGATION:	237.00	237.00
11/12/2015	87162	06349 ARCSINE ENGINEERING	09-6101 TO NO. 2	18,792.34	18,792.34
11/12/2015	87163	06211 ASSOCIATED SERVICES CO.	OPS DEPT HOT BEVERAGE SERVICE NOV '15	192.95	192.95
11/12/2015	87164	07362 ISMAEL BANUELOS	REFUND FOR SEWER INSPECTION AND CAPACITY	6,924.00	6,924.00
11/12/2015	87165	04042 BAY AREA NEWS GROUP	BAY AREA NEWS GROUP UNCLAIMED MONIES 9/0	154.80	154.80
11/12/2015	87166	05646 BEST BEST & KRIEGER	REVIEW ZONE 7 CONTRACTS / 2015 TRANSFER	1,170.00	1,170.00

Check History Description Listing

Dublin San Ramon Services District

From: 11/9/2015 To: 12/6/2015

Bank code: apbank

Date	Check #	Vendor	Description	Amount Paid	Check Total
11/12/2015	87167	02217 BSK ASSOCIATES INC.	SAMPLE TESTING	410.00	410.00
11/12/2015	87168	01085 CALPERS LONG-TERM CARE PR	LONG-TERM CARE: PAYMENT	68.12	68.12
11/12/2015	87169	01167 CITY OF DUBLIN	8388 LOCUST PL., DUBLIN: 10/19/15 DIG TO	488.00	488.00
11/12/2015	87170	04820 COASTAL IGNITION & CONTROL	(32QTY) CHAMPION SPARK PLUG FOR CO-GEN 1	702.88	702.88
11/12/2015	87171	05082 CODE PUBLISHING INC.	CODE PUBLISHING - WEB HOSTING ONE YEAR	600.00	600.00
11/12/2015	87172	06107 COGENT COMMUNICATIONS INC	INTERNET SERVICE - NOV. 2015	2,300.00	2,300.00
11/12/2015	87173	03995 CORELOGIC SOLUTIONS, LLC	METROSCAN ANNUAL RENEWAL 9/1/15-8/31/16	3,895.00	3,895.00
11/12/2015	87174	03997 CORRPRO COMPANIES, INC.	LAWWMA: FINAL RETENTION COSTS FOR FY15 R	1,121.25	1,121.25
11/12/2015	87175	00229 DELL MARKETING LP	QTY (10) DELL OPTIPLEX 9020 DESKTOP PC 2 QTY (10) DELL P1914S MONITORS FOR IT STO	11,837.90 2,175.14	14,013.04
11/12/2015	87176	07363 DUBLIN RANCH GOLF COURSE	DUBLIN RANCH GOLF COURSE - 2016 EMPLOYEE	1,000.00	1,000.00
11/12/2015	87177	00277 EBMUD	LAWWMA LEWELLING WTR & SWR OCT '15	136.76	136.76
11/12/2015	87178	06977 EORM INC.	SAFETY CONSULTING SERVICES SEP '15	11,256.57	11,256.57
11/12/2015	87179	02656 FASTENAL COMPANY	ANTI-VIBRATION GLOVES M. SAUCEDA	61.47	61.47
11/12/2015	87180	00313 FASTSIGNS	FASTSIGNS - DAIS NAMEPLATES - MISHELOFF	37.24	37.24
11/12/2015	87181	00699 FLYERS ENERGY, LLC	10/22/15: (441G) REG 87 UNLEADED GASOLIN	1,046.14	1,046.14
11/12/2015	87182	02914 STATE OF CALIFORNIA FRANCHI	FRANCHISE TAX BOARD: PAYMENT	812.77	812.77
11/12/2015	87183	03149 HDS WHITE CAP CONST SUPPLY	BLADES FOR RECIPROCATING SAWZALL	103.98	103.98
11/12/2015	87184	00401 HOME DEPOT	MISC SUPPLIES	156.35	156.35
11/12/2015	87185	07354 JACK HENRY AND ASSOCIATES	REMITTANCE CHECK SCANNER	3,850.18	3,850.18
11/12/2015	87186	06166 KBA	INSTALLATION OF ROLLER ASSY FOR HR PRINT	341.33	341.33
11/12/2015	87187	02353 KELLY-MOORE PAINT CO., INC.	PAINTS & SUPPLIES	49.78	49.78

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11/12/2015	87188	00486 KOFFLER ELECTRIC/MECH	5HP MOTOR FOR BLDG C AIR HANDLER	496.04	496.04
11/12/2015	87189	04452 LOWE'S	MISC SUPPLIES	55.93	
			BH FOLDING STAR HEX KEYS	8.29	64.22
11/12/2015	87190	07264 MANPOWERGROUP US INC.	M. ZAKLAN: W/E 10/18/15	409.64	
			C. CAVE: W/E 10/18/15	274.56	684.20
11/12/2015	87191	00536 MC MASTER-CARR SUPPLY CO.	SS PIPE FITTINGS FOR FUEL SKID	302.84	
			INSULATION FOR FUEL SKID; PARTS FOR CO-G	197.12	499.96
11/12/2015	87192	05897 MERIT RESOURCE GROUP	A. MCCAFFERY: W/E 10/18/15	1,259.70	1,259.70
11/12/2015	87193	00606 OFFICE DEPOT	OFFICE SUPPLIES: BINDERS & PAPER	72.01	72.01
11/12/2015	87194	02109 ONE HOUR DELIVERY SERVICE,	ONE HOUR DELIVERY - BOD MAIL DELIVERY 10	120.00	
			ONE HOUR DELIVERY - BOD MAIL DELIVERY 10	97.00	217.00
11/12/2015	87195	00620 P G & E	PUMP STATION 200A ELEC - NOVEMBER 2015	6,282.36	
			DISTRICT OFFICE ELECTRICITY - NOVEMBER 2	5,047.74	
			PUMP STATION 4A ELEC - OCTOBER 2015	510.99	
			DISTRICT OFFICE GAS SERVICE - NOVEMBER 2	235.99	
			DO UTILITY BUILDING ELEC - NOVEMBER 2015	115.59	
			RESERVOIR R100 ELEC - NOVEMBER 2015	18.29	
			ALAMO TRUNK SEWER ELEC - OCTOBER 2015	16.58	
			DO UTILITY BUILDING GAS SVC - NOVEMBER 2	12.89	
			JOHNSON DRIVE STREETScape ELEC - OCTOBER	11.85	12,252.28
11/12/2015	87196	04211 PLATT ELECTRIC SUPPLY	(2QTY) REPLT MOTOR STARTERS	2,825.10	
			HIGH OUTPUT DIMMING FOR D.O. LIGHTING	63.07	2,888.17
11/12/2015	87197	02316 RECORDS CONTROL SERVICES	RCS - INACTIVE RECORDS STORAGE SYSTEM IM	866.90	866.90
11/12/2015	87198	06661 SCELZI ENTERPRISES, INC.	LOAD SENSOR ASSY & REPLAY SS TIMING FOR	389.81	389.81
11/12/2015	87199	02698 SHAMROCK OFFICE SOLUTIONS	OVERAGE USAGE COLOR COPIES 10/06 - 11/05	304.11	304.11

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11/12/2015	87200	00779 SIGMA-ALDRICH, INC.	BTEX MIX	41.84	41.84
11/12/2015	87201	00810 STUDIO BLUE REPROGRAPHICS	15-R009 CONFORM DOCUMENT	160.75	160.75
11/12/2015	87202	00825 SWRCB	LAND TREATMENT UNIT (LTU) ANNUAL PERMIT	14,929.00	14,929.00
11/12/2015	87203	00848 THE LIGHTHOUSE	LED FLASHING LIGHT FOR UNIT #113	288.64	288.64
11/12/2015	87204	00862 TIFCO INDUSTRIES	OPERATING SUPPLIES: NUTS & BOLTS	560.32	560.32
11/12/2015	87205	00903 UNITED RENTALS, INC.	1MONTH COMPRESSOR RENTAL FOR PLANT AIR (713.25	713.25
11/12/2015	87206	00556 UNITED WAY OF THE BAY AREA	UNITED WAY: PAYMENT	243.93	243.93
11/12/2015	87207	07290 V. W. HOUSEN & ASSOCIATES, IN	16-R014 TO NO. 1 RW TREATMENT PLANT EXPA	3,330.00	3,330.00
11/12/2015	87208	00933 VWR INTERNATIONAL, INC.	CHEMICALS AND SUPPLIES	114.87	114.87
11/12/2015	87209	00957 WEST YOST & ASSOCIATES	14-P004 TO NO. 2 14-POO4 TO NO. 2	49,538.62 47,680.60	97,219.22
11/12/2015	87210	00987 ZONE 7 WATER AGENCY	OCTOBER 2015 CONNECTION FEES	1,829,352.20	1,829,352.20
11/16/2015	1557	00494 PERS	RETIREMENT: PAYMENT	85,206.83	85,206.83
11/16/2015	111615	03718 HR SIMPLIFIED	2015 POS/FSA/DCA	4,332.95	4,332.95
11/16/2015	14253517	00591 NEOPOST USA INC.	POSTAGE ADVANCE 11/16/2015	1,000.00	1,000.00
11/16/2015	607111615	01098 NATIONWIDE RETIREMENT SOLI	NATIONAL DEFERRED COMPENSATION: PAYMENT	43,654.46	43,654.46
11/17/2015	94614041	00558 IRS - PAYROLL TAXES	FEDERAL WITHHOLDING TAXES: PAYMENT	154,968.40	154,968.40
11/17/2015	782165056	00559 EDD - PAYROLL	CALIFORNIA STATE TAXES: PAYMENT	37,365.24	37,365.24
11/19/2015	87211	00710 AAI TERMITE & PEST CONTROL	LAWWMA: TERMITE & PEST CONTROL NOV '15	72.00	72.00
11/19/2015	87212	00031 ALLIED FLUID PRODUCTS CORP	12-P003: HOSES FOR RAS BY-PASS (3QTY) 1"X55" HYPERLINE FX SS BRAID/PTFE	3,664.25 890.02	4,554.27
11/19/2015	87213	02878 MONA LISA BALLESTEROS	BALLESTEROS REIMB EXP FOR TRI VALLEY LUN	45.35	45.35
11/19/2015	87214	01013 BARRETT BUSINESS SERVICES	D. SWIFT: W/E 10/25/15	1,024.00	

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			T. AMARO: W/E 10/25/15	1,024.00	
			C. HIVES: W/E 11/01/15	772.80	
			J. KAUFFMAN: W/E 10/25/15	737.28	
			C. HIVES: W/E 10/25/15	701.12	
			D. SWIFT: W/E 11/01/15	614.40	
			C. SIMMONS: W/E 10/25/15	565.76	
			K. GARCIA: W/E 10/25/15	514.56	
			S. JENNE: W/E: 10/25/15	483.84	
			P. BRICK JR.: W/E 10/25/15	437.76	
			K. KOLODZIE: W/E 11/01/15	437.76	
			P. BRICK JR.: W/E 11/01/15	437.76	
			K. KOLODZIE: W/E 10/25/15	414.72	
			N. POON: W/E 10/25/15	413.44	
			N. POON: W/E 11/01/15	413.44	
			T. AMARO: W/E 11/01/15	409.60	
			W. RHODES: W/E 10/25/15	391.68	
			S. JENNE: W/E 11/01/15	391.68	
			M. ZAVALA: W/E 10/25/15	368.64	
			M. ZAVALA: W/E 11/01/15	368.64	
			W. RHODES: W/E 11/01/15	368.64	
			A. JEW: W/E 11/01/15	360.96	
			G. PHILLIPS: W/E 11/01/15	291.84	
			C. SIMMONS: W/E 11/01/15	272.00	
			A. JEW: W/E 10/25/15	245.76	
			W. RHODES: W/E 10/18/15	15.36	12,477.44
11/19/2015	87215	07348 JUAN CAPARROS	REFUND 9643 CAMASSIA WAY	142.56	142.56
11/19/2015	87216	00216 DAIOHS U.S.A. INC.	FY2016 HOT BEVERAGE SERVICE DO - NOV. 20	211.25	211.25
11/19/2015	87217	00228 STEVE DELIGHT	DELIGHT REIMB EXP FOR NEW HIRE LUNCH 11/	34.76	34.76

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11/19/2015	87218	06114 EID, INC.	EID - 10 DAYS - PROJECT PLANNING, MEETIN	15,000.00	15,000.00
11/19/2015	87219	04691 INC. EQUIFAX INFORMATION SV	EQUIFAX	449.76	449.76
11/19/2015	87220	03985 NICOLE GENZALE	GENZALE COMPUTER LOAN	431.03	431.03
11/19/2015	87221	07205 GLENMOUNT GLOBAL SOLUTION	09-6101 PP#2 SCADA IMPROVEMENTS	54,741.80	54,741.80
11/19/2015	87222	04424 GRAYBAR ELECTRIC COMPANY	(6QTY) FIXTURES FOR EAST AMADOR LIFT STA	1,382.83	1,382.83
11/19/2015	87223	01242 INFO SEND, INC	UB BILLING PRINTING/MAILING SVCS OCT. 20	275.02	275.02
11/19/2015	87224	01171 MCGUIRE & HESTER	15-R009 PP#4 09/26/15-10/25/15 PERFORM WORK ON 10/23/15, SEWER MH BETWE SAWCUT FOR ELEPHANT BAR ON 10/29/15	946,917.25 5,057.38 2,366.86	954,341.49
11/19/2015	87225	00608 OFFICE TEAM	TEMP SVCS W/E 11/06/15 - MONTAGUE TEMP SVCS W/E 10/30/15 - MONTAGUE	622.03 585.44	1,207.47
11/19/2015	87226	04553 PACHECO BROTHERS GARDENI	DISTRICT FACILITIES LANDSCAPE MTCE NOV ' DERWA LANDSCAPE MTCE PS R200A NOV '15	3,470.00 75.00	3,545.00
11/19/2015	87227	02470 SHRED-IT USA LLC	SHREDDING SERVICES FY 2016 - OCT. 2015	155.98	155.98
11/19/2015	87228	07325 JACKIE SIMMERSON	SIMMERSON REIMB EXP FOR APPRAISAL BOARD	6.13	6.13
11/19/2015	87229	00843 THE COVELLO GROUP INC	SUPPLEMENTAL DEVELOPMENT PROJECT INSPECT	23,520.00	23,520.00
11/19/2015	87230	05026 UNIVAR USA INC.	WWTP SODIUM HYPOCHLORITE DELIVERY ON 10/ WWTP SODIUM HYPOCHLORITE DELIVERY 10/26/ DERWA SODIUM HYPOCHLORITE DELIVERY 10/24	2,204.58 2,138.02 1,931.60	6,274.20
11/19/2015	87231	04694 VULCAN MATERIALS CO	ASPHALT CONCRETE AGGREGATE BASE	262.58	262.58
11/19/2015	87232	00937 W.W. GRAINGER, INC.	(2QTY) UPS FOR BETH'S COMPUTER	412.79	412.79
11/19/2015	87233	00937 W.W. GRAINGER, INC.	BATTERIES FOR SHOP	325.22	325.22
11/19/2015	87234	06643 WEIR TECHNICAL SERVICES	MANAGEMENT SERVICES - OCTOBER 2015	9,380.09	9,380.09

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11/19/2015	87235	01719 A & M PRINTING, INC.	BUSINESS CARDS - MULTIPLE EMPLOYEES	340.70	340.70
11/19/2015	87236	00019 A-1 ENTERPRISES	LAWWMA: WEEKLY STREET SWEEPING OCT '15 WWTP RW FILL STATION: PORTA POTTY OCT '1 WWTP: BI-MONTHLY STREET SWEEPING OCT '15	275.00 236.46 170.00	681.46
11/19/2015	87237	00021 AAF INTERNATIONAL	AIR FILTERS FOR WWTP	1,191.20	1,191.20
11/19/2015	87238	02326 ABPA	OLSON - ABPA 2016 MEMBERSHIP RENEWAL	75.00	75.00
11/19/2015	87239	00009 ACWA	ACWA - 2016 ANNUAL AGENCY DUES	25,781.33	25,781.33
11/19/2015	87240	07368 ACWA SCHOLARSHIP PROGRAM	2015 ANNUAL ACWA SCHOLARSHIP CONTRIBUTIO	1,000.00	1,000.00
11/19/2015	87241	02158 AMADOR VALLEY INDUSTRIES	OCTOBER 3 YD BIN 1XWEEK, SERVICE FEE, CC	774.61	774.61
11/19/2015	87242	01195 AMERICAN BATTERY CO.	BATTERY FOR DO GEN SET	82.13	82.13
11/19/2015	87243	06552 BILLING SOLUTIONS INC. AMERI	AQUA HAWK ALERTING 12/01 - 01/01/16	1,750.00	1,750.00
11/19/2015	87244	01210 APOLLO TIME CLOCKS	APOLLO TIME CLOCK RIBBON	46.23	46.23
11/19/2015	87245	00058 ARROWHEAD MOUNTAIN SPRIN	WATER FOR THE LAB	59.57	59.57
11/19/2015	87246	01568 ASBURY ENVIRONMENTAL SVCS	USED OIL SERVICE CHARGE	65.00	65.00
11/19/2015	87247	03813 AT&T CONFERENCING	CONF CALL 10/14/2015	13.16	13.16
11/19/2015	87248	00080 BAY AREA COATING INC.	14-S013 TO NO. 1 SHOP COATING AND FIELD	16,109.63	16,109.63
11/19/2015	87249	00091 BOLD, POLISNER, MADDOW, NEI	MONTHLY LEGAL SERVICES - 09/2015	13,860.00	13,860.00
11/19/2015	87250	02865 BRENNTAG PACIFIC INC	HYDROFLUOSILICIC ACID SUPPLY AND DELIVER	10,075.19	10,075.19
11/19/2015	87251	00096 BROWN & CALDWELL	14-S013 TO NO. 1	7,496.53	7,496.53
11/19/2015	87252	07138 CALIFORNIA WATER TECHNOLO	FERROUS CHLORIDE DELIVERY ON 10/29/15	4,769.28	4,769.28
11/19/2015	87253	00118 CALTEST ANALYTICAL LAB	SAMPLE TESTING	180.50	180.50
11/19/2015	87254	00130 CASA	CASA - 2016 DUES	15,600.00	15,600.00
11/19/2015	87255	07369 CASA EDUCATION FOUNDATION	2015 ANNUAL CASA SCHOLARSHIP CONTRIBUTIO	1,000.00	1,000.00

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11/19/2015	87256	07378 ROBERT CHUNG	REFUND 218 CULLENS CT	226.00	226.00
11/19/2015	87257	01167 CITY OF DUBLIN	7101 AMADOR VALLEY BLVD 10-14-15 DIG TO 7202 AMADOR PLAZA RD. 10-8-15 DIG TO REP	488.00 488.00	976.00
11/19/2015	87258	00157 CITY OF PLEASANTON	WWTP: POTABLE WATER NOV '15 DERWA: POTABLE WATER NOV '15	197.59 143.49	341.08
11/19/2015	87259	05196 COMCAST	BUSINESS TV BASIC 11/07/15 - 12/06/15	15.66	15.66
11/19/2015	87260	05780 CONTRACTOR COMPLIANCE INC	15-R009 LABOR COMPLIANCE WEST DUBLIN	463.75	463.75
11/19/2015	87261	00014 COUNTY OF ALAMEDA	FY 2016 FUELING - FOD/INSP/CFRS - OCT. 1	3,668.66	3,668.66
11/19/2015	87262	00202 CREATIVE SUPPORTS INC	ERGONOMIC SAFETY EQUIPMENT N. GENZALE ERGONOMIC SAFETY EQUIPMENT D. MCINTYRE	270.15 215.13	485.28
11/19/2015	87263	00207 CSMFO	VADEN - CSMFO DUES CY2016	110.00	110.00
11/19/2015	87264	00208 CSRMA	DEDUCTIBLE RECOVERY/CLAIM FILE CLOSED DEDUCTIBLE RECOVERY/CLAIM FILE CLOSED	219.60 176.70	396.30
11/19/2015	87265	06686 D-A LUBRICANT COMPANY	(155GAL) BLUE FLAME HB5 40 FOR CO-GEN EN	1,697.25	1,697.25
11/19/2015	87266	01264 DE SILVA GATES	REFUND METER # 64833104	864.33	864.33
11/19/2015	87267	03859 DUBLIN TROPHY HOUSE	DUBLIN TROPHY HOUSE - ENGRAVING - MICHAL	25.00	25.00
11/19/2015	87268	00271 EARL ISING INC.	DI WATER SYSTEM MAINTENANCE	399.21	399.21
11/19/2015	87269	00300 ENVIRONMENTAL SCIENCE ASS	RESTORATION MONITORING - OCT 1 THRU OCT	6,252.10	6,252.10
11/19/2015	87270	07382 SUZY ESQUIERDO	REFUND 8172 LOCUST PL S	101.22	101.22
11/19/2015	87271	00307 FAIRWAY EQUIPMENT & SUPPLY	12-P003: (2QTY) 14" X 12" GRUVLOK #7072 GALV PIPE, SS PIPE, GALV UNIONS, ANGLE I FOD: FABRICATING PARTS FOR FOD JETTER TR (6QTY) PIPE COUPLING FOR CO-GEN & EMERGE (3QTY) LID GASKET CLAMP FOR WASHER COMPA	2,950.99 1,297.24 1,263.51 851.06 120.44	6,483.24

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11/19/2015	87272	02656 FASTENAL COMPANY	CLASS II TRAFFIC VESTS	33.61	33.61
11/19/2015	87273	00314 FEDEX	16-A005 ESCROW DEPOSIT FOR SALE AND PURC	53.68	
			WWTP: OVERNIGHT DELIVERY CHARGES NOV '15	29.18	
			WWTP: OVERNIGHT DELIVERY CHARGES NOV '15	19.42	102.28
11/19/2015	87274	07384 SARITA FERNANDES	REFUND 10749 CRAIGTOWN LN	62.98	62.98
11/19/2015	87275	00699 FLYERS ENERGY, LLC	(385QTY) MOBIL PEGASUS 801/BULK G FOR CO	5,753.04	5,753.04
11/19/2015	87276	06865 FUTURE FORD OF CONCORD	COIL ASSY & FUEL INJECTOR FOR UNIT #27	505.24	
			REPLT WINDOW TRACK DRIVER DOOR FOR UNIT	145.91	
			(3QTY) FUEL INJECTOR FOR UNIT #27	131.85	
			ABS SWITCH ASSEMBLY FOR UNIT #01	76.58	859.58
11/19/2015	87277	07019 ROBERT GALLERY	REFUND METER #1629806	630.53	630.53
11/19/2015	87278	07381 GLORY INVESTMENTS	REFUND 7476 TAMARACK DR	226.00	226.00
11/19/2015	87279	07366 GO LO PAD, CORP.	KNEE PADS / ERGO WORK PADS	129.90	
			KNEE PAD (ERGO PPE)	97.43	227.33
11/19/2015	87280	07380 HARRIS REAL ESTATE	REFUND 7694 CANTERBURY LN	226.00	226.00
11/19/2015	87281	00388 HEATH'S WELDING SUPPLY	(2QTY) PROPANE GAS FOR FORKLIFT USE	102.93	102.93
11/19/2015	87282	02526 HELLO DIRECT	TELEPHONE HEADSET FOR CSRII	188.34	188.34
11/19/2015	87283	00392 HEUSSER NEWEIGH	CALIBRATION	240.00	240.00
11/19/2015	87284	06732 HIRERIGHT, INC.	BACKGROUND CHECK SERVICES	139.74	139.74
11/19/2015	87285	07017 HYDROSCIENCE ENGINEERS, IN	15-R009 TO NO. 1	28,643.88	28,643.88
11/19/2015	87286	00417 IDEXX DISTRIBUTION, INC	TESTING CHEMICALS AND SUPPLIES	2,224.16	2,224.16
11/19/2015	87287	00425 INDUSTRIAL WIPER & SUPPLY IN	COLORED KNIT RAGS FOR MAINTENANCE	488.04	488.04
11/19/2015	87288	00578 INNOVYZE, INC.	WATER MODELING SOFTWARE ANNUAL LICENSE R	4,550.00	4,550.00

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11/19/2015	87289	00192 J & B CORROSION ENG ASSOC I	ANNUAL SURVEY OF CP SYSTEMS AT EACH FOD	2,350.00	2,350.00
11/19/2015	87290	06657 INC. JPA LANDSCAPE AND CONS	IRRIGATION REPAIR AT 8267 VOMAC RD DUBLI IRRIGATION INSPECTION AT 8267 VOMAC RD D	153.40 69.85	223.25
11/19/2015	87291	07386 SUBHALAKSHMI KADIYALA	REFUND 5227 S ESPRIT LOOP	153.11	153.11
11/19/2015	87292	01225 KAESER COMPRESSORS, INC	PLATE HEAT EXCHANGER & SEMI-SYNTHETIC OI PLATE HEAT EXCHANGER FOR PLANT AIR COMPR	4,987.94 4,245.36	9,233.30
11/19/2015	87293	06166 KBA	COPIERS UCC & LEASE 11/01-11/30/15	809.21	809.21
11/19/2015	87294	06166 KBA	COPIER FOD/ENGR/MAINT 10/01/15 - 10/31/1	745.46	745.46
11/19/2015	87295	02353 KELLY-MOORE PAINT CO., INC.	PAINT CAN FOR LEFT-OVER BED LINER	1.20	1.20
11/19/2015	87296	07193 LAI & ASSOCIATES	FIELD DENSITY TESTING 9/26/15 @ SIERRA C 16-A005 GEOTECHNICAL CONSULTING SERVICES FIELD DENSITY TESTING 10/31/15 @ 6701 SI FIELD DENSITY TESTING 10/31/15 @ SHANNON FIELD DENSITY TESTING 10-3-15 @ 1262 BEL FIELD DENSITY TESTING 10/24/15 @ 8388 LO FIELD DENSITY TESTING 10/3/15 @ 7026 ANN FIELD DENSITY TESTING 10/17/15 @ 7101 AM	1,325.17 1,020.00 843.18 843.18 736.68 669.93 625.63 606.18	6,669.95
11/19/2015	87297	05257 LENNAR COMMUNITIES	REFUND ACCT # 07-70-796867-000 RECY IRRI	1,756.17	1,756.17
11/19/2015	87298	07379 LIMITLESS VENTURES LLC	REFUND 7559 SUTON LN	148.70	148.70
11/19/2015	87299	03127 LUHDORFF & SCALMANINI	ANNUAL MONITORING REPORT - FIELD INVESTI	1,199.63	1,199.63
11/19/2015	87300	07383 HAO LUU	REFUND 6900 DUKE CT	121.82	121.82
11/19/2015	87301	07264 MANPOWERGROUP US INC.	C. CAVE: W/E 11/01/15 M. ZAKLAN: W/E 11/01/15 M. ZAKLAN: W/E 10/25/15 C. CAVE: W/E 10/25/15	411.84 404.25 388.08 300.30	1,504.47

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11/19/2015	87302	00536 MC MASTER-CARR SUPPLY CO.	PARTS FOR THERMAX CHILLER STACKABLE BIN SHELVING FOR SMALL PARTS	291.18 271.57	562.75
11/19/2015	87303	05897 MERIT RESOURCE GROUP	A. MCCAFFERY: W/E 10/25/15 A. MCCAFFERY: W/E 11/01/15	1,227.40 1,227.40	2,454.80
11/19/2015	87304	04231 MSC INDUSTRIAL SUPPLY CO	MISC OPERATING SUPPLIES WOOD SCREW ASSORTMENT & SCREW FASTENER A (4QTY) CORNER GUARD FOR LAB CABINET SAFETY GLASSES PPE	379.70 362.74 110.20 19.63	872.27
11/19/2015	87305	04796 NAPA AUTO PARTS	BRAKE FLUID & BRAKLEEN FOR STOCK FLUIDS FOR FOD EQUIPMENT FUEL CONDITIONER FLUID FOR UNIT #16	80.61 75.16 17.76	173.53
11/19/2015	87306	00595 NEWARK ELECTRONICS	FOAM FILTERS FOR BLOWER BUILDING MCC	50.32	50.32
11/19/2015	87307	07234 NMI INDUSTRIAL HOLDINGS, LLC	14-S013 PP#1 SECONDARY CLARIFIER #3	53,675.00	53,675.00
11/19/2015	87308	07377 ARYANA OMAR	REFUND 9708 BELLADONNA DR	95.54	95.54
11/19/2015	87309	02109 ONE HOUR DELIVERY SERVICE,	ONE HOUR DELIVERY - BOD MAIL DELIVERY 10 16-A005 COURIER SERVICE FOD PURCHASE AND	92.00 61.56	153.56
11/19/2015	87310	06898 OPENGOV, INC	OPENGOV 11/24/15 - 11/23/16	7,000.00	7,000.00
11/19/2015	87311	07375 ONONITEN ORUGBO	REFUND 2315 MAIDENHAIR WAY	65.95	65.95
11/19/2015	87312	00620 P G & E	MISC PUMP STNS; DUBLIN LIFT STN - OCTOBE PUMP STATION 20B ELEC - OCTOBER 2015 PUMP STATION R200B ELEC - NOV '15 FSL AERATORS; LAB HVAC; FLEET - OCTOBER PUMP STATION R20 ELEC - NOVEMBER 2015 PUMP STATION R300A ELEC - OCTOBER 2014 PUMP STATION 10A ELEC - OCTOBER 2015	17,802.75 8,267.10 3,953.48 2,473.52 2,343.86 1,201.77 1,018.02	

Check History Description Listing

Dublin San Ramon Services District

From: 11/9/2015 To: 12/6/2015

Bank code: apbank

Date	Check #	Vendor	Description	Amount Paid	Check Total
			PUMP STATION 2B ELEC - NOV '15	874.38	
			PUMP STATION 300A ELEC - NOV '15	426.42	
			LAVWMA PIPELINE & LIVERMORE LINE NOV '15	356.31	
			RESERVOIR 10B ELECTRICITY - NOVEMBER 201	50.60	
			ALTITUDE VALVE (RES 10B) ELEC - NOVEMBER	9.53	38,777.74
11/19/2015	87313	07385 HENRIK PEDERSEN	REFUND 5207 S ESPRIT LOOP	176.07	176.07
11/19/2015	87314	07370 MIKE PICARD	REFUND 2345 CAPISTRELLO ST	60.26	60.26
11/19/2015	87315	04211 PLATT ELECTRIC SUPPLY	ELE PARTS & MATLS FOR FSL CAP WATER RETU	2,523.68	
			ELE MATLS & PARTS FOR DISCONNECT REPAIR	327.04	
			DEWALT DCK296M2 20V MAX HAMMERDRILL & IM	217.91	3,068.63
11/19/2015	87316	00663 PLEASANTON GARBAGE SVC IN	TRANSPORT SOLIDS/GRIT SCREENINGS OCT '15	4,283.91	4,283.91
11/19/2015	87317	04951 PLEASANTON TRUCKING	HAULING MATERIALS: 10/13/15 VULCAN MATER	180.15	
			10/30/15 HAULED 23.48 TONS OF ROCK FROM	176.10	356.25
11/19/2015	87318	04105 R&B COMPANY	SBF 1254A MANHOLE COVER	219.00	219.00
11/19/2015	87319	02316 RECORDS CONTROL SERVICES	RCS - INACTIVE RECORDS STORAGE SYSTEM IM	635.65	635.65
11/19/2015	87320	07374 KIROLOS RISK	REFUND 4908 THORNDIKE LN	44.70	44.70
11/19/2015	87321	05449 SAMBA HOLDINGS, INC.	MONTHLY DMV REPORTING - 10/15	170.95	170.95
11/19/2015	87322	00751 SCBA SAFETY CHECK, INC.	ANNUAL TESTING SCBA AIR CYLINDERS	560.39	
			HYDROSTATIC TEST FOR AIR CYLINDER (SCBA	182.03	742.42
11/19/2015	87323	06348 SELECT CERT IRRIGATION ASSC	OLSON - 2016 IRRIGATION ASSOCIATION CERT	100.00	100.00
11/19/2015	87324	02698 SHAMROCK OFFICE SOLUTIONS	SHIPPING COSTS FOR EXEC TONER	10.90	10.90
11/19/2015	87325	06258 SIERRA PROPERTY MANAGEME	REFUND 3035 HASTINGS WAY	58.77	58.77
11/19/2015	87326	00786 SNAP-ON INDUSTRIAL	1/2IN DR 12PC IMP SOCKET SET FOR UNIT #8	449.50	449.50
11/19/2015	87327	05582 ATTORNEYS AT LAW SOMACH SI	LEGAL OPINION ON DV WATER SUPPLY	16.58	16.58

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Date	Check #	Vendor	Description	Amount Paid	Check Total
11/19/2015	87328	07376 SHAILAJA SOMALWAR	REFUND 2247 BRITANIA DR	842.04	842.04
11/19/2015	87329	07387 SHERRI STONEBERGER	REFUND 3125 MADDEN WAY	201.81	201.81
11/19/2015	87330	01519 STONERIDGE MOTORS, INC.	FUEL SYSTEM PARTS FOR UNIT #79	103.02	103.02
11/19/2015	87331	00825 SWRCB	NPDES ANNUAL FEE FOR WWTP FY16	84,802.00	
			FY 16 PERMIT (FAC ID 2 019129001)	20,000.00	
			SEWER COLLECTIONS SYSTEM ANNUAL PERMIT F	11,195.00	115,997.00
11/19/2015	87332	00855 THE VALLEY TIMES	SUBSCRIPTION-VALLEY TIMES 52 WEEKS	218.40	218.40
11/19/2015	87333	00861 THYSSEN KRUPP ELEVATOR CO	GROUP III STATE TESTING & STAND-BY FIRE	762.34	762.34
11/19/2015	87334	07372 CHRISTIAN TRAN	REFUND 3357 MONAGHAN ST	72.38	72.38
11/19/2015	87335	03377 TRI VALLEY AUTO INTERIORS	SEAT REPAIR FOR UNIT #4	488.50	
			SEAT REPAIR FOR UNIT #67	300.00	788.50
11/19/2015	87336	01806 U.S. BANK	COPIER LEASE LAB/CUST SVC/ EXEC - NOV. 2	548.60	548.60
11/19/2015	87337	06129 DIRECTORATE RESOURCE MGM	OCT-DEC 15 EMERGENCY SVCS (FOD)	1,613.50	1,613.50
11/19/2015	87338	06702 UNIFIRST INC.	OCT 2015: WEEKLY DELIVERY OF FLAME RESIS	424.14	424.14
11/19/2015	87339	00903 UNITED RENTALS, INC.	CREDIT: LABOR CHARGES ON WO INV #1315690	-240.00	
			GENIE LIFT REPAIR~	1,096.53	
			1WEEK COMPRESSOR RENTAL FOR PLANT AIR (S	283.06	1,139.59
11/19/2015	87340	00912 VALLEY CARE HEALTH SYSTEM	BLOOD BORNE PATHOGEN - HEP B VACCINATION	76.00	76.00
11/19/2015	87341	01514 VALLEY CREST	15-R009 PP#2 09/30/15-10/29/15	65,580.55	
			15-R009 PP#1 08/31/15-09/29/15	63,650.00	129,230.55
11/19/2015	87342	06004 VANGUARD CLEANING SYSTEM	NOV 2015: CUSTODIAL SERVICES AT THE DO A	1,675.00	1,675.00
11/19/2015	87343	00920 VASCO ROAD LANDFILL	UNLOADING OF WWTP SOLIDS/GRIT OCT '15	492.40	492.40
11/19/2015	87344	00924 VERIZON WIRELESS	CELL PHN SVC AND EQUPTMNT CHARGES 10/04	3,408.07	3,408.07

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From: 11/9/2015 To: 12/6/2015

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Date	Check #	Vendor	Description	Amount Paid	Check Total
11/19/2015	87345	06322 VSS INTERNATIONAL	REFUND METER # 62216620	826.74	826.74
11/19/2015	87346	00933 VWR INTERNATIONAL, INC.	CHEMICALS AND SUPPLIES	234.71	
			CHEMICALS AND SUPPLIES	109.15	
			POT PERMANGANATE, TRYPTIC SOY BROTH	70.66	
			CHEMICALS AND SUPPLIES	47.58	462.10
11/19/2015	87347	00957 WEST YOST & ASSOCIATES	14-W007 WATER SYS MP AND CAP RES FEE STU	13,328.50	
			T.O. NO. 5 UWMP UPDATE	1,815.00	15,143.50
11/19/2015	87348	04808 WILSON PROPERTY MANAGEME	REFUND 4322 BELCARRA CT	88.06	88.06
11/19/2015	87349	06839 WINDSOR PACIFIC PROP MGMT	REFUND 3528 BROWNTAIL WAY	226.00	226.00
11/19/2015	87350	07373 YING WU	REFUND 3439 FINNIAN WAY	44.44	44.44
11/19/2015	87351	07371 LIHUA ZHANG	REFUND 5452 EAGLEBROOK TERR	182.19	182.19
11/19/2015	87352	00987 ZONE 7 WATER AGENCY	TREATED WATER SERVICE FOR THE PERIOD OF	657,069.22	657,069.22
11/23/2015	112315	03718 HR SIMPLIFIED	2015 POS	988.61	988.61
11/25/2015	87353	02749 DAN GALLAGHER	GALLAGHER REIMB EXP AT 2015 CWEA CONFERE	179.13	179.13
11/25/2015	87354	00439 IUOE LOCAL 39	LOCAL 39 UNION DUES: PAYMENT	4,400.69	4,400.69
11/25/2015	87355	04973 NATERCIA SAUCEDA	CASE ID FL364781: PAYMENT	111.23	111.23
11/25/2015	87356	06889 BUCCANEER DEMOLITION INC	REFUND METER #64833105	439.95	439.95
11/25/2015	87357	01085 CALPERS LONG-TERM CARE PR	LONG-TERM CARE: PAYMENT	68.12	68.12
11/25/2015	87358	00148 CHEVRON & TEXACO CARD SVC	DO GASOLINE PURCHASE 10/23/15 - 11/23/15	130.58	130.58
11/25/2015	87359	02914 STATE OF CALIFORNIA FRANCHI	FRANCHISE TAX BOARD: PAYMENT	812.77	812.77
11/25/2015	87360	03976 JMB CONSTRUCTION, INC	COR #7 - FIXING LINE AT BRYANT AVENUE BE	2,130.47	2,130.47
11/25/2015	87361	00509 LIEBERT CASSIDY WHITMORE	EMPLOYMENT RELATED LEGAL SERVICES (10/15)	9,430.46	
			GENERAL LEGAL SERVICES (10/15)	445.50	9,875.96

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Date	Check #	Vendor	Description	Amount Paid	Check Total
11/25/2015	87362	00620 P G & E	LAWWMA PS FEEDER A NOV '15	58,036.95	
			WWTP ELECTRICITY - OCTOBER 2015	35,600.07	
			LAWWMA PS FEEDER B NOV '15	14,655.80	
			TO NO. 4; RES 3B W/PS 4B ELEC - NOVEMBER	1,088.45	109,381.27
11/25/2015	87363	02466 RICOH AMERICAS CORP	COPIER LEASES MAIL RMS, HR/FIN - DEC. 20	699.28	
			COPIER LEASES MAIL RMS, HR/FIN - DEC. 20	416.24	
			COPIER LEASES MAIL RMS, HR/FIN - DEC. 20	389.24	1,504.76
11/25/2015	87364	07194 STEVE HANSEN	REFUND METER #65495578	819.03	819.03
11/25/2015	87365	05781 THERMO ELECTRON NORTH AM	CHEMICALS AND SUPPLIES	1,162.81	1,162.81
11/25/2015	87366	00556 UNITED WAY OF THE BAY AREA	UNITED WAY: PAYMENT	168.93	168.93
11/25/2015	87367	00945 WATER ENVIRONMENT RESEAR	WERF - 2015 DUES	4,530.00	4,530.00
11/30/2015	11302015	03718 HR SIMPLIFIED	2015 FSA/DCA/POS	1,659.96	1,659.96
11/30/2015	607113015	01098 NATIONWIDE RETIREMENT SOLI	NATIONAL DEFERRED COMPENSATION: PAYMENT	42,562.15	42,562.15
11/30/2015	1000630834	00494 PERS	RETIREMENT: PAYMENT	84,328.05	84,328.05
12/01/2015	35107961	00558 IRS - PAYROLL TAXES	FEDERAL WITHHOLDING TAXES: PAYMENT	108,982.40	108,982.40
12/01/2015	55114056	00559 EDD - PAYROLL	CALIFORNIA STATE TAXES: PAYMENT	24,131.63	24,131.63
12/01/2015	608120115	01098 NATIONWIDE RETIREMENT SOLI	NATIONAL DEFERRED COMPENSATION: PAYMENT	20.00	20.00
12/02/2015	12022015	06456 CIGNA GROUP INSURANCE	11/15 - EE LIFE & DISABILITY INSURANCE P	9,913.76	9,913.76
12/02/2015	34821681	00558 IRS - PAYROLL TAXES	FEDERAL WITHHOLDING TAXES: PAYMENT	400.09	400.09
12/02/2015	855499056	00559 EDD - PAYROLL	CALIFORNIA STATE TAXES: PAYMENT	30.00	30.00
12/03/2015	87368	01013 BARRETT BUSINESS SERVICES	T. AMARO: W/E 11/08/15	1,024.00	
			D. SWIFT: W/E 11/08/15	1,024.00	
			N. POON: W/E 11/08/15	413.44	
			S. JENNE: W/E 11/08/15	368.64	

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From: 11/9/2015 To: 12/6/2015

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Date	Check #	Vendor	Description	Amount Paid	Check Total
			W. RHODES: W/E 11/08/15	368.64	
			C. HIVES: W/E 11/08/15	322.56	
			P. BRICK JR.: W/E 11/08/15	245.76	
			M. ZAVALA: W/E 11/08/15	122.88	
			C. SIMMONS: W/E 11/08/15	87.04	3,976.96
12/03/2015	87369	03572 BONIFACIO DUENAS	DUENAS REIMB EXP AT DRONE EXPO 11/17 - 1	29.00	29.00
12/03/2015	87370	04424 GRAYBAR ELECTRIC COMPANY	CONTROL J-BOX FOR CO-GEN #1	1,588.59	1,588.59
12/03/2015	87371	00365 RAJ GUMBER	GUMBER REIMB EXP FOR LAB SAFETY TALK PRE	53.71	53.71
12/03/2015	87372	00386 HDR ENGINEERING INC.	15-R009 TO NO. 9	63,066.57	63,066.57
12/03/2015	87373	04722 STEPHAN KOZANDA	KOZANDA REIMB EXP AT BAYWORK TRAINING 11	13.10	13.10
12/03/2015	87374	00491 ERIK KUEFNER	KUEFNER REIMB MEMBERSHIP RENEWAL CWEA EC	255.00	255.00
12/03/2015	87375	01171 MCGUIRE & HESTER	PERF 11/9/15 SAWCUT FOR ELEPHANT BAR 2"	13,211.87	
			PERF 11/4/15 REPAIR FOR 2" WATER SERVICE	1,226.82	14,438.69
12/03/2015	87376	02072 KAPIL MOHAN	MOHAN REIMB EXP AT BAYWORK TRAINING 11/1	30.25	30.25
12/03/2015	87377	00608 OFFICE TEAM	TEMP SVCS W/E 11/20/15 - MONTAGUE	933.05	933.05
12/03/2015	87378	00688 RMC WATER & ENVIRONMENT	CLEAN WATER SECTION PROGRAM ADMIN ASSIST	6,845.34	6,845.34
12/03/2015	87379	02470 SHRED-IT USA LLC	SHREDDING SERVICES FY 2016 - NOV. 2015	90.45	90.45
12/03/2015	87380	05387 TIMMINS & ASSOCIATES	(32,000LBS) SULFATREAT H2S REMOVAL MEDIA	25,628.33	25,628.33
12/03/2015	87381	07392 SINZEE TRAN	TRAN REIMB EXP AT CWEA MEMBERSHIP	165.00	165.00
12/03/2015	87382	05026 UNIVAR USA INC.	WWTP SODIUM HYPOCHLORITE DELIVERY 11/09/	2,225.27	
			WWTP SODIUM HYPOCHLORITE DELIVERY ON 11/	2,202.81	
			WWTP SODIUM HYPOCHLORITE DELIVERY ON 11/	2,016.12	6,444.20
12/03/2015	87383	05127 VISION SERVICE PLAN - CA (VSF	DECEMBER 2015 - VISION	2,512.94	2,512.94
12/03/2015	87384	04694 VULCAN MATERIALS CO	23.49 TONS OF 3/4IN CL 2 BASE FOR REPAIR	262.69	262.69

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Date	Check #	Vendor	Description	Amount Paid	Check Total
12/03/2015	87385	00937 W.W. GRAINGER, INC.	CORDLESS COMBINATION KIT FOR FACILITY ST	426.84	426.84
12/03/2015	87386	01076 ALSCO INC	WWTP TOWEL SERVICE NOV '15	211.52	
			FOD TOWEL SERVICE NOV '15	141.60	353.12
12/03/2015	87387	01954 ANDERSON'S UNIFORMS	S. KOZANDA: UNIFORM ITEMS	269.86	
			K. LEWIS: UNIFORM ITEMS	234.28	504.14
12/03/2015	87388	07361 ANIXTER INC	DERWA: UV PARTS	380.15	
			DERWA: UV PARTS - 4.0MM PNEUMATIC FEMALE	224.48	604.63
12/03/2015	87389	00058 ARROWHEAD MOUNTAIN SPRING	OPS DEPT BOTTLED WATER SERVICE NOV '15	302.94	
			LAWWMA BOTTLED WATER SERVICE NOV '15	8.75	311.69
12/03/2015	87390	05727 A-S PIPELINES INC	UB Refund Cst #00067194	350.09	350.09
12/03/2015	87391	00622 AT&T	LAWWMA PS PHONE & DSL NOV '15	265.36	265.36
12/03/2015	87392	01676 BANK OF AMERICA	BANK CHARGES - OCTOBER 2015	2,349.51	2,349.51
12/03/2015	87393	05097 BATTERIES PLUS	BATTERIES FOR STOCK & FOR D.O. TIMECLOCK	195.62	195.62
12/03/2015	87394	01635 BAY CITIES PAVING & GRADING	UB Refund Cst #00067417	795.69	795.69
12/03/2015	87395	00091 BOLD, POLISNER, MADDOW, NEI	MONTHLY LEGAL SERVICES - 10/2015	17,804.42	17,804.42
12/03/2015	87396	00116 CALIFORNIA URBAN WATER COL	ONE-STOP REBATE PROGRAM OCT 15 2015 ADMI	934.92	934.92
12/03/2015	87397	00105 CAL-STEAM	NIPPLES FOR CAMEL TRUCK - UNIT #109	11.14	11.14
12/03/2015	87398	00157 CITY OF PLEASANTON	LAWWMA: POTABLE WATER NOV '15	140.17	140.17
12/03/2015	87399	02639 CITY OF SAN RAMON	16-A032 SEWER MANHOLE COVERS/RAISING IRO	57,450.00	57,450.00
12/03/2015	87400	01156 CWEA	CWEA SFBS DECEMBER DINNER MEETING - SAFE	95.00	95.00
12/03/2015	87401	00314 FEDEX	SHIPPING CHARGES 10/19/2015, 11/11/2015,	84.22	
			WWTP: OVERNIGHT DELIVERY CHARGES NOV '15	29.39	
			GSFM RETURN	3.13	116.74

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From: 11/9/2015 To: 12/6/2015

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Date	Check #	Vendor	Description	Amount Paid	Check Total
12/03/2015	87402	00699 FLYERS ENERGY, LLC	(400LBS) MOBILGEAR 600 XP 150 & (55G) CH 11/10/15: (300G) REG 87 UNLEADED GASOLIN	1,665.63 723.66	2,389.29
12/03/2015	87403	06865 FUTURE FORD OF CONCORD	BRAKE PADS & ROTORS FOR UNIT #4	196.88	196.88
12/03/2015	87404	02526 HELLO DIRECT	HELLO DIRECT	263.34	263.34
12/03/2015	87405	07264 MANPOWERGROUP US INC.	M. ZAKLAN: W/E 11/08/15 C. CAVE: W/E 11/08/15	409.64 394.68	804.32
12/03/2015	87406	05897 MERIT RESOURCE GROUP	A. MCCAFFERY: W/E 11/08/15	1,259.70	1,259.70
12/03/2015	87407	04231 MSC INDUSTRIAL SUPPLY CO	ELE SUPPLIES & TOOLS HEX CARBON DIE FOR CAMEL TRUCK UNIT #109 CANNED AIR DUSTER	416.29 113.20 28.10	557.59
12/03/2015	87408	00595 NEWARK ELECTRONICS	(2QTY) RTU BRAIN MODULES FOR WWTP	826.82	826.82
12/03/2015	87409	00606 OFFICE DEPOT	OFFICE SUPPLIES: BINDERS, WHITE BOARD, 2 OFFICE SUPPLIES: WHITEBOARD	390.57 303.73	694.30
12/03/2015	87410	00620 P G & E	PUMP STATION 300B ELEC - NOVEMBER 2015 PUMP STATION R200A ELEC - NOVEMBER 2015 PUMP STATION 30A ELEC - NOVEMBER 2015 PUMP STATION 300C ELEC - NOVEMBER 2015 RESERVOIR 20A ELECTRICITY - NOVEMBER 201 RESERVOIR R200 DERWA TANK 2 ELEC - NOVEM	4,155.91 2,037.39 1,572.78 509.01 81.59 36.74	8,393.42
12/03/2015	87411	04211 PLATT ELECTRIC SUPPLY	REMOTE SCREEN FOR REPLT VFD ON EALS PUMP	198.04	198.04
12/03/2015	87412	05543 ADMINISTRATORS (PBIA) PREFE	DECEMBER 2015 - DENTAL	21,537.60	21,537.60
12/03/2015	87413	02316 RECORDS CONTROL SERVICES	RECORDS CONTROL SERVICES - INACTIVE RECO	1,011.80	1,011.80
12/03/2015	87414	02956 SUAREZ & MUNOZ CONSTRUCT	UB Refund Cst #00066347	535.63	535.63
12/03/2015	87415	05498 TRI VALLEY AUTO GLASS	REPLT WINDSHIELD & INSTALL FOR UNIT #87	396.74	396.74

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Date	Check #	Vendor	Description	Amount Paid	Check Total
12/03/2015	87416	01470 TRI-VALLEY HOSE	HYDRAULIC FITTINGS FOR UNIT #112	217.00	217.00
12/03/2015	87417	07235 UNDERGROUND CONSTRUCTIO	UB Refund Cst #00066758	733.65	733.65
12/03/2015	87418	00912 VALLEY CARE HEALTH SYSTEM	PRE-EMPLOYMENT PHYSICAL/DRUG SCREEN	369.00	
			PRE-EMPLOYMENT PHYSICAL/DRUG SCREEN -	153.00	522.00
12/03/2015	87419	00928 VINCENT ELEC MOTOR CO., INC	REPLT BLOWER KIT FOR MOTOR FAN	752.94	752.94
12/03/2015	87420	00966 WHITLEY BURCHETT & ASSOCIA	13-S004 TO NO. 1	2,529.48	2,529.48
297 checks in this report				Total Checks:	5,592,112.01

TENTATIVE BOARD ITEMS

12/9/2015 9:06:00 AM

Board Mtg	Agenda Item	Admin Affairs	Financial Affairs	Technical Affairs	Executive	TVWPR
1/5/2016	Drought Related Customer Issues and Concerns	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Annual Briefing Related to Effective District Governance (Brown Act, Public Records Act, Political Reform Act and Government Code 1090)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consider Appeal by {name} of Staff Denial of a Waiver of Exemption to Water Use Limitations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consider Appeal by {name} of Staff Denial of a Waiver of Enforcement Action Related to Violation of Water Use Limitations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Authorize Task Order No. 3 to Master Consulting Agreement with Arcsine Engineering for Design Services of WWTP SCADA Improvements (CIP 05-3206)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Award Contract for Installation of Continuous Backwash Updraft Sand Filter to _____ for the DERWA RWTF 6th Filter Project (CIP 16-R008)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Policy - Rescind Use of Clean Water Revival Facilities and Demineralized Recycled Water	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Policy - Review and Approve Revised Water Recycling	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1/19/2016	Drought Related Customer Issues and Concerns	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Establish Schedule/Process for GM, District Secretary and Treasurer Evaluations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Accept Regular and Recurring Reports: District Financials, Warrant List, Upcoming Board Business, (list)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consider Appeal by {name} of Staff Denial of a Waiver of Exemption to Water Use Limitations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consider Appeal by {name} of Staff Denial of a Waiver of Enforcement Action Related to Violation of Water Use Limitations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Accept Water Supply and Demand and Drought Response Action Plan Status Reports and Find that the Need for the Community Drought Emergency Still Exists	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



Reference General Manager	Type of Action Reject Claim	Board Meeting of December 15, 2015
Subject Notice of Rejection of Claim - Mr. Daryl Alejandro		
<input checked="" type="checkbox"/> Motion	<input type="checkbox"/> Minute Order	<input type="checkbox"/> Resolution
<input type="checkbox"/> Verbal	<input type="checkbox"/> Presentation	<input type="checkbox"/> Ordinance
<input type="checkbox"/> Other	<input checked="" type="checkbox"/> Staff	<input type="checkbox"/> Informational
REPORT:	J. Archer	<input type="checkbox"/> Board Member

Recommendation:

The General Manager recommends the Board of Directors reject, by Motion, a claim submitted against Dublin San Ramon Services District by Mr. Daryl Alejandro.

Summary:

On November 2, 2015 the General Manager received a letter from the Law Offices of Kurt W. Dreger requesting to recover costs and award of actual, compensatory and punitive damages in the amount of approximately \$275,000 for alleged District liability for Mr. Daryl Alejandro’s termination of employment from Garney Construction Company on May 4, 2015. On November 11, 2015 Mr. Dreger notified the District that the letter was intended to be submitted as a claim under the Government Tort Claims Act.

Claimant Daryl Alejandro is a former employee of Garney Construction Company, a contractor apparently retained by Lennar Homes of California, Inc., to install water and wastewater facilities for dedication to the District. Because the facilities were being installed for dedication to the District, they were subject to inspection by District employees. Mr. Alejandro, who identifies himself as Hispanic, has alleged that he began employment with Garney Construction on January 7, 2015, and during his employment with Garney Construction, one District employee made harassing and discriminatory statements about him based upon his race or national origin, as well as other racially harassing statements about African-Americans, and ultimately conspired with Garney Construction to have him fired. Mr. Alejandro alleges that he was terminated from employment on May 4, 2015, and, through his attorneys, submitted a claim (received by the District on October 29, 2015) under the Government Tort Claims Act seeking monetary damages in excess of \$275,000.

On advisement of the District’s insurance adjusters, Carl Warren and Company, and legal counsel, staff recommends the Board reject the claim. Upon rejection, a denial notice will be forwarded to the claimant in compliance with the California Tort Claims Act.

The claimant has been notified that this matter will be considered by the Board at this regularly scheduled meeting.

Committee Review			Legal Review	Staff Review		
COMMITTEE ---	DATE ---	RECOMMENDATION ---	Yes	ORIGINATOR M. Gallardo	DEPARTMENT Admin.	REVIEWED BY
ATTACHMENTS <input type="checkbox"/> None						
<input type="checkbox"/> Resolution	<input type="checkbox"/> Minute Order	<input type="checkbox"/> Task Order	<input type="checkbox"/> Staff Report	<input type="checkbox"/> Ordinance		
<input checked="" type="checkbox"/> Cost \$ TBD	<input type="checkbox"/> Funding Source A. B.	Attachments to S&R 1. Letter from Law Offices of Kurt W. Dreger, 11/11/15 2. 3.				



EMPLOYMENT LAW

848 MOHAWK DRIVE, LIVERMORE, CALIFORNIA 94551

TEL. 925.605.9261 FAX 925.294.8012

KURT@DREGERLAWOFFICES.COM

VIA U.S. MAIL

November 11, 2015

Ms. Michelle Gallardo
Human Resources Supervisor
Dublin San Ramon Services District
7051 Dublin Blvd.
Dublin, CA 94568

Re: Daryl Alejandro, Former Employee Of Garney Construction Company

Dear Ms. Gallardo:

Thank you for your letter of November 5th in which you requested clarification as to how we intend to submit our claims. Please note that it is our intention to submit this as a formal Government Claim under the California Government Tort Claims Act. Please proceed accordingly.

Yours truly,

A handwritten signature in black ink, appearing to be 'Kurt W. Dreger', with a long horizontal line extending to the right.

Kurt W. Dreger
Attorney for Daryl Alejandro

cc: Anthony J. Sperber, Law Office of Anthony J. Sperber



Reference General Manager	Type of Action Discuss and Provide Direction	Board Meeting of December 15, 2015
Subject Review and Discuss Drought Management Program		
<input type="checkbox"/> Motion	<input type="checkbox"/> Minute Order	<input type="checkbox"/> Resolution
<input type="checkbox"/> Ordinance	<input type="checkbox"/> Informational	<input checked="" type="checkbox"/> Other
REPORT:	<input checked="" type="checkbox"/> Verbal	<input type="checkbox"/> Presentation
	<input checked="" type="checkbox"/> Staff	J. Archer
		<input type="checkbox"/> Board Member

Recommendation:

The General Manager recommends the Board of Directors receive comments from the public related to the District’s Drought Management Program, discuss those as appropriate and, by Consensus, provide appropriate direction to staff and/or Board Committees for follow-up or action at this or a future Board meeting.

Summary:

On May 19, 2015 the Board updated the District’s Drought Management Program by taking various actions that will run through the end of the State of Community Drought Emergency on February 29, 2016. On October 20, 2016 the Board made various changes to the Drought Management Plan that will be applicable in the fall and winter months through February 29, 2016. The elements of the current program include the following:

- Extended the Community Drought Emergency;
- Established Water Use Curtailment Goals;
- Adopted Water Use Limitations (various blanket exemptions approved October 20, 2015);
- Adopted Penalties and Enforcement Provisions;
- Adopted Water Shortage Rate Stage 2 (Adopted Stage 1 Water Shortage Rates on October 20, 2016);
- Approved a Wise Water User Credit for FYE 2016 (Suspended effective with the Stage 1 Water Shortage Rates);
- Approved an Enhanced Rebate Program (amended on June 16, 2015 and again on October 20, 2015);
- Endorsed the FYE 2016 Drought Response Action Plan; and
- Approved budget amendments for FYE 2016 related to Drought Management Activities.

The various aspects of the Drought Management Program affect all customers of the District in various ways. To be as open and transparent as possible, the Board wishes to allow the public an opportunity to address the Board on the various aspects of the Drought Management Program in a manner that can lead to a productive outcome. The public may always address the Board under the “Public Comment” portion of the Board agenda. However, for public comment made at that time, the Board is precluded from having substantive discussions in response to the public comment received. This agenda item allows the Board to engage in a substantive discussion of issues that may be raised by the public and also to provide staff or a Board Committee appropriate direction related to the Drought Management Program in a timely fashion. This item will be a standing item on the Board agenda throughout the duration of the Community Drought Emergency which is currently scheduled to expire on February 29, 2016.

Committee Review			Legal Review	Staff Review		
COMMITTEE ---	DATE ---	RECOMMENDATION ---	Not Required	ORIGINATOR J. Archer	DEPARTMENT Executive	REVIEWED BY
ATTACHMENTS <input checked="" type="checkbox"/> None						
<input type="checkbox"/> Resolution	<input type="checkbox"/> Minute Order	<input type="checkbox"/> Task Order	<input type="checkbox"/> Staff Report	<input type="checkbox"/> Ordinance		
<input checked="" type="checkbox"/> Cost \$0	<input type="checkbox"/> Funding Source A. B.	Attachments to S&R 1. 2. 3.				



Reference General Manager	Type of Action Accept Reports and Make Finding	Board Meeting of December 15, 2015
Subject Accept Water Supply and Demand and Drought Response Action Plan Status Reports and Find that the Need for the Community Drought Emergency Still Exists		
<input checked="" type="checkbox"/> Motion	<input type="checkbox"/> Minute Order	<input type="checkbox"/> Resolution
<input type="checkbox"/> Ordinance	<input type="checkbox"/> Informational	<input type="checkbox"/> Other
REPORT:	<input checked="" type="checkbox"/> Verbal	<input type="checkbox"/> Presentation
	<input checked="" type="checkbox"/> Staff	J. Archer
		<input type="checkbox"/> Board Member

Recommendation:

The General Manager recommends the Board of Directors, by Motion, (a) accept the Water Supply and Demand Report and the Drought Response Action Plan Status Report, and (b) find that there still exists a need for continuing the Community Drought Emergency.

Summary:

On May 19, 2015 the Board extended the State of Community Drought Emergency through February 29, 2016. The Board directed the General Manager to inform the Board, on a monthly basis while the State of Emergency remains in effect, of:

- Developments regarding the water supply available to Zone 7 and the District;
- The water demand in the District’s service area and in the Tri-Valley;
- Operational issues encountered or anticipated to be encountered related to the effect of the limited water supply on the District’s water system;
- Pertinent policy level decisions made at the local, regional and state level related to the drought; and
- Other information relevant to the continuing need for the State of Community Drought Emergency.

The Water Supply and Demand Report, included as Attachment 1, provides the requested information and is the basis for the Board’s finding of a continuing need for the State of Community Drought Emergency.

In addition, when the Board endorsed the Drought Response Action Plan, it directed that a monthly status report be prepared documenting activities undertaken on the various aspects of the Drought Response Action Plan and the results achieved; Attachment 2 provides that information.

Committee Review			Legal Review	Staff Review		
COMMITTEE ---	DATE ---	RECOMMENDATION ---	Not Required	ORIGINATOR J. Archer	DEPARTMENT Executive	REVIEWED BY
ATTACHMENTS <input type="checkbox"/> None						
<input type="checkbox"/> Resolution	<input type="checkbox"/> Minute Order	<input type="checkbox"/> Task Order	<input type="checkbox"/> Staff Report	<input type="checkbox"/> Ordinance		
<input checked="" type="checkbox"/> Cost \$0	<input type="checkbox"/> Funding Source A. B.		Attachments to S&R 1. Water Supply and Demand Report 2. 2015 Drought Response Action Plan Status Report 3.			

The information in this Water Supply and Demand Report is current through November 30, 2015.

SUMMARY AND BACKGROUND

During the month of November there have been no further significant positive or negative developments (as discussed in depth below) that would be reason to repeal or modify the current State of Community Drought Emergency. As such, the continuation of the State of the Community Drought Emergency is warranted.

On May 19, 2015 the Board extended that State of Community Drought Emergency through February 29, 2016. The Board also directed the General Manager to inform the Board, on a monthly basis of each calendar month that the Declaration remains in effect, of:

1. Developments regarding the water supply available to Zone 7 and the District;
2. The water demand in the District's service area and in the Tri-Valley;
3. Operational issues encountered or anticipated to be encountered related to the effect of the limited water supply on the District's water system;
4. Pertinent policy level decisions made at the local, regional and state level related to the drought; and
5. Other information relevant to the continuing need for the State of Community Drought Emergency.

This report satisfies that direction for the month of December 2015 (report complete through November 2015) and serves as the basis for the Board's consideration of the continued need for the State of Community Drought Emergency.

1 - DEVELOPMENTS REGARDING THE WATER SUPPLY

There have been no significant changes, positive or negative, to the water supply situation during the month of September.

In terms of the supply, Water Year 2015 has concluded and Water Year 2016 commenced on October 1, 2015. The following is a summary of the most hydrological information available as of October 31, 2015 although much of the data must be considered to be extremely tentative so early in the water year. An eight year historic summary of this same information is presented in Table 1 below. Table 2 presents a month by month summary for the current water year showing how the situation evolved over the course of the winter season.

Precipitation. Northern Sierra precipitation for the Water Year 2016 is at only 39% of normal in the Sacramento and Feather River basins where our water supply physically originates.

Forecast Precipitation. The National Weather Service's Climate Prediction center is now forecasting essentially an equal chance of above or below normal precipitation through mid-January.

Snowpack. Snowpack (really snow water content) is non-existent at this point in the water year. Monitoring of snowpack data begins in December.

Reservoir Storage. Lake Oroville is filled to only 28% capacity and is 47% of what it would normally be at this time of the year.

Unimpaired Runoff. DWR's has made no projection of unimpaired runoff in the Sacramento River watershed for Water year 2016; projections typically begin in December of the Water Year.

Water Year Type. DWR has not yet issued any classifications of Water year 2016. Such classifications typically begin in December.

Drought Conditions. The United States Drought Monitor presents the drought situation in the western United States. This Drought Watch factors in not only precipitation but also includes temperature, soil moisture and evaporative potential due to wind. The Drought Monitor categorizes 71.1% of the entire State of California remains in either Exceptional Drought or Extreme Drought Conditions and 99.86% of the State in some degree of drought conditions. The District's service area is categorized as being in Extreme Drought conditions (the second worst category) meaning that there will be major crop/pasture losses; widespread water shortages or restrictions.

DWR Allocation. DWR has made no allocations for Water year 2016; the first allocation announcement is typically made in December.

Zone 7 Supply. On July 23, 2015 the District submitted its "normal" supply request to Zone 7 for 2016 along with "conservation based" projections. Zone 7 has not yet acted on that request.

Delta Outflow. The Sacramento River Delta has been in "balanced" conditions since March 16, 2015 which was the earliest date in history that the Delta went into balanced conditions. Under "balanced" conditions the amount of water entering the State's river systems essentially equals the minimum amount of outflow that is needed to prevent salt water from entering the Delta. Under "balanced" conditions DWR and others may be required to discharge water from reservoirs in order to maintain a sufficient amount of outflow to the ocean. "Balanced" conditions can be used to limit or prevent water transfers.

Possible Water Transfers. On November 19, 2014 the Zone 7 Board conceptually approved a water exchange with Contra Costa Water District on 2,500 AF for 2015 and separately approved the Fifth Amendment to the Yuba Accord which has yielded an

average of about 550 AF per year for the past several years. Through the end of September no actual water transfers have been completed by Zone 7.

The District, EBMUD and the Yuba County Water Agency (YCWA) approved agreements for the transfer of a net of 1,275 AF of water to the District's service area during Water Year 2015. Zone 7 initially objected to the transfer but subsequently removed their opposition to the transfer and in its place expressed their "enthusiastic support" for DSRSD's transfer. The transfer was unable to be completed in the spring of 2015 because of (a) the Delta being in "Balanced" conditions and (b) and the United States Bureau of Reclamation's refusal to process the Environmental Assessment and the associated Warren Act contract to allow the transferred water to move through the Folsom South Canal.

On September 1, 2015 the Board has endorsed and staff has opened preliminary discussions regarding possible water transfers for 2016 and beyond with various suppliers and conveyors. On October 28, 2015 the District notified Zone 7 of its intent to pursue a water transfer for 2016; no response has been received.

2 - WATER DEMAND

There have been no significant changes, positive or negative, to the water demand situation during the month of November.

Water demand (really water furnished to District customers) is monitored on a weekly basis. The SWRCB acting on Governor Brown's 25% statewide curtailment allocated 12% curtailment to DSRSD. The declaration of the District's Community Drought Emergency calls for system wide water use curtailment of twelve percent (12%) overall with five percent (5%) coming from indoor curtailment and twenty five (25%) from outdoor curtailment as compared to the same period in calendar year 2013.

Annual Demand. Since the beginning of 2015 the District's service area has used 6,889 AF of water as compared to 10,521 AF used during the same period in 2013; this represents an overall system wide curtailment of 34.5%.

Gallons per capital per day. The District monitors this metric on a monthly basis as of the end of each calendar month as part of monitoring our 20% by 2020 mandated usage. During the month of November 2013 (2013 is used because it is considered to be the "baseline" year) the District delivered water at a rate of 120 gpcpd while in November 2015 the rate was only 76 gpcpd representing a 37.0% reduction. On a "residential use alone" base water use in November 2013 and 2015 were 88.2 and 69.2 gpcpd, respectively representing a 21.6% usage reduction.

Tri-Valley Wide Demand. The following information has been abstracted from the SWRCB website related to how the Tri-Valley water agencies are performing during the drought; the latest data that is available on that website is through the end of October, 2015. DSRSD data from the same source is shown for comparison purposes and because

of the way the results are calculated by the SWRCB the results below may not exactly agree with DSRSD generated data presented elsewhere in this report.

SWRCB Data	Cal Water	Livermore	Pleasanton	DSRSD
<i>Residential per Capita Water Use (gallons per person per day)</i>				
Jan 2015	58	66	64	45
Feb 2015	53	63	62	57
Mar 2015	73	83	89	44
Apr 2015	73	92	80	59
May 2015	80	83	93	61
June 2015*	92	104	99	63
July 2015	106	104	112	59
August 2015	100	104	115	68
Sept. 2015	97	102	108	67
Oct. 2015	93	88	95	66
<i>2015 vs. 2013 System Wide Water Use Reduction (Percent)</i>				
Goal	24%	20%	24%	16%
Jan 2015	12.1	0.0	-3.2	6.3
Feb 2015	23.2	6.0	13.9	17.4
Mar 2015	30.5	18.6	25.8	21.4
Apr. 2015	35.0	32.4	35.0	31.4
May 2015	50.0	44.3	46.2	42.5
June 2015*	48.8	41.5	47.6	42.4
July 2015	46.3	42.1	46.9	44.5
August 2015	43.1	35.9	40.4	42.6
Sept. 2015	39.3	31.5	37.4	39.6
Oct. 2015	31.6	25.3	32.3	32.2

**Restated by SWRCB after initial publication*

3 - OPERATIONAL CONDITIONS

There were no significant long term changes, positive or negative, to operational conditions during the month of November.

Reservoir storage is the single most critical operational parameter that the District monitors during the Community Drought Emergency to ensure adequate reserves for health and safety and fire-fighting purposes. However, there are other operational challenges related to which of the five existing turnouts Zone 7 will deliver water given various constraints that arise from operating with very limited amounts of surface water.

Water Quality Issues: When Zone 7 resumed operating the Del Vale WTP following the first SBA outage on June 20, the first batch of surface water they produced did not contain a sufficient level of chlorine and the residual chlorine concentration in Zone 7's Dougherty Reservoir plummeted. As a result, water delivered to DSRSD

through Turnout No. 2 contained abnormally low levels of chlorine, which caused a number of water quality problems primarily in Western Dublin. Five positive coliform samples resulted, and staff was forced to begin adding sodium hypochlorite at several reservoirs and flushing at hydrants in an effort to draw chlorinated water into the distribution system. These activities continued until July 3, when Zone 7 was finally able to boost the chlorine concentration in the water they were sending to Dougherty Reservoir, and the chlorine concentration in the water delivered through Turnout No. 2 returned to normal. Intermittent coliform issues occurred again during late July and August due to high ambient temperatures and the difficulty of maintaining adequate chlorine residual in some of the reservoirs, particularly in Western Dublin. Staff completed the installation of a PAX chloramination system at reservoir 1A on Rhoda Avenue. This system will allow staff to boost the chlorine residual of the water once it is already into DSRSD's distribution system. DDW recently approved the addition of the PAX system to the District's Operations Plan, and the vendor is now completing final startup and testing of the new system at Reservoir 1A. Additional PAX systems may be added at more locations if the Reservoir 1A system performs as well as expected.

Reservoir Storage. The District's water system is designed to provide adequate fire suppression flows with a minimum of 6.30 MG in storage, the system-wide standard operating criteria for public health and safety. Minimum storage levels during 2015 are presented below:

Month	Date	Time	Minimum Volume	Comment
January	2	12:00 noon	6.06 MG	Below standard
February	28	10:00 am	6.84 MG	
March	2	11:00 am	7.01 MG	
April	4	12:00 noon	7.20 MG	
May	5	11:00 pm	9.64 MG	
June	15	8:00 am	10.04 MG	
July	18	1:00 pm	11.74 MG	
August	1	3:00 pm	10.93 MG	
September	4	9:00 am	10.95 MG	
October	18	11:00 pm	10.80 MG	
November	9	2:00 pm	10.98 MG	

System storage dropped below the minimum of 6.30 MG on two occasions during early January 2015, both for short periods of time and were due to the difficulty of operating the system using only two turnouts (described in more detail below), coupled with staff's efforts to manage storage during the winter months while maintaining acceptable water quality. Too much water in storage can result in water that loses chlorine so water quality suffers, and too little in storage can result in low pressures and not having enough water to meet demands.

System Operation during 2015 Drought Emergency. Since early 2014 DSRSD has been receiving potable water only through turnouts No. 2 (Stagecoach Road) and No. 5 (Fallon Road). Turnouts No. 1 (Dougherty Road) and No. 4 (Arnold) remain out of service at the request of Zone 7. Turnout No. 3 (Camp Parks) is intended for emergency use only and normally is not in service. This change allowed Zone 7 to reduce the pressure in their western loop from about 90 PSI to about 70 PSI in order to increase the maximum flow Zone 7's wells can produce by about 400 GPM. In addition, by reducing the pressure in the western loop Zone 7 can allow Dougherty Reservoir to "float" off their transmission system. To date the District has been able to move sufficient amounts of water from the two turnouts to each of the District's pressure zones, although as noted above the minimum quantity in storage has dropped below the system-wide standard operating criteria for health and safety briefly on two occasions, and both occurred during January 2015. Zone 7 plans to continue operating the western loop at the lower pressure, and they are evaluating adding pumping capacity at several Retailer turnouts in order to accommodate this as a long term operating strategy.

4 - PERTINENT POLICY DECISIONS

There were no significant policy decisions made in November that would warrant changes to either the District's Drought Management Plan or its declaration of its State of Emergency.

2014 Policy Decisions Still in Effect. Since early 2014 there have been many policy level decisions made at the local, regional, State and Federal levels as have been identified in earlier versions of this report during Water Year 2014. Key decisions that remain in effect include:

- U.S. Dept. of Agriculture declared Contra Costa and Alameda Counties natural disaster areas due to drought;
- The Zone 7 Water Agency directed the local water supply retailers and untreated water customers to assure a 25% total reduction with 5% coming from indoor curtailment and 50-60% from outdoor curtailment, and adopting mandatory conservation measures to achieve these reductions (apparently rescinded by Zone 7 on April 15, 2015);
- The District declared a State of Community Drought Emergency and extended that through June 30, 2015;
- The City of Dublin declared a Local Drought Emergency;
- The City of Pleasanton approved an urgency ordinance amending their water conservation plan as needed to protect the immediate threat of the potentially significant drought to preserve public health and safety;
- The City of Livermore declared a Stage 3 drought emergency; and
- Governor Edmund G. Brown proclaimed a Continued State of Emergency in the State of California and ordered that California residents should refrain from wasting water, specifying many practices that waste water and directing urban water suppliers to implement drought response plans to limit outdoor irrigation and other wasteful water practices.

2015 Policy Actions. The following policy actions have been taken in 2015:

- Pleasanton took formal action to suspend enforcement of its water use curtailment restrictions on January 20, 2015;
- Zone 7 has stated that they will not approve the District’s water supply request for 2015 until at least April; and
- On April 1, 2015 Governor Brown issued an Executive Order extending the State of Emergency due to the drought through February 28, 2016 and ordering mandatory statewide water use reductions of 25%;
- On April 15, 2015 Zone 7 lifted its Stage 2 Actions previously approved by the Board since Zone 7 can meet 100% or requested deliveries in 2015, there is no imminent threat of losing a major water supply source and the same objectives are being met through statewide mandates;
- On April 21, 2015 The City of Pleasanton took the following actions:
 - Continued the local emergency drought declaration;
 - Maintained Stage 3 mandatory water reductions of 25%; and
 - Reinstated excessive water use penalties for customers who do not achieve 25% reduction of water use (2015 vs. 2013).
- On May 5, 2015 the SWRCB established water use reduction targets for Tri-Valley agencies as follows:
 - DSRSD 16% (subsequently reduced to 12% - see below)
 - Pleasanton 24%
 - Livermore 20%
 - Cal Water 24%
- On May 19, 2015, the District conformed its Drought Management Plan to the SWRCB requirements and extended the State of Community Drought Emergency through February 29, 2016.
- On June 1, 2015 the SWRCB adjusted DSRSD’s conservation target downward from 16% to 12%
- See attached comments provided to the SWRCB regarding potential extension and modification of the existing regulations for statewide urban water conservation.

Water Supply Uncertainties. There also remains a great deal of legal and regulatory uncertainty about the reliability of water supplies from the Sacramento-San Joaquin Delta. This uncertainty develops due to interwoven legislation, regulation, legal actions and basic hydrology of the Delta. This situation has existed in some form for several decades but has become particularly critical in recent years. It is very likely that the uncertainties will continue for at least several years into the future. *Attachment A* to this report provides specific information about what is driving the various legislative, regulatory and legal uncertainties related to the Delta water supply.

5 - OTHER RELEVANT INFORMATION

There is no other relevant information, positive or negative, to report at this time.

**TABLE 1
TABULAR SUMMARY OF HISTORIC HYDROLOGICAL AND WATER SUPPLY CONDITIONS¹**

	WY2007	WY2008	WY2009	WY 2010	WY 2011	WY 2012	WY 2013	WY 2014	WY 2015
Precipitation ²	75%	73%	93%	107%	145%	80%	85%	62%	74%
Snowpack ³	52%	101%	89%	126%	165%	74%	49%	23%	3%
Oroville Storage (% of Normal)	101%	90%	59%	78%	135%	115%	92%	49%	48%
Oroville Storage (% of Capacity)	62%	55%	38%	50%	86%	99%	79%	31%	30%
Unimpaired Runoff									
Percent of Normal Year ⁴	53%	58%	64%	84%	138%	63%	64%	39%	40%
Water Supply Index	Critical	Critical	Dry	Below Normal	Wet	Below Normal	Dry	Critical	Critical
Water Delivery Allocation									
DWR to State Water Cont.	60%	35%	40%	50%	80%	65%	35%	5%	20%
Conservation Policy Targets									
CA Short Term	----		20%		Strongly encourage conservation and minimal use			20%	25%
CA Long Term	---			10% per capita reduction target by 2015; 20% per capita reduction mandate by 2020					
Zone 7	----		Voluntary 10%					See footnote ⁵	See footnote ⁶
DSRSD	Voluntary 10%		Stage I – Voluntary – 20%				---	25% ⁵	12% ⁷
DSRSD CONSERVATION SUMMARY									
Pre SB 7X7 Methodology						Post SB 7X7 Methodology			
% Achieved ⁸	2.4%	4.5%	13.8%	21.1%	21.5%	26.8%	All vales below are gpcpd system wide		
SB 7x7 Baseline							204		
2015 Target							183		
2020 Mandate							163		
UWMP Prediction							138	143	146
Actual							126	107	95

¹ Unless noted, data shown is for end of the Water Year shown.
² Percent of Normal; 8 Station Northern Sierra for the water year
³ Percent of Normal; Northern Sierra Average as of April 1 which is historically peak snowpack for the year
⁴ Runoff in percent of average year for Sacramento River watershed
⁵ WY 2014 - 25% Overall, 5% Inside, 50-60% Outside
⁶ Zone 7 WY 2015 - Support for the extended statewide emergency and water conservation efforts and continued its local state of emergency
⁷ DSRSD –WY 2015 - 12% Overall as set by SWRCB;5% inside, 25% outside
⁸ Expressed on a per account basis with the baseline year (July 06 to June 07 for WY 2007 through 2012.

TABLE 2 TABULAR SUMMARY OF HYDROLOGICAL AND WATER SUPPLY CONDITIONS FOR WY 2016 ⁹												
	Oct 2015	Nov 2015	Dec 2015	Jan 2016	Feb 2016	Mar 2016	Apr 2016	May 2016	June 2016	July 2015	Aug 2016	Sept 2016
Precipitation ¹⁰	39%	53%										
Snowpack ¹¹	No Data	No Data										
Oroville Storage (% of Normal)	47%	43%										
Oroville Storage (% of Capacity)	28%	26%										
Projected Unimpaired Runoff												
Expected ¹²	No Data	No Data										
Chance of Normal	No Data	No Data										
Projected Type of Water Year												
WY Classification	No Data	No Data										
Water Delivery Allocation												
DWR Allocation	No Data	10%										
Adopted Statewide and Regional Conservation Targets												
CA Short Term	Statewide 25% mandatory curtailment vs 2013 with DSRSD required to achieve 12%. Also, specific water use prohibitions in place											
CA Long Term	10% per capita reduction interim target by 2015 and 20% per capita reduction mandated by 2020											
Zone 7	<u>April 15, 2015</u> : Zone 7 lifted its Stage 2 water shortages actions while stating its support for the extended statewide emergency and water conservation efforts and continued its local state of emergency.											
DSRSD CONSERVATION SUMMARY¹³												
DSRSD Stage	<u>May 5, 2014</u> : Extended Drought Emergency and set target curtailment consistent with SWRCB (12% overall; 5% indoors and 25% outside – all as 2015 as compared to 2013). Note initially was 16% and adjusted downward by SWRCB in June to 12% for DSRSD											
SENATE BILL 7X7 CONSERVATION REQUIREMENTS (gpcpd system wide)												
Requirements	Baseline = 204			2015 Target = 183 (10% Reduction)				2020 Mandate = 163 (20% Reduction)				
UWMP Projection	146 for CY 2015			148 for CY 2016								
ACTUAL PER CAPITA WATER USAGE												
System Wide	91	76										
Res. Only	66	69										

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⁹ Data shown is current as of the last day of the month shown

¹⁰ Percent of Normal at this time of year; 8 Station Northern Sierra

¹¹ Percent of Normal at this time of year; Northern Sierra Average

¹² Projected water year runoff in percent of average year for Sacramento River watershed

¹³ Values shown are in gallons / person / day on a total system basis for the month shown excepting "Residential Only" which is Residential Use / No. Residents

**DUBLIN
SAN RAMON
SERVICES
DISTRICT**



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December 2, 2015

Jeanine Townsend, Clerk to the Board
State Water Resources Control Board
1001 I Street, 24th floor
Sacramento, CA 95814



RE: Potential extension and modification of the existing Emergency Regulations for Statewide Urban Water Conservation

Dear SWRCB:

The Dublin San Ramon Services District applauds the Governor's leadership and the collaborative process the State Water Board utilized in 2015 to enact emergency regulations to address the imperiled condition of the State's water supply. DSRSD intends to participate in the workshop that will be held on December 7, and we would like to offer the following comments concerning the proposed extension and modification of the emergency regulations for statewide urban conservation.

We encourage the SWRCB to wait until April or May before imposing any long-term requirements and/or declaring a statewide emergency. Over the past two years our customers "bought in" to the State's drought declaration and slashed their personal water use, even though that meant watching their landscaping wither and die. As a direct result of being willing to "do their part," DSRSD's customers have achieved a remarkable degree of conservation (i.e. about 35% so far this year). Imposing a statewide emergency early in 2016, before water supply conditions are known for specific regions and the state as a whole, will undermine the public's trust in State government and their utility providers. Waiting until April or May to make decisions about imposing new restrictions and conservation goals will demonstrate to the public that their government is indeed responding to a real emergency and acting in their best interest.

If emergency regulations are extended beyond February 2016, DSRSD supports the proposed equity adjustment for agencies that have invested in extensive water recycling systems. Using this proposal, the SWRBC would adjust (reduce) water conservation targets by the proportion of recycled water an agency provides to its customers as a percentage of its total water consumption. This adjustment would recognize the high cost that has been borne by agencies who have already installed these recycling systems.

Finally, the assumption that customers can achieve 25% conservation each and every month of the year is not realistic. During the summer months customers can reduce outdoor irrigation and conserve 40%-

50% or more compared to the same month in 2013. However, during the cooler winter months outdoor irrigation decreases or stops, and it is simply not possible for customers to reduce their indoor water use by 25% compared to the same month in 2013. The media typically picks up on the lower conservation percentages posted during the winter months and reports the results as if conservation is decreasing and water use is increasing, which is inaccurate and misleading. To compensate for the seasonal variation in outdoor water use, water agencies should be allowed a credit for cumulative conservation, similar to cell phone "roll over minutes." Using a 12-month rolling average would be a good way to measure compliance with assigned conservation targets. Under such a system, the SWRBC would no longer use single-month results to report compliance or impose fines.

We will look forward to discussing these topics at the December 7 workshop.

Sincerely,



Dan Gallagher, Operations Manager
Dublin San Ramon Services District

cc: Dave Bolland, ACWA
Fiona Sanchez, Irvine Ranch Water District
John Archer, Interim General Manager, DSRSD
Dan McIntyre, Engineering Services Manager, DSRSD

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ATTACHMENT A LEGAL AND REGULATORY ISSUES

SIGNIFICANT CHANGES SINCE THE LAST REPORT ARE HIGHLIGHTED

DELTA PLANNING

Bay Delta Conservation Plan: The Bay Delta Conservation Plan (BDCP) was designed to be a planning process for meeting the requirements of endangered species laws and achieving the co-equal goals of (1) conservation and management of the Delta's ecological functions and (2) improving current water supplies and the reliability of Central Valley Project (CVP) and State Water Project (SWP) water deliveries. In July 2012, the state and federal governments announced their joint commitment to a proposed BDCP that would include two gravity-fed tunnels with a diversion capacity of 9,000 cubic feet of water per second (cfs), each of which would be 40 feet in diameter and 35 miles long, plus restoration of 113,000 acres of freshwater marsh, 50,000 of which would be restored in the next 15 years. Estimates said the tunnels would take at least 10 years to build, result in excavation and the need to dispose of 7 million cubic yards of "tunnel muck," and cost an estimated \$24.5 - 28 Billion to construct and operate the conveyance facility as well as fund the mitigation and adaptive management for the 50-year implementation period. Estimates indicated that 60 - 70% of that cost would be paid by water users (and approximately 60% of that amount would be paid by SWP contractors), with the balance coming from a variety of state and federal sources. On December 19, 2014, the Department of Water Resources (DWR) announced that the tunnel plan was being revised to eliminate the 3 large intake pumps on the Sacramento River near Hood and Walnut Grove, and instead to put a new pump station near the end of the tunnels, adjacent to Clifton Court Forebay.

The draft BDCP and draft EIR/EIS were released for public comment on December 9, 2013; the comment period was extended twice, and closed on July 29, 2014. The draft documents were more than 41,000 pages. Approximately 11,000 individual comments were received, including about 9,000 "standardized" letters from people organized by a group opposed to the BDCP. DWR announced on August 27 that the document would be "partially recirculated" in early 2015. Intended beneficiaries do not yet fully know what benefits they can anticipate, and federal agencies have given no indication if or when they will do a feasibility analysis that is required before federal funds for the implementation of the BDCP could be appropriated. The principal unknown is how the new system would be operated, which will determine water supply, water quality, and fisheries impacts. In July 2013, federal agencies submitted comments on the administrative draft EIR/EIS which raised numerous difficult issues; some commentators suggested that the federal fisheries agencies believe the proposed project was not "permissible" under the Federal Endangered Species Act (FESA). The interplay between state and federal fisheries agencies and the CVP and SWP will be critical to ultimate governmental determinations concerning the proposed project. One month after the comment period on the Draft EIR/EIS closed, the US EPA sent a 43-page letter to the U.S. Fish & Wildlife Service asserting that as proposed, the BDCP would violate federal standards under the Clean Water Act, and the letter was viewed by many participants in the BDCP process to be a major setback.

The key question for many water agencies has been their forecasted share of the costs burdens for the proposed project. Largely because there is not yet an approved project, to date there are no firm funding commitments for the costs of construction or operation of the proposed facilities. On November 14, 2014, the State Treasurer's Office released a new report on financing of the BDCP tunnels, and estimated that SWP contractors would be expected to pay \$248 - 322/AF for the water they are entitled to receive under their SWP contract. CVP contractors which benefit from the tunnels would be expected to pay \$345 - 367/AF. The report said that these amounts are expected to be required on a "take-or-pay" basis - i.e., the annual payment would be required regardless whether any water is received. On Dec. 10, 2014, DWR held the initial

negotiation session with the SWP contractors concerning amendments to their contracts to cover funding for the BDCP.

On April 30, 2015, Governor Brown announced a new approach to the BDCP, separating the upgrade of Delta water conveyance (i.e., the tunnels) from ecosystem restoration. The revised tunnel project, and related mitigation, will be called "WaterFix;" the previous co-equal habitat restoration goal will be separated into a new program called "EcoRestore," and reduced in size and scope. The US Department of Interior expressed initial support for the new approach. A "Partially Recirculated Draft EIR/ Supplemental Draft EIS" for the tunnels project was issued on July 9 (RDEIR/S). A 45-day comment period was announced, starting on July 17, when the Federal Register notice of a proposed NEPA analysis was published, and ending on August 31. On July 22, the comment period was extended by 60 days, to October 30. Very extensive and complex sets of comments were filed by numerous entities and people with serious concerns about WaterFix and about the RDEIR/S. A common criticism is the lack of a proposed operations plan for the proposed new facilities, which means that it is virtually impossible to identify and analyze the impacts the project would have on water quality, water supply, fisheries, and other ecological interests.

Other than separation of the goals, the principal difference from the BDCP is that efforts to obtain 50-year permits from fisheries agencies are being abandoned. Proponents admit that the security of 50-year permits was not achievable, so they are now pursuing a more traditional approach of seeking shorter-term regulatory approvals and permits, including one or more Biological Opinions (BiOps) related to impacts on fish species.. Some potential beneficiaries of the project believe that the shorter-term permits and approvals for the project will mean that they will get less assurance of improved water supply reliability. The operational criteria and parameters for the revised project are totally unknown, as is the potential interrelationship to Delta flow criteria from the anticipated new Water Quality Control Plan that the SWRCB is required to produce under the Clean Water Act.

In November, the Metropolitan Water District of Southern California (MWD) and 3 water agencies in Kern County, all of which are proponents of the WaterFix project, announced a plan to buy the four Delta islands owned by Delta Wetlands for approximately \$240 Million. For more than 20 years, the Delta Wetlands company, now owned by Zurich Insurance, had pursued a plan to store water in flooded islands for later delivery to various water users. Whether or not the buyers will try to implement the storage idea is not yet known, but portions of the islands are located along the right-of-way for the proposed WaterFix tunnels, so the purchase could facilitate a portion of the necessary land acquisition, as well as provide some property that could be incorporated into the EcoRestore efforts.

DWR and USBR filed a water rights Petition for the proposed project on August 26, seeking changes in the points of diversion permitted for the SWP and the CVP. Processing of the Petition has not yet begun, but it was formally noticed on October 30, 2015. Protests must be lodged by January 5, 2016 and that the proponent are trying to "fast-track" the water rights proceeding. A pre-hearing conference will be held January 28, evidence is due March 1, and Part I of the hearing will begin April 7. Similarly, in September DWR and USBR filed applications for necessary permits from the Corps of Engineers for dredging and filling operations (the proposed filling operations alone would entail placement of 15 Million cubic yards of material), and for a water quality certification that is required from the SWRCB. The water quality certification can be approved by the SWRCB's Executive Director, based on delegated authority to do so, and on the water rights hearing record. The SWRCB anticipates the certification will be approved no earlier than late 2016. Delta water quality issues for all beneficial uses of Delta water are expected to be major components of the SWRCB proceedings. On September 22, DWR and USBR told the SWRCB that their timeline for completion of the CEQA and NEPA environmental review processes, plus the federal and state Endangered Species Act processes, is estimated to end between April and June of 2016.

Delta Stewardship Council's Delta Plan and EIR: The 2009 legislative package that included the Delta Reform Act tried to address long-standing issues about Delta planning and the possibility of insuring water supply reliability and simultaneously reaching the co-equal goal of restoring/enhancing the Delta ecosystem. At the heart of this measure was establishment of the Delta Stewardship Council (Council) and a mandate that it develop a Delta Plan and the necessary environmental analysis by December 31, 2011. The goal of the Plan was to provide guidance to state and local agency actions to meet the coequal goals. (That statutory deadline was not met.) On May 16-17, 2013, the Council adopted the Delta Plan, certified the completion of the EIR, and approved the process for implementing the regulations. The adopted Plan contains 14 policies, which the Council attempted to turn into legally enforceable state regulations. No substantial action based on the Plan will happen very quickly, and the EIR has been the subject of substantial criticism from all sides. Numerous parties, including the State Water Contractors, filed 7 lawsuits in three different Counties; the cases were all coordinated and assigned to the Sacramento Superior Court. The suits are challenges to the Plan, arguing that it is not consistent with the 2009 legislation because it does not achieve the co-equal goals of Delta ecosystem restoration and water supply reliability, and challenging the regulations. Those cases are all pending, as one coordinated proceeding; the final round of briefs was filed May 21, 2015.

One aspect of the Council's work is scientific analysis of project proposals like WaterFix by a Delta Independent Science Board (ISB). In 2 different reports, the ISB has raised serious questions about the science underlying both WaterFix and EcoRestore, but the significance of those reports remains to be determined. In addition, the Council has filed extensive and critical comments on the WaterFix RDEIR/S. In a parallel action, in November the Council gave preliminary approval to 18 Principles for water conveyance in the Delta, for water storage systems, and for meeting the statutorily established co-equal goal. How the WaterFix and EcoRestore efforts will be harmonized with that statutory goal is still a matter of uncertainty.

The Council in November began considering a recommendation from its staff (largely DWR personnel) that one-year water transfers like the ones being sought by DSRSD be exempted from regulation under the Delta Plan, because they are not large enough to have a significant impact on statewide water supply reliability or on the Delta. If that recommendation is adopted, it would facilitate the regulatory process for individual transfers that would be very important for water supply reliability at the local level.

The Delta Plan called for adoption of Delta flow objectives by June 2014; implementation measures to reach those objectives would then be analyzed and recommended to the SWRCB in approximately one year after that. The SWRCB started the process for setting those objectives, in conjunction with its triennial review of the Water Quality Control Plan for the Delta (WQCP), and indicated that it would seek to set flow objectives for five "primary tributaries to the Bay-Delta" by June, 2018. However, the heavy workload the SWRCB is facing due to the drought slowed that schedule. This effort will inevitably be controversial, since an earlier and non-precedential SWRCB decision related to flow objectives established criteria that would dedicate between 50% and 75% of the available flows in the Delta to in-stream uses, which would result in drastic cutbacks in water available for export.

In 2014 and 2015, the SWRCB curtailed diversion of water under many water rights (including some very senior rights to divert from the Delta and some of its tributaries), and began to analyze the diversion of water by farmers and agricultural water districts in the Delta region. Curtailments of at least 9,300 water rights were ordered in 2015, including some unprecedented curtailments of diversions under very senior water rights dating back as far as 1858 in at least one watershed. Cease and desist orders were issued regarding two Delta area diverters (Byron Bethany Irrigation District (BBID), and West Side ID), which continued to pump after receiving curtailment notices, and SWRCB enforcement proceedings are scheduled. Litigation aimed at derailing the SWRCB proceedings was unsuccessful in the trial court and the SWRCB's enforcement efforts are continuing.

LEGISLATION

2014 Water Bond: The November 2009 water legislation package passed on to the voters the question of whether to authorize \$11.14 billion in General Obligation bonds, for which debt service payments of about \$700 million per year would come from the State's General Fund. The bond issue was to be on the November 2010 ballot, but the Legislature delayed the election because of the state's precarious financial situation. On August 13, 2014, the Legislature adopted AB 1471, the Water Quality, Supply, and Infrastructure Improvement Act of 2014; the vote was 77 – 2 in the Assembly and 37 – 0 in the Senate. It replaced the 2009 bond measure, and called for voter approval of \$7.545 Billion in new general obligation bonds. It appeared on the November ballot as Proposition 1, and called for new surface and groundwater storage, regional reliability, sustainable groundwater management and cleanup, water recycling and conservation, watershed protection, and safe drinking water, especially for disadvantaged communities. Prop. 1 was supposed to be "tunnel-neutral," and called for spending up to \$2.7 Billion on new surface or groundwater storage; surface storage projects that could meet the criteria include the proposed new Sites and Temperance Flat Reservoirs, and further expansion of Los Vaqueros Reservoir. 67% of the voters voted for the bond. Efforts are now underway by the California Water Commission to develop the procedures and schedule for funding projects based on the new bond measure, and it is already obvious that there will be intense competition for the available money. The regulations for the funding process are expected to be adopted in December 2016. In March 2015, the Legislature approved and the Governor signed a bill appropriating \$1.060.5 Billion for "local drought relief and infrastructure projects to make the state's infrastructure more resilient to extreme weather events." 26% is available for drinking water quality, water recycling, and desalination projects.

Groundwater Legislation: Governor Brown signed a package of bills in 2014 which will for the first time require a degree of oversight of groundwater production in California – all other western states have some form of such legislation already. The major thrust of the bills is to require that local entities in each of the several hundred groundwater basins begin now to draft, approve, and implement a plan for achieving sustainability of the local groundwater resource (i.e., essentially, an end of over-drafting) by 2040. The legislation is not expected to have significant impact in the Tri-Valley area, but may be of considerable significance in the Central and Salinas Valleys over time.

Federal Drought Relief Legislation: Throughout 2014 and 2015, efforts were made in both houses of Congress to pass drought relief legislation, but the efforts stalled. Senators Feinstein and Boxer introduced the California Emergency Relief Act of 2015 on July 29; it includes over \$1 Billion for immediate assistance to drought-stricken communities, plus provisions intended to promote, facilitate, and help finance storage, desalination, recycling and conservation. The bill had a good reception, but was dramatically different from a much more aggressive bill that passed in the House in June; Pres. Obama's administration indicated that if a bill in that form reached him, it would be vetoed. The deep partisan divide between Republican and Democratic members of the California Congressional delegation, and the differences in thinking between legislators from the Central Valley as opposed to those from coastal areas, are significant factors affecting water-related legislative efforts. On or about December 1, House Republicans attempted to add the June bill to the "must-pass" federal government financing omnibus legislation, asserting that the bill was the result of bi-partisan negotiations, but 12 House Democrats and both California Senators said there was no such negotiation and that the bill was being rushed forward in secrecy. As a result, the chances of any drought legislation clearing Congress this year appear to be very slim. On September 25, the House of Representatives, on a party-line vote of 233 – 170, passed the Responsibility and Professionally Invigorating Development Act of 2015 (RAPID) that is intended to accelerate and eliminate portions of environmental review of federal projects, including water projects. The bill has been pending before the Senate Committee on Environment and Public Works since September 28, and its fate is uncertain. President Obama has

indicated that he would veto the bill in its present form. On December 4, the US Senate passed the long-awaited \$305 Billion transportation bill, which included a provision that will facilitate use of tax-exempt bonds and expand financing options for utilities.

State Drought Relief Legislation: In addition to taking the unprecedented action of ordering mandatory water conservation by urban users, on June 24 Gov. Brown signed legislation to allow water retailers to fine customers who violate locally-imposed drought rules up to \$10,000, in lieu of the current statutory limit of \$500. (The SWRCB on December 7 began consideration of extension of the current water conservation regulations of water retailers through October, 2016, if conditions remain dry. The current regulations are set to expire in February.) The bill also streamlines environmental review and permitting processes for some local water supply projects, especially those with minimal adverse environmental impacts. Another provision allows the SWRCB to force the consolidation of public water systems if a system fails to provide an adequate supply of safe drinking water. This provision was opposed by a broad range of associations representing utilities, cities, counties, and LAFCOs. Finally, the bill allows the SWRCB to fine water retailers which fail to meet conservation targets; the first such fines were announced in late October.

DELTA ECOSYSTEM ISSUES

Delta Smelt and Salmonid Species: Federal litigation concerning the interaction of the Federal Endangered Species Act (FESA) and NEPA with the operations of the CVP and SWP has dominated all considerations of Delta water export operations in the last few years. Most of that litigation has concerned the balance between water exports and the need to restrict or limit exports in an effort to protect Delta smelt and a variety of salmonid species. For salmonids, litigation challenging the Biological Opinion was decided by the 9th Circuit US Court of Appeal in December, 2014, and the Court upheld the BiOp as having been based on sound science. For Delta smelt, a trial court decision overturning the BiOp was reversed by the 9th Circuit in a 2 – 1 decision on March 13, but the Court held that Reclamation must complete additional NEPA documentation on certain actions before accepting the smelt BiOp. There is still some uncertainty as to the practical effect of that aspect of the rulings, but in the meantime, Delta operations are being managed in accordance with both BiOps, while the federal fisheries agencies are working on new ones under court-established deadlines (12/1/15 for smelt and 4/30/16 for salmon). In another smelt-related case, the 9th Circuit ruled that environmental groups could challenge federal renewals of 41 long-term water service contracts for contractors in the Sacramento and San Joaquin Valleys, and the U. S. Supreme Court refused to take that case; the litigation will proceed, and the contracts remain in jeopardy. Federal court rulings also require Reclamation to complete an Environmental Impact Statement analyzing the effects of implementation of the BiOps, and to adopt a Record of Decision in the Delta smelt cases by December 1, 2015, and in the salmonids cases by December 1, 2016.

The 2014 fall mid-water trawl, conducted for the 46th year in 2014 and one of the key scientific indicators of the abundance of critical fish species, showed that the four species of greatest concern were at near-record lows; in particular, Delta smelt were at the lowest year on record. Since the decline of pelagic organisms (i.e., aquatic species that feed in the middle of the water column) such as Delta smelt, began in the Delta in 2002, the smelt index has ranged from a high of 151 to a low of 4 (it was 7 in 2008 and 2013), as compared to values that were occasionally greater than 1000 in prior years). The population indices used to track 4 key fish species have declined by 95.6% to 99.8% since the trawl began in 1967. Mid-water trawls only found 6, 1, and 8 Delta smelt in March, April and May, 2015, respectively; some biologists assert that the species is “near-extinction,” but the State’s fisheries experts are not yet ready to reach that inference. California has 129 native freshwater fish species, of which 100 are now listed as threatened or endangered. Of the 129, 18 species, all of which are native to or travel through the Delta, are thought to be near or past the point of extinction.

An equipment malfunction led to incorrect information being relied upon concerning water temperatures in Shasta Reservoir this spring, resulting in premature release of up to 120,000 AF of water. The CVP and SWP tried to conserve as much cold water as possible in Shasta now, in an effort to prevent further damage to or possible extinction of at least one Sacramento River salmon species. To do so, they released more water than would otherwise be the case from Oroville and Folsom reservoirs. The SWRCB relaxed some streamflow requirements to allow the CVP and SWP to conserve more cold water in storage rather than release it for water quality and fisheries protection; in 2014, 95% of the winter run, 98% of fall run, and virtually all of the spring run of Chinook salmon were determined to have died due to elevated water temperatures. Early data in 2015 indicates that the mortality rates may be even higher, especially for winter run salmon. There is concern among project operators about their ability to maintain good Delta water quality; e.g., there may be difficulty in avoiding violation of the 250 mg/l standard at Rock Slough; the last time that standard was threatened was in 1977, when DWR installed a special pumping plant on Woodward Island and used EBMUD's No. 1 Aqueduct to convey Middle River water across two Delta islands to improve water quality in Rock Slough, from which water is pumped into the Contra Costa Canal. The temperature control efforts at Shasta put considerable strain on Folsom Reservoir, which is down to about 147,000 AF, or 15% of capacity, and is releasing 900 – 1,200 AF/day. Projections are that the Reservoir will level off at about 12% of capacity, or 120,000 AF. However, "worst case scenario" modeling projects a late 2015 capacity of 4% (40,000 AF). The City of Folsom, Folsom Prison, Roseville, and some American River communities can only receive water if the Reservoir is above 80,000 AF, so a floating pump station is now being built in the Reservoir by Reclamation. On December 8, the SWRCB adopted an Order concerning operations of the state and federal water projects in 2016 that is intended to hold back more water in several reservoirs in an effort to protect fish – especially endangered species of salmon -- from suffering a third consecutive disastrous year due to the lack of cold water for fish habitat. Retention of additional water in storage could adversely impact Delta water project exports to state and federal water contractors in 2016.

Construction of three temporary stream barriers has been authorized in the Delta to try to improve water operations and quality in spite of the drought conditions. Construction of the West False River Barrier, located about 5 miles northeast of the town of Oakley and intended to reduce the adverse impacts of salinity intrusion into the central Delta, began on May 6 and was complete by May 29. The removal of the barrier began on October 1. The barrier reduced salinity levels experienced at the SWP, CVP, and CCWD intakes, but caused difficult water level and navigation problems in some Delta channels, and contributed to poor water quality in parts of the south Delta. Now that the barrier has been removed, Delta salinity is rising.

In a related federal water supply matter, the United States and Westlands Water District reached a settlement in September of a decades-long dispute over responsibility for dealing with San Joaquin Valley drainage that significantly contributes to Delta water quality problems. The estimated federal cost of the "cleanup" of contaminated water is about \$3 Billion, which will be assumed by Westlands. In return, Westlands will receive relief from its obligation to repay \$350 Million in CVP construction costs, and will receive a permanent water supply contract rather than being subject to periodic renewal. The settlement requires final approval by Congress, and is very controversial.

LOCAL WATER SUPPLY CONTRACTS

State Water Project Contract: On May 1, 2013 DWR began what was originally planned to be three months of public negotiations with the SWC on contract amendments to the contract term and on certain financial provisions of the current basic water supply contract between DWR and each member of the SWC. DWR wants to issue 30-year bonds for its debt financing, but there are only 18 years left on the present contract. DWR urged a 40-year extension, but some of the SWC argued that it should be 75 years. DWR uses revenue bond financing for capital improvements and upgrades of existing systems; in recent years it has sold as much as \$200 Million in such bonds per year, and it estimates that it needs \$2.5 Billion to repair, restore, and

strengthen existing infrastructure. DWR also estimated that the BDCP improvements would require the SWC to pay another \$10 Billion, and the current contract negotiations would put the necessary financial accounting and oversight mechanisms in place for that as well. DWR will conduct an environmental review process for an amendment to the SWP Contract, which is now anticipated to take from September 2014 through an indefinite date in 2016. Once the EIR is certified, public contract negotiations are expected to commence in late 2016 or early 2017.

BBID transfer to Zone 7: Since 1995, an important part of Zone 7's water supply portfolio has been an annual transfer of up to 5,000 AF of Delta water to Zone 7 from BBID. On December 14, 2012, DWR told BBID that the transfer was being made without DWR's consent, and that the water had to be "repaid" to DWR. Both BBID and Zone 7 vigorously objected to DWR's position and resisted the demand that Zone 7 "repay" any previously transferred water. Zone 7 received no water from BBID in 2014 and will receive none in 2015. Zone 7's 2015 Annual Review of Sustainable Water Supply projects that no water will be received from BBID in the foreseeable future.

WATER SUPPLY RELIABILITY IMPROVEMENT EFFORTS

Regional Activities: Numerous discussions of a variety of water transfers, interties, and cooperative arrangements are underway, involving water agencies throughout the Bay Area region and in the Central Valley; e.g., Zone 7, CCWD, and EBMUD are discussing a possible link between CCWD facilities (which have a 100 million gallon per day (mgd) intertie with EBMUD's Mokelumne Aqueduct) and Bethany Reservoir, the forebay for the South Bay Aqueduct (SBA). Numerous 2015 transfer arrangements were implemented among irrigation agencies and individual farmers, with purchase prices ranging as high as the 2014 peak published price of \$3,000 per AF. MWD's Board authorized spending up to \$71 Million to purchase up to 100,000 AF of north-of-Delta transfer water in 2015, but that much water was not available.

CCWD's Los Vaqueros Expansion Project (LVE) was completed in 2012, and the Reservoir currently holds about 87,000 AF. CCWD is now withdrawing about 80 AF/day from LV storage. It filled at a rate of about 300 AF/day during a hydrologic window of opportunity which lasted about 10 days in March. The ability to quickly react to such opportunities has become increasingly important in the protracted drought. Federal and state agencies are leading a study effort to consider a further expansion of the Reservoir, and numerous water agencies have signed an updated Memorandum of Understanding (MOU) continuing those studies through 2016, including Zone 7, the other South Bay Aqueduct agencies (ACWD and SCVWD), EBMUD, and the San Luis and Delta Mendota Water Authority. Other entities, including BBID and East Contra Costa ID (ECCID), may also consider joining. A cost-sharing MOU is being negotiated now between CCWD and USBR concerning the environmental documentation for the project. The project would probably include construction of a proposed \$200 Million pipeline to Bethany Reservoir to provide water directly to the South Bay Aqueduct (water that would not have to go through the SWP's Banks Pumping Plant, and that would be diverted through existing state-of-the-art fish screens), and further expansion of the storage capacity to 275,000 AF. State funds for the studies were also provided for in Prop. 1. Environmental documentation and endangered species coverage has already been completed for the proposed reservoir and pipeline projects.

In January, 2013 the Boards of Directors of EBMUD and CCWD accepted principles of agreement for a new partnership arrangement concerning LVE, and a demonstration project under which 5,000 AF of EBMUD water would be stored in the reservoir for up to 5 years took place. (Under a separate agreement, EBMUD also wheeled about 1,600 AF of CCWD's CVP water to CCWD between July 17 and 24, 2014, but a similar arrangement was not implemented for the fall of 2015.) CCWD reached a similar understanding with ACWD on April 3, 2013 for a 1,000 AF pilot project, which was expanded to 5,000 AF in 2014 due to the drought; that water was delivered to ACWD via the SBA between mid-July and September 1, 2014. This transfer was approved by the SWRCB and required the cooperation and coordination of Reclamation and

DWR. CCWD was able to forego diversion of a similar amount from the Delta because it is able to rely on storage in Los Vaqueros. The Zone 7 Board approved a similar one-year demonstration project on November 19, 2014, and both ACWD and Zone 7 will each receive up to 2,500 AF in 2015; the transfer was approved by the SWRCB on Jan. 20, 2015. In 2015, ECCID was able to transfer 1,350 AF of its pre-1914 water to CCWD, although the planned transfer of 4,000 AF could not be implemented due to the temporary curtailment of ECCID's diversions due to the SWRCB's drought response actions.

In 2014, EBMUD purchased 16,800 AF of CVP water under its 1970 water service contract, and diverted that water via its Freeport facilities – the initial use of that contract and facilities in that manner. About 230 to 250 AF/day were delivered to EBMUD's San Pablo Reservoir and Upper San Leandro Reservoir (USL). Those operations were necessary because the treatment plants that draw water from those reservoirs are "full treatment" plants that can adequately handle Sacramento River water; EBMUD's other principal treatment plants (Orinda, Lafayette, and Walnut Creek) are in-line filter plants which can only treat EBMUD's higher quality Mokelumne River water.

On April 15, 2015, EBMUD began pumping about 270 AF/day of CVP water at Freeport, and that water is again being used to fill USL and San Pablo reservoirs. The rate of diversion was slowed to about 180 AF/day in July and August, in response to requests from DWR and USBR related to their efforts to maintain Delta water quality at a very difficult time, especially with Shasta Reservoir releases being throttled back to conserve cold water. Pumping at about 270 AF/day resumed September 1, consisting entirely of transfer water from north of the Delta, and is currently about 180 AF/day. Importation of CVP and transfer water allowed EBMUD to slow the rate at which it used its Mokelumne River supply; as a result Pardee Reservoir is currently 55% full and their key local reservoirs are 78% full, but there is no snowpack, runoff is among the 5 lowest years on record, and EBMUD's rights to divert water from the Mokelumne River were curtailed by the SWRCB. The PG&E system upstream of Pardee Reservoir has a storage capacity of 220,400 AF, but it is currently about 11% full. Overall, EBMUD imported almost 23,400 AF of supplemental water from non-Mokelumne River sources between April and July, 2014. In 2015, in addition to its purchase and importation of 33,250 AF of CVP water, EBMUD bought about 11,000 AF from two Sacramento Valley CVP contractors, about 12,000 AF of additional transfer water from PCWA, and about 3,000 AF from a rice farming company in the Colusa area. EBMUD's announced goal was to buy 65,000 AF of supplemental water (i.e., CVP and transfer water) in 2015, so that it could keep its Freeport pumps in operation through mid-December. Actual pumping is now expected to be about 58,000 AF. EBMUD's Freeport facilities can be used to convey CVP water or water made available by Yuba County Water Agency (YCWA), PCWA, or other Sacramento Valley sources, but which cannot be delivered south of the Delta due to export restrictions at the DWR pumps; arrangements of this nature, especially if implemented jointly with CCWD, could provide supply and reliability benefits to numerous Bay Area water agencies. EBMUD has also renewed consideration of a conjunctive use idea with a number of entities in San Joaquin County. In October 2015, the EBMUD Board decided to pursue transfers of up to 50,000 AF from 6 Sacramento Valley sources in 2016, and hired the firm of CDM Smith to prepare the necessary environmental documentation.

In 2014, MWD increased subsidies it will pay to water retailers for their efforts to develop local water resources, including recycled water, desalination, and recovered groundwater. Previous subsidies were a maximum of \$250/AF; on October 14, the MWD Board increased the maximum to \$340/AF. In 2015, MWD also expanded its funding of turf removal by over \$300 Million. In September, 2015 MWD and the Southern Nevada Water Authority (SNWA) entered into a water exchange agreement under which MWD will receive up to 150,000 AF of SNWA's allotment of Colorado River water, in return for \$45 Million and MWD's commitment to return 125,000 AF to SNWA in future years. Also in September, MWD bought 12,000 acres of land in the Palo Verde Irrigation District, which has the most senior water rights on the lower Colorado River, which means MWD now owns a total of 22,000 acres, or 17% of the irrigable land in PVID. Under a 10-year old agreement, PVID farmers will fallow enough of their land to allow MWD to import 120,000 AF in 2016. As

a result of these and related transactions, MWD will be able to operate its Colorado River Aqueduct at its full capacity of 1.2 Million AF in 2015 and 2016 (for the first time in 10 years).

In anticipation of 2015 remaining dry, and Zone 7 again receiving a small proportion of its SWP water supply contract entitlement, DSRSD negotiated a water transfer with YCWA of up to 1,500 AF, to be delivered via EBMUD's Freeport, Aqueduct, and local facilities, and via the federal Folsom-South Canal. The water was to be transferred in mid- to late April, stored by EBMUD, and made available for distribution to District customers in June through September. The many permits and approvals required for the transaction were pursued vigorously, with a great deal of work by DSRSD, EBMUD, and YCWA staff members. The transaction was also contingent on hydrologic conditions in the Delta being conducive to such a transfer in the latter half of April, but that did not happen. In addition, Reclamation refused to agree to the Warren Act contract that was needed for the water to be wheeled via the Folsom South Canal. Congressman Eric Swalwell wrote to the Regional Director of Reclamation in September to inquire about the federal obstacle to the 2015 transfer, and about what is likely to happen in similar circumstances in the future. District staff continues to work closely with YCWA and EBMUD in an effort to make it possible for a transfer of this nature to occur in the right circumstances in the future.

Prior to the current drought, about 33% of California's water supply came from groundwater, but in 2014 it was up to 75%. A University of California study estimates that over 6 Million AF of groundwater will be pumped in 2015 to replace surface water, on top of about 5 Million AF in 2014, despite fallowing of what has been estimated to be as much as 900,000 acres of farmland. Record volumes of groundwater are now being pumped in the San Joaquin Valley, where farmers in Westlands Water District pumped over 650,000 AF in 2014. In the Tulare Lake Basin, current estimates are that the groundwater basin is being over drafted by 1-2 million acre-feet per year. Largely because of the pumping, groundwater in a 250 square mile area on the east side of the San Joaquin Valley now contains levels of uranium that exceed federal and state safety standards. About 10% of the public water systems in the area, and many private wells, are affected. A treatment system installed on the well at one 450-student elementary school near Modesto removes about one pound of uranium from the school's water each year, and it is then processed into nuclear fuel. The US Geological Survey has reported that groundwater levels in some portions of the Central Valley are now more than 100 feet below previous recorded lows, particularly in areas in which ground surface subsidence is occurring.

As dry conditions persist, hundreds of new deep wells are being installed in the Central Valley, and the pumping of old and new wells is resulting in declining aquifers (by as much as 200 feet in one year in some areas) and land subsidence of up to 3 feet since 2010 (up to 10 inches in just 7 months between November 2014 and June 2015) in an area that may be as large as 1,200 square miles. Many of these new wells are needed to irrigate hundreds of thousands of acres of permanent tree and vine crops that have been planted in recent years (in lieu of previous field crops like tomatoes and cotton) despite the lack of reliable and consistent imported water supplies. California now has over 890,000 acres of almond trees, as compared to about 400,000 acres in 1995, and since such trees need an average of 3 to 4 acre-feet of water per acre to survive, this increase in almond production has "hardened" annual demand for water in areas which used to be annual field/row crops or pasture (all of which could be fallowed in dry years; trees cannot). Between July 2013 and July 2014, California farmers bought 8.33 Million new almond trees, which replaced some older trees and also resulted in 48,000 acres of new orchards. In 1993 fruits and nuts represented about 29% of the State's \$19.9 billion agricultural economy; in 2013 the agricultural economy was \$44 billion, of which 47.3 % was fruits and nuts. Statewide, dairy production is about 17% of the total agricultural economy, but Central Valley dairy production averages use of 5 to 6 AF/acre of land in dairy use. The acreage now in pistachio trees (approximately 300,000 acres) has doubled in the past 20 years, and is still expanding, but pistachio production is significantly reduced in 2015 due to drought conditions and limited available water.

Pursuant to agreements with the District and with DERWA, Pleasanton continues work on its recycled water distribution system, which will eventually distribute up to 1,400 AF per year of irrigation water to three City parks. Five local water entities (Zone 7, ACWD, CCWD, EBMUD and the SFPUC) and the WaterReuse Foundation are participating in projects being funded by the Water Research Foundation to study the potential for Direct Potable Reuse (DPR). The projects began early in 2014 and support research needs of the California Department of Public Health for compliance with the statutory mandates of SB 918 (2010) to investigate the feasibility of developing regulatory criteria for protection of public health by 2016; as a result of this work, DPR could ultimately be permitted for groundwater recharge and/or for surface water augmentation.

San Diego Desalination: Construction of the plant and pipeline are now essentially complete, and the project is on schedule and under budget, on a 54 mgd desalination plant in San Diego County. The San Diego County Water Authority (SDCWA) views it as a new long-term reliable source of drinking water, and will be paying an estimated \$1900 to \$2200/AF to achieve that reliability and the concurrent reduction in demand for imported water. Operations are expected to begin in 2016, but first water deliveries will be in **December** 2015. A second such plant, with a production capacity of about 56,000 AFA, is nearing the end of the planning and permitting phase; it will be located in Huntington Beach if the planning and permitting processes can be successfully completed, and construction is projected for a possible 2018 completion date. Both of these desalination plants are sponsored by Poseidon Water and utilize engineering and technology techniques that were developed in Israel; the Huntington Beach proposal is being done in cooperation with the Orange County Water District. After adopting a report on the success of the City's 2-year 1 mgd demonstration project, San Diego's City Council acted in April 2013 to pursue implementation plans for a "water purification" project to augment City drinking water supplies with up to 15 mgd of purified wastewater that would be conveyed to San Vicente Reservoir to blend with stored Colorado River water. A 2013 public opinion poll indicated that 73% of the San Diego residents who were surveyed favored the project. Initial estimates are that the project would cost about \$370 Million, and could eventually be expanded to 88 mgd. On November 18, 2014, the San Diego City Council approved the water recycling/purification project that will provide supplemental drinking water supplies of up to one-third of the City's needs by 2035, for an anticipated cost of \$3.5 Billion. On September 24, 2015, the SDCWA approved an agreement with the US Marine Corps for a feasibility study of a new desalination plant on Marine Base Camp Pendleton that could have a capacity of between 50 and 150 mgd; a \$4.05 M contract has been awarded for a 2-year pilot-scale seawater intake testing program, the results of which will help to determine the size of the eventual plant. The SDCWA has also recently completed a 152,000 AF storage addition to the City of San Diego's 70-year old 90,000 AF San Vicente Reservoir, to increase reliability and emergency storage for that region.

OTHER WATER SUPPLY RELIABILITY AND UNCERTAINTY FACTORS:

Colorado River: Although it does not directly impact the District or Zone 7, a number of factors suggest that continuing uncertainty about southern California's reliance on the Colorado River will increase. This spring the Colorado River system (as managed by Reclamation) has only about half the water that would be considered "normal." The original 1922 allocation of Colorado River water (among 7 western states) was based on a short period of hydrologic history which was wetter than any period since then. The assumption then was that the River would yield 15 MAFA; the U.S. now believes that the actual yield is closer to 12 MAFA. Lake Powell is at 51% of capacity; Lake Mead is at 38% of its 26 MAF capacity and on June 23 reached the lowest surface elevation since the enormous Lake began filling in 1935 (but has risen by several inches since then)-- the lake level is over 130 feet below a full reservoir pool. The total combined storage in the two lakes at the end of October 2014 was the lowest since 1968, when Lake Powell was first being filled. As a result, southern California's ability to rely on transfers from Imperial Irrigation District (IID) to San Diego

(which gets 33% of its water from these transfers), or on full deliveries from the Colorado to the MWD is now less certain. As Colorado River supplies drop, the two other Lower Basin states (Arizona and Nevada) will experience cutbacks before California, and the first such cutbacks may occur with regard to water delivered under the Central Arizona Project in 2017 or 2018. MWD put over 2.7 MAF into storage in southern California in recent years, but approximately half of that has been used during the persistent drought. In the long run a reduction in Colorado River water tends to put added emphasis on exports from the Delta to southern California. Utah is now seeking to implement a new project which would result in diversion of another 86,250 AF/year from the Colorado River before it reaches Arizona, California, and Nevada, and similar demand increases can be expected within other Upper Basin states.

As of November 30, 2015

DROUGHT EXPENSE BUDGET (Fiscal Year 2016)		
No.	Description	Activities / Status
1	FYE 2016	Budget FYE 2016: \$145,000 Actual FYE 2016: <u>\$ 123,086</u> Remaining Drought Budget: \$ 21,914
EXEMPTIONS FROM WATER USE LIMITATIONS (Calendar Year 2015)		
No.	Description	Activities / Status
1	No. Requested	62
2	No. Approved by Drought Coordinator	44
3	No. Denied by Drought Coordinator	14
4	No. Pending with Drought Coordinator	3
5	No. Appealed to Board	1
6	No. Approved by Board	1
7	No. Denied by Board	0
	No. Pending with Board	0
ENFORCEMENT OF WATER USE LIMITATIONS (Calendar Year 2015)		
No.	Description	Activities / Status
1	No. Written Warnings Issued	133
2	No. \$250 Violations Issued	51
3	No. \$500 Violations Issued	15
4	No. \$1,000 Violations Issued	6
5	No. Flow Restrictors Installed	0
6	No. Service Shutoffs	2
7	No. Waivers Requested	16
8	No. Waivers Approved by Drought Coordinator	13
9	No. Waivers Denied by Drought Coordinator	2
10	No. Waivers Pending with Drought Coordinator	1
11	No. Waivers Appealed to Board	0
12	No. Waivers Approved by Board	0
13	No. Waivers Denied by Board	0
14	No. Waivers Pending with Board	0
"DISTRICT AS AN ORGANIZATION" WATER USE CURTAILMENTS (Calendar Year 2015)		
No.	Description	Activities / Status
1	Comply with District-wide water use limitations at all District facilities	Potable irrigation at remote sites has been adjusted to be in compliance with District mandatory restrictions.
2	Clean sewers with recycled water only (except for SSO's and emergencies)	All sewer cleaning is being done with recycled water, except when responding to plug ups and other emergencies.

3	Cease all hydrant flushing (except for critical areas with identified water quality problems)	All routine hydrant flushing has been suspended, except for problem areas with water quality concerns.
MEDIA BASED PUBLIC OUTREACH (Calendar Year 2015)		
No.	Description	Activities / Status
1	Run appropriate on-air drought awareness messages in coordination to the greatest extent possible with other Tri-Valley water agencies	<ul style="list-style-type: none"> • KKIQ Live reporting at the Defeat the Drought event 8/1/15, inviting people and talking with Congressman Swalwell regarding tips to defeat the drought • Regional meeting of Tri-Valley water agencies 4/20/2015 to determine messages and schedules for radio and TV ads regarding the drought. Pleasanton and DSRSD began running ads on KKIQ, July-Aug, 6-7am during the <i>Power Shower Hour</i>
2	Produce appropriate print media drought awareness messages in coordination to the greatest extent possible with other Tri-Valley water agencies	<ul style="list-style-type: none"> • 12/2/15 ACWA presents communications award to DSRSD • 10/21/15 DSRSD lowers water rates • 10/6/15 Dublin fill station to shut down end of month • 8/26/15 DSRSD Invites Comments on Draft Water Supply and Conservation Policy, generated 3 stories • 8/19/15 Recycled Water Fill Stations Open to Everyone, generated 7 news stories, including KTVU news • 8/13/15 Officials Celebrate Recycled Water Extension in Western Dublin, generated one news story • 8/10/15 Recycled Water Extension Enhances Water Supply • 7/28/15 Media Advisory, Meet Congressman Swalwell and Defeat the Drought, generated 1 TV news story on NBC Bay Area and two pre-event news announcements. • 6/29/15 Dublin Recycled Water Fill Station Opens, generated 7 news stories • 6/18/15 Water District Websites, Champions for Change in Drought, generated 1 trade journal article • 6/3/15 Award \$4.28M to Extend RW Pipes west of I-680, generated 4 news stories • 5/14/15 Board to Decide on 2015 Drought Management Program, generated 4 news stories; • 4/2/15 Governor Orders Statewide Mandatory Water Reductions, Tri-Valley Already There, generated 4 news stories; • 3/10/15 NR "DSRSD Securing Supplemental Water Supplies" generated print news (Tri-Valley Times (2), Independent (2), Pleasanton Weekly, Marysville Appeal-Democrat (2), ACWA News), and online news in the Dublin, San Ramon and Danville Patches. • 2/13/15 NR, "Yes it rained, but the drought is still on! Keep your sprinklers off!" generated news in Tri-Valley Times and Dublin, San Ramon, Pleasanton and Danville Patches.

		<ul style="list-style-type: none"> • 1/12/15: NR, “DSRSD Cuts Water Use 24 Percent in 2014” generated news on three TV stations (KPIX/CBS, KRON, and ABC7), five print media (Tri-Valley Times (2), Independent, Pleasanton Weekly, and ACWA News), and online news in Dublin, San Ramon, and Danville Patches. • 1/1/2015 to 6/30/2015 responded to 87 media inquiries regarding the drought which resulted in 102 news stories: <i>San Francisco Chronicle, Contra Costa Times, San Jose Mercury News, Los Angeles Times, Tri Valley Times, Pleasanton Weekly, Independent, Fresno Bee, Associated Press, Castro Valley Forum, local Patches (Dublin, San Ramon, Pleasanton), KCBS radio, KTVU Channel 2, CBS evening national TV news-</i>
3	Run appropriate on-line drought awareness messages in coordination to the greatest extent possible with other Tri-Valley water agencies	<ul style="list-style-type: none"> • Partnering with Pleasanton for the 6:00 a.m. to 7:00 a.m. Power Shower Hour on KKIQ (101.7 FM) wherein they play song sets of 5 minutes and challenge listeners to finish their showers before the end of the second song. • DSRSD’s “Drought Watch” web page received 1,607 page views in July, 841 in August.
NON-MEDIA BASED PUBLIC OUTREACH (Calendar Year 2015)		
No.	Description	Activities / Status
1	Appropriate and timely direct mailers to District customers on drought related matters	<ul style="list-style-type: none"> • Early June, one page sent to water customers explaining limitations, Stage 2 water rates (less than Stage 3), violations and penalties. Late June, Wise Water User Credit letter sent to 1,103 water customers

2	<p>Appropriate and timely bill stuffers to District customers on drought related matters</p>	<ul style="list-style-type: none"> • 1/1--2/15 Insert in Bills, “The Drought is still on, Turn off your sprinklers — it’s mandatory” • 2/15-3/15 Notice on bill, “Automatic sprinklers off thru 3/31/15 • 4/1-5/15 Notice on bill, “Free AquaHawk Portal allows customers to track water use” • “Can use sprinklers once a week April & May” and “Free AquaHawk Portal allows customers to track water use” • 6/1/15 Notice on bill, “Water shortage rates decreased on 5/19/15 from Stage 3 to Stage 2” • 7/1/15 Notice on bill, Water Shortage Rates decreased on 5/19/15 from Stage 3 to Stage 2. The Water Consumption charges on your bill are prorated accordingly, AND, The DSRSD Board adopted minor changes to mandatory water use limitations. Please read the brief summary on the back of this bill. Detailed information was mailed to all customers and is available online: www.dsrdsd.com/drought • 8/1/15-9/1/15 Notice on bill, Thank you for continuing to help defeat the drought. See the brief summary of mandatory rules on water use on the back of this bill. Detailed information is available online: www.dsrdsd.com/drought AND Are your trees dying of thirst? You can save water and save your trees! Learn how at www.saveourwater.com/trees • 10/1/15 Tour WWTP and RWTP, see how we turn wastewater into irrigation water • 11/1/15-12/15/15 Note on bill – DSRSD Board declares Stage 1, lowers water rates
3	<p>Appropriate and timely social media messages on sites such as Facebook and Twitter</p>	<ul style="list-style-type: none"> • • Tweets since 7/1/1585 • Twitter followers thru July • 7/6/15 – Guess Public Radio Audiograph (Residential RW Fill Station) • 7/8/15 – Hiring part-time Recycled Water Fill Station Attendants • 7/9/15 – Only accepting new users from inside our service area • 7/17/15 – Fill Station hours • 7/22/15 – 2,500 Users/12 million gallons of irrigation water distributed • 7/28/15 – NR – Meet Congressman Swalwell Defeat the Drought Expo • 7/29/15 – Defeat the Drought Expo graphic • 8.5.15 – Customers keep hands on hose to prevent accidents • 8/13/15 – Officials celebrate recycled water expansion to west Dublin • 8/14/15 – Tips to save your trees • 8/19/15 – Fill stations open to everyone again

		<ul style="list-style-type: none"> • 8/20/15 – Happy customer thanks DSRSD • 9/1/15 – Fill stations closed Labor Day • 9/29/15 – Fill station hours change • 10/6/15 – Dublin Fill Station to Close end of month • 10/7/15 – Video regarding how mulch keeps soil moist • 10/13/15 – Take EBMUD survey re Fill Station • 10/19/15 – Learn how to prepare for flooding at Zone 7’s flood preparedness open house • 10/26/15 – New hours at Pleasanton Fill Station • 11/2/15 – Fill station will close when rain and day after • 11/2/15 – Video posted on Facebook, hosted by Assemblywoman Catharine Baker re how to get recycled water from residential fill station • August 2015 Twitter: 15 tweets on West Dublin construction, fill station best practices, hours and community posts; recycled water celebration, and other drought-related news releases. 90 followers, including 6 new in August. Activity growing: 6 mentions, 10 retweets, 5 media tweeting articles about DSRSD, 17 tweets favorited, and @DSRSDnews added to two lists. • Sept 1-Nov 5, 2015 Twitter: Tweets of all FB posts listed above, 9/30/15 – Tweeted regarding Power Shower Hour; 7 tweets on West Dublin construction, 3 tweets on Imagine A Day Without Water; 58 likes, mentions or retweets of DSRSD, including 19 by media; 100 followers • www.facebook.com/FreeRecycledWater lifetime stats: Daily Reach: 0-2623 people; Daily Impressions: 0-867; Daily Engaged: 0-100 people; Lifetime Likes: 422 • 7 tweets regarding the Residential Recycled Water Fill Station and 5 retweets regarding Assemblywoman Catharine Baker’s video on how to use recycled water; 2 tweets regarding DSRSD receiving ACWA’s Best in Blue award for its drought outreach efforts; 102 followers.
4	Appropriate and timely presence at Community Events such as Farmer Markets etc.	<ul style="list-style-type: none"> • 10/3/15 Dublin Safety Fair, talked with 30 families regarding drought, storing water for an emergency, and proper disposal of pharmaceuticals • 9/23/15 Clorox Whole Life Expo, talked with 80 Clorox EEs regarding drought, storing water for an emergency, and proper disposal of pharmaceuticals. • 8/1/15 Co-sponsored “Defeat the Drought Expo” with Congressman Swalwell, 9 vendors, 30 new RW fill station users signed up • 6/8/15 S. Stephenson spoke at American Water Works Association conference on “The Drought is a Terrible Thing to Waste: Totes, Apps & Fill Stations” • 3/14/15, “Oscar the Otter Says you Otter Save Water” and TV truck with drought message on side panel participated in St. Patrick’s Day Parade

5	Appropriate and timely presentations to local City Councils	<ul style="list-style-type: none"> • City of Dublin June 16, 2015 • City of San Ramon June 23, 2015 • City of Dublin, Economic Development Committee, D. McIntyre presented on drought and next steps
6	Appropriate and timely meetings with major public customers (School District, Camp Parks, Alameda County, FCI etc)	<ul style="list-style-type: none"> • Stephenson met with 3 Dublin High School youth forming a new water conservation club, providing them informational materials and brainstorming ideas for fundraising and promoting wise water use. • Renee Olsen spoke to City of Dublin Block Captain Academy (3/28/15) regarding emergency preparedness, especially in a drought • Renee and Sue demonstrated the watershed diorama at Live Oak Elementary School's Community Services Day (4/22/15)
7	Appropriate and timely speaking engagements with local community groups Rotary, Lions, SIRS, HOAs, etc	<ul style="list-style-type: none"> • 3/11/15 S. Stephenson spoke at the Villa Paseo Rose Garden HOA Board meeting (12 attended) • 4/29/15 Stephenson spoke to FIIVA HOA • Stephenson spoke to PEO Philanthropic Education Organization (5/14/15) and Coldwell Banker's Customer Appreciation Day (5.31.15) • 7/1/15 S. Stephenson spoke at Eden Housing regarding what they can do to reduce water use; also handed out LIA applications (26 attended) • 8/10/15 Stephenson spoke to Dublin Ranch HOA • 10/1/15 McIntyre spoke to the Economic Development Committee of the Dublin Chamber of Commerce
8	Appropriate and timely meetings with major public customers (City staff, school districts, camp parks, alameda County, FCI, etc.	PI staff worked with Congressman Eric Swalwell's Chief of Staff, Tim Sbranti on three events: 1) Tour Geoffrey G. Hansen Water Recycling Plant; 2) Meeting with representatives from ten water agencies in District 15; 3) Defeat the Drought Water Expo. All successful.
9	Appropriate and timely direct mailing to targeted user groups on drought related matters	<ul style="list-style-type: none"> • 8/19/15 Sent a postcard to residential recycled water haulers to ensure they are hauling water safely, within the rules.
10	Continue to make appropriate yard signs available to customers; and	<ul style="list-style-type: none"> • Free "golden lawn" signs are available to residential customers, availability added to website in June • Many signs given away during 2014 • 56 currently on hand
11	Coordinate with local businesses to jointly promote water conservation	<ul style="list-style-type: none"> • 2/18/15 co-sponsored Water Wise Gardening Workshop with Armstrong Garden Center (12 participants) • 3/7/15 Tri-Valley Water Agencies sponsored Water Conservation event at Home Depot in Livermore • 4/11/15 Tri-Valley Water Agencies sponsored Water Conservation event at Home Depot in Pleasanton
DIRECT CONSERVATION ASSISTANCE (Calendar Year 2015)		
No.	Description	Activities / Status

1	Continue small device give-away programs	The following water conserving devices were given to customers in FY16 (the majority were distributed at the Swalwell/Defeat the Drought event): <ul style="list-style-type: none"> • 21 kitchen faucet aerators • 36 bathroom faucet aerators • 52 showerheads • 6 toilet flappers (discontinued, best to buy flappers designed for specific toilet) • 56 toilet leak detection kits • 63 hose nozzles • 28 shower/faucet flow measurement bags
2	Conduct landscape water audits	5 audit for 2015 <ul style="list-style-type: none"> • Total paid out in FYE 2016 = \$833.73
3	Make home water audit kits available	Distributed 1,525 Water Hero packets (which contain a do-it-yourself home water audit kit) to 61 classes of third graders in 12 schools in our service area 4/2015
ENHANCED REBATE PROGRAMS (Calendar Year 2015 except financial info which is FYE 2016)		
No.	Description	Activities / Status
1	High Efficiency Toilet (HET)	73 rebates processed
2	Waterless Urinals	None so far in 2015
3	High Efficiency Clothes Washer (HEW)	431 rebates processed
4	Pool and Spa covers (to reduce evaporation)	8 rebates processed
5	Weather Based Irrigation Controller ("Smart Controller) - Single Family Homes	4 rebates processed
6	Weather Based Irrigation Controller ("Smart Controller) – Multi-Family Dwelling	None so far in 2015
7	Lawn Replacement Program - Single Family Homes	27 approved; Paid out \$4,903.90
8	Lawn Replacement Program - Multi-Family or Businesses	None for 2015
9	Amount of District Rebates Issued (Fiscal Year)	Budget FYE 2016: \$20,000 Actual FYE 2016: <u>\$ 11,373</u> Remaining Rebate Budget: \$8,627
EXPANDED RECYCLED WATER USE (Calendar Year 2015)		
No.	Description	Activities / Status
1	Construct and place into operation extensions to Alameda County properties in eastern Dublin	The pipeline to Santa Rita Jail and other County facilities is complete and the site has been converted to use recycled water. Potable demand reduction is included under Item 6 below.

2	Construct and place into operation extensions to District irrigation customers on the west side of 680	Construction began June 29. Pipeline installation by District contractor complete. Pipeline installation north of Amador Valley Blvd. (AVB) by City contractor as part of their storm drain improvement project in progress. Site conversions along Amador Valley Blvd. and San Ramon Road south of AVB in progress.																								
3	Cooperate with Pleasanton in accordance with existing agreements to implement recycled water service within the City of Pleasanton	<ul style="list-style-type: none"> • Implemented • DSRSD staff provided implementation guidance. • Val Vista Deliveries (YTD) are 8.46 million gallons 																								
4	Retrofit appropriate District potable water customers to recycled water	<p>2015 retrofits and annual estimated volumes:</p> <table style="width: 100%; border-collapse: collapse;"> <tr><td>Animal Shelter</td><td style="text-align: right;">3 AF</td></tr> <tr><td>Firehouse #17</td><td style="text-align: right;">2 AF</td></tr> <tr><td>CHP</td><td style="text-align: right;">2 AF</td></tr> <tr><td>County K9 Training</td><td style="text-align: right;">10 AF</td></tr> <tr><td>County Ops Building</td><td style="text-align: right;">2 AF</td></tr> <tr><td>Parkwood Apartments</td><td style="text-align: right;">25 AF</td></tr> <tr><td>Santa Rita Jail</td><td style="text-align: right;">190 AF</td></tr> <tr><td>Dublin Senior Center</td><td style="text-align: right;">2 AF</td></tr> <tr><td><u>Medians/Streetscape (2)</u></td><td style="text-align: right;"><u>0.6 AF</u></td></tr> <tr><td><u>Firehouse #16</u></td><td style="text-align: right;"><u>0.7 AF</u></td></tr> <tr><td><u>Church of JCOLDS</u></td><td style="text-align: right;"><u>2 AF</u></td></tr> <tr><td>• Total to Date</td><td style="text-align: right;">239 AF</td></tr> </table>	Animal Shelter	3 AF	Firehouse #17	2 AF	CHP	2 AF	County K9 Training	10 AF	County Ops Building	2 AF	Parkwood Apartments	25 AF	Santa Rita Jail	190 AF	Dublin Senior Center	2 AF	<u>Medians/Streetscape (2)</u>	<u>0.6 AF</u>	<u>Firehouse #16</u>	<u>0.7 AF</u>	<u>Church of JCOLDS</u>	<u>2 AF</u>	• Total to Date	239 AF
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Santa Rita Jail	190 AF																									
Dublin Senior Center	2 AF																									
<u>Medians/Streetscape (2)</u>	<u>0.6 AF</u>																									
<u>Firehouse #16</u>	<u>0.7 AF</u>																									
<u>Church of JCOLDS</u>	<u>2 AF</u>																									
• Total to Date	239 AF																									
5	Continue to operate the WWTP truck fill station for construction contractors and other larger scale water haulers	<table style="width: 100%; border-collapse: collapse;"> <tr><td>Active Permitted Haulers:</td><td style="text-align: right;">85</td></tr> <tr><td>Volume:</td><td style="text-align: right;">25.05 million gallons</td></tr> </table>	Active Permitted Haulers:	85	Volume:	25.05 million gallons																				
Active Permitted Haulers:	85																									
Volume:	25.05 million gallons																									
6	Continue to operate the WWTP residential recycled water fill station	<table style="width: 100%; border-collapse: collapse;"> <tr><td>Registered Users:</td><td style="text-align: right;">3,600</td></tr> <tr><td>Volume at WWTP Station:</td><td style="text-align: right;">24.82 million gallons</td></tr> </table>	Registered Users:	3,600	Volume at WWTP Station:	24.82 million gallons																				
Registered Users:	3,600																									
Volume at WWTP Station:	24.82 million gallons																									
7	Investigate and implement, if possible, a second fill station in cooperation with the City of Dublin at the City's Safety Complex property	<p>Dublin Blvd Fill Station opened on June 24.</p> <table style="width: 100%; border-collapse: collapse;"> <tr><td>Volume at Dublin Station:</td><td style="text-align: right;">3.03 million gallons</td></tr> </table> <p>Note: The Dublin Blvd Fill Station closed on October 30</p>	Volume at Dublin Station:	3.03 million gallons																						
Volume at Dublin Station:	3.03 million gallons																									
ENHANCED CUSTOMER SERVICE (Calendar Year 2015)																										
No.	Description	Activities / Status																								
1	Continue to promote the AquaHawk customer service portal as a customer service feature to help customers manage their water use during the drought.	<ul style="list-style-type: none"> • 2,805 new customers registered in 2015 • 8,769 customers now registered 																								
WISE WATER USER CREDIT PROGRAM (Calendar Year 2015)																										
No.	Description	Activities / Status																								
1	Administer the FYE 2016 Wise Water User Credit Program	<ul style="list-style-type: none"> • 903 credits to be issued totaling \$7,224. Credits to be applied to bills during November and December. <p><i>(Program terminated by action to implement Stage 1 Water Conservation Rate Effective 11-1-15)</i></p>																								

IRRIGATION ADJUSTMENTS AND REPAIRS CUSTOMER ASSISTANCE PROGRAM (Calendar Year 2015 except financial info which is FYE 2016)		
No.	Description	Activities / Status
1	Requests Handled (Calendar Year)	5 requests processed
2	Cost of Repairs Handled (Fiscal Year)	Budget FYE 2016: \$1,250 Actual FYE 2016: <u>\$ 467</u> Remaining Program budget: \$783
PURSUE SHORT-TERM TEMPORARY WATER SOURCES (Calendar Year 2015)		
No.	Description	Activities / Status
1	Upgrade the existing non-Dougherty Valley DSRSD-EMBUD interties to "semi-permanent" status	A quote was obtained from a contractor to fabricate rigid piping for the Alcosta-Davona and Southwick interties so that they can be installed when needed and used to accept flows on a continuous non-emergency basis. However, the cost was higher than expected and will require competitive bidding and CIP funding, so the work has not yet been awarded. Rigid piping would be an improvement from the original piping that relied on flexible hose for the connections. Radio telemetry has been purchased for the two interties so that the flow of transfer water could be continuously monitored. Meanwhile DSRSD is working with EBMUD to design improvements to the Southwick intertie including moving the piping into a buried vault, which would eliminate the need to close the sidewalk when the intertie is used. The improvements will be funded using the Water Supply Reliability CIP Program. A separate item will be on this Board Agenda to approve this capital project.
2	Continue to encourage and support Zone 7's efforts for water transfers	<ul style="list-style-type: none"> • Continue to support Zone 7 efforts for CCWD Water Exchange • Continue to support Zone 7 efforts for Yuba Accord water • No transfers approved by Zone 7 through Novemberber 30

3	<p>Explore retail-level water transfers utilizing existing interties as a source of additional supply directly to the District.</p>	<p>The District, EBMUD and the Yuba County Water Agency approved agreements for the transfer of a net of 1,275 AF of water to the District's service area. Zone 7 removed their opposition to the transfer. However, the US Bureau of Reclamation ultimately refused to circulate the Environmental Assessment (EA) document and prepare a Warrant Act Contract to allow the water to pass through the Folsom South Canal, which effectively blocked the proposed water transfer. Discussions continue in an effort to understand why USBR took this position and address this issue for future transfers. Per agreements signed with the two agencies for the intended water transfer, DSRSD paid YCWA \$20,000 and EBMUD \$132,982 for their costs associated with attempting to implement the 2015 transfer. On August 24 DSRSD and EBMUD met to discuss planning for a potential 2016 water transfer. Zone 7 was informed of the District's efforts on August 26. Congressman Swalwell sent a letter to USBR's David Murillo on September 22, seeking clarification and a resolution of the issues that prevented USBR from approving DSRSD's transfer in March 2015. On October 28 DSRSD sent a letter to Zone 7 providing notice of the District's intent to pursue a water transfer for 2016 and asking Zone 7's cooperation. On November 2, David Murillo responded to Congressman Swalwell's letter in writing. However, on December 1 EBMUD notified DSRSD that due to the condition of their own water supply EBMUD would be unable to facilitate a water transfer for DSRSD in 2016, and instead EBMUD anticipates that they will need to use 100% of the capacity of the Freeport intake to supplement their own depleted water supply.</p>
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H:\Board\2015\12-15-15\98 Drought Response Action Plan\Attachment 2 - Drought Response Action Plan Status Report.docx



Reference Treasurer	Type of Action Accept Financial Statements	Board Meeting of December 15, 2015
Subject Accept Financial Statements for Year Ended June 30, 2015 with Independent Auditors' Report		
<input checked="" type="checkbox"/> Motion	<input type="checkbox"/> Minute Order	<input type="checkbox"/> Resolution
<input type="checkbox"/> Ordinance	<input type="checkbox"/> Informational	<input type="checkbox"/> Other
REPORT:	<input checked="" type="checkbox"/> Verbal	<input type="checkbox"/> Presentation
	<input checked="" type="checkbox"/> Staff	J. Archer
		<input type="checkbox"/> Board Member

Recommendation:

The Treasurer recommends the Board accept, by Motion, the Financial Statements for the year ended June 30, 2015 with Independent Auditors' Report.

Summary:

Badawi & Associates has audited the financial statements of Dublin San Ramon Services District. Their audit report of the District's Basic Financial Statements for the Year Ended June 30, 2015 is attached. The primary financial statements show the water and sewer enterprises as a whole with supplementary statements showing each of the District's funds individually. These individual fund statements give a better picture of how each of the District's enterprise (or operations) funds did over the last year.

The Auditors' Communication with Those Charged with Governance is attached for your review.

Committee Review			Legal Review	Staff Review		
COMMITTEE ---	DATE ---	RECOMMENDATION ---	Not Required	ORIGINATOR J. Archer	DEPARTMENT Admin Services	REVIEWED BY
ATTACHMENTS <input type="checkbox"/> None						
<input type="checkbox"/> Resolution	<input type="checkbox"/> Minute Order	<input type="checkbox"/> Task Order	<input type="checkbox"/> Staff Report	<input type="checkbox"/> Ordinance		
<input checked="" type="checkbox"/> Cost \$0	<input type="checkbox"/> Funding Source A. B.	Attachments to S&R 1. Basic Financial Statements for the Year Ended June 30, 2015 with Independent Auditors' Report 2. Auditors' Communication with Those Charged with Governance 3.				
<small>H:\Board\2015\12-15-15\Accept Financial Statements\Summary and Rec - Accept Financial Statements.doc</small> 106 of 202						

**DUBLIN SAN RAMON SERVICES DISTRICT
BASIC FINANCIAL STATEMENTS
FOR THE YEAR ENDED JUNE 30, 2015**

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**DUBLIN SAN RAMON SERVICES DISTRICT
BASIC FINANCIAL STATEMENTS
For the Year Ended June 30, 2015**

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**DUBLIN SAN RAMON SERVICES DISTRICT
BASIC FINANCIAL STATEMENTS
For the Year Ended June 30, 2015**

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INDEPENDENT AUDITORS' REPORT

To the Board of Directors
of the Dublin San Ramon Services District
Dublin, California

We have audited the accompanying financial statements of the Dublin San Ramon Services District, California (District), as of and for the year ended June 30, 2015, and the related notes to the financial statements, which comprise the District's basic financial statements as listed in the table of contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express opinions on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the Dublin San Ramon Services District as of June 30, 2015, and the respective changes in financial position and cash flows thereof for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Emphasis of a Matter

Management adopted the provisions of Governmental Accounting Standards Board Statement 68 – *Accounting and Financial Reporting for Pensions*, which became effective June 30, 2015. See Note 14 to the financial statements for disclosure related to the restatement of beginning Net Position. The emphasis of this matter does not constitute a modification to our opinion.

Other Matters

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis on pages 3-7, the schedule of the District's proportionate share of net pension liability on page 43, the schedule of contributions for the District pension plan on page 44 and the schedule of funding progress of the District's postemployment healthcare benefits plan on page 45, be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Other Information

Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the District's basic financial statements. The Supplemental Information as listed in the Table of Contents is presented for purposes of additional analysis and is not a required part of the basic financial statements.

The Supplemental Information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the Supplemental Information is fairly stated, in all material respects, in relation to the basic financial statements as a whole.



Oakland, California
November 24, 2015

DUBLIN SAN RAMON SERVICES DISTRICT
MANAGEMENT'S DISCUSSION AND ANALYSIS OF
BASIC FINANCIAL STATEMENTS
Fiscal Years Ended June 30, 2015 and 2014

Financial Highlights for Fiscal Year 2015

- ✓ The District's net position increased \$13.4 million or 3.7% from \$363.6 million to \$377.0 million
- ✓ Operating revenues decreased \$1.0 million or 2.3% from \$45.8 million to \$44.7 million
- ✓ Capacity reserve fees increased \$16.8 million or 128.4% from \$13.1 million to \$29.9 million
- ✓ Operating expenses decreased \$3.9 million or 8.0% from \$48.9 million to \$45.0 million
- ✓ The District had a prior year adjustment of \$17.2 million which is the result of recording net pension liability as required by GASB 68.

Overview of the Financial Statements

The discussion and analysis provided here are intended to serve as an introduction to the Dublin San Ramon Services District's basic financial statements. The District's basic financial statements consists of the Statements of Net Position, Statements of Revenues and Expenses and Changes in Fund Net Position, Statements of Cash Flows, and Notes to Financial Statements. The notes to the financial statements provide narrative explanations or additional data as needed for full disclosure.

- The Statements of Net Position presents financial information on all of the Districts assets, liabilities, and deferred inflows/outflows of resources, with the difference reported as net position. Over time, increases or decreases in net position may serve as a useful indicator of whether the financial position of the District is improving or deteriorating.
- The Statements of Revenues and Expenses and Changes in Fund Net Position measures the success of District operations for the year and determines cost recovery through user fees and other charges, profitability, and credit worthiness.
- The Statements of Cash Flows provides information about District cash receipts and disbursements and net changes in cash that result from operating activities, non-capital financing activities, capital financing activities and investing activities. Thus, the Statements of Cash Flows shows sources and uses of cash.

The format of the District's financial statements is in accordance with business-type activities known as enterprise funds. Enterprise funds are self-supporting funds that charge fees to users to cover the costs of operation, maintenance, and recurring capital replacement (OM&R), and are similar to the accounting methods used by private sector companies. Enterprise funds report on the accrual basis of accounting recognizing all assets, liabilities, revenues and expenses applicable as of the financial statement date.

The District is governed by a Board of Directors, which sets policy, adopts budgets and appoints a General Manager to direct operations. There are five Directors elected at-large to overlapping four-year terms.

Changes in Net Position

The following condensed statements, Statements of Net Position (Table 1) and Statements of Revenues and Expenses and Changes in Fund Net Position (Table 2), are presented in a comparative format together with dollar and percentage of change from the previous year, to help the reader analyze financial activity.

DUBLIN SAN RAMON SERVICES DISTRICT
MANAGEMENT'S DISCUSSION AND ANALYSIS OF
BASIC FINANCIAL STATEMENTS
Fiscal Years Ended June 30, 2015 and 2014

TABLE 1

Condensed Statements of Net Position
(In millions of dollars)

Period ended June 30	2015	2014	2015 vs 2014		2013	2014 vs 2013	
			\$ Change	% Change		\$ Change	% Change
Current and restricted assets	\$147.3	\$132.8	\$ 14.5	10.9%	\$125.4	\$ 7.4	5.9%
Capital assets	318.1	318.8	(0.7)	-0.2%	321.3	(2.5)	-0.8%
Other assets	12.8	13.1	(0.3)	-2.2%	13.8	(0.8)	-5.7%
Total assets	478.2	464.6	13.6	2.9%	460.5	4.1	0.9%
Deferred outflow of resources	7.0	-	7.0		-	-	
Current and restricted liabilities	12.1	12.1	(0.0)		13.5	(1.4)	-10.3%
Long-term liabilities	91.2	88.9	2.3	2.6%	93.8	(4.9)	-5.3%
Total liabilities	103.3	101.0	2.3	2.3%	107.4	(6.3)	-5.9%
Deferred inflow of resources	4.8	-	4.8		-	-	
Net Position							
Net investment in capital assets	246.3	234.2	12.1	5.2%	233.4	0.8	0.3%
Restricted for expansion	52.5	48.4	4.1	8.5%	47.7	0.7	1.6%
Restricted for assessment district	0.7	0.9	(0.2)	-20.9%	0.7	0.2	24.3%
Unrestricted	77.5	80.1	(2.6)	-3.2%	71.4	8.7	12.2%
Total net position	\$377.0	\$363.6	\$ 13.4	3.7%	\$353.2	\$ 10.4	3.0%

During the fiscal year ended June 30, 2015 (FYE 2015) total assets increased 2.9% or \$13.5 million from June 30, 2014 (FYE 2014), which had an increase of 0.9% or \$4.1 million over June 30, 2013 (FYE 2013). The increases over the past two year has been primarily in cash and investments, offset by a decrease in capital assets due to annual recording of depreciation and amortization. For a more detailed looked at the increase in cash, see Statements of Cash Flows on page 11.

Total liabilities increased \$2.3 million or 2.3% during the FYE 2015 after a decrease of \$6.3 million or 5.9% during FY2014. The increase in FYE 2015 is the result of the District recording its net pension liability of \$13.7 million as required by GASB 68 (see Financial Statement Note #11 – Pension Plan), offset by the payoff of \$11.1 million in long-term debt (see Financial Statement Note #7 – Long-term Debt). In FYE 2014 the decrease that year was primarily due to paying down \$3.3 million of long-term debt, plus a decrease in unearned revenue as developers used capacity reserve fees paid in prior years to cover fees on FYE 2014 development.

Deferred inflows/outflows of resources relate to the Districts pension plan with California Public Employees' Retirement, see Financial Statement Note #11 – Pension Plan for additional information.

Overall, the District's total net position increased \$13.4 million or 3.7% during FYE 2015; the increase is principally in investment in capital assets as the District completed several construction projects. FYE 2014 total net position increased \$10.4 million or 3.0% due to a combination of increased rates and customer growth.

DUBLIN SAN RAMON SERVICES DISTRICT
MANAGEMENT'S DISCUSSION AND ANALYSIS OF
BASIC FINANCIAL STATEMENTS
Fiscal Years Ended June 30, 2015 and 2014

TABLE 2

Condensed Statements of Revenues and Expenses and Changes in Fund Net Position
(In millions of dollars)

Period ended June 30	2015	2014	2015 vs 2014		2013	2014 vs 2013	
			\$ Change	% Change		\$ Change	% Change
Operating revenues	\$ 44.7	\$ 45.8	\$ (1.0)	-2.3%	\$ 43.4	\$ 2.4	5.5%
Other revenues	8.6	7.7	0.9	12.0%	7.9	(0.2)	-2.2%
Investment income	1.0	1.4	(0.4)	-30.4%	0.1	1.2	818.6%
Capacity reserve fees	29.9	13.1	16.8	128.4%	18.8	(5.7)	-30.3%
Contributions	5.0	4.7	0.2	5.2%	1.3	3.4	265.9%
Total revenues	89.2	72.7	16.5	22.8%	71.5	1.2	1.7%
Operating expenses	45.0	48.9	(3.9)	-8.0%	44.4	4.5	10.0%
Depreciation	11.3	10.9	0.4	3.5%	10.8	0.1	1.3%
Non-operating expenses	2.4	2.5	(0.1)	-4.4%	2.6	(0.1)	-3.3%
Total expenses	58.6	62.3	(3.7)	-5.9%	57.8	4.5	7.8%
Changes in net position	30.6	10.4	20.2	193.8%	13.7	(3.3)	-24.1%
Beginning net position	363.6	353.2	10.4	3.0%	339.4	13.7	4.0%
Prior period adjustment	(17.2)	-	(17.2)		-	-	
Beginning net position, as restated	346.4	353.2	(6.8)	-1.9%	339.4	13.7	4.0%
Ending net position	\$377.0	\$363.6	\$ 13.4	3.7%	\$353.2	\$ 10.4	3.0%

In FYE 2015, the District had an increase in total revenues of \$16.5 million or 22.8% compared to FYE 2014, the increase was due to Capacity reserve fees received from developers of \$16.8 million offset by a decrease in operating revenues due to the decrease in water sales due to the drought. FYE 2014 had a \$1.2 million increase over FYE 2013 which was a combination of increase in operating revenue of \$2.4 million due to customer growth and rate increases, plus a \$1.2 million increase in investment income due to an unrealized gain in the market value of investments held, plus \$3.4 million increase in revenues from contributions of sub-surface lines by developers offset by a \$5.7 million decrease in capacity reserve fees. The decrease in capacity fees was primarily due to a change in procedure in FYE 2014 where the developer pays the capacity reserve fee as building permits are approved by the city rather than paying the fees in advance for the entire development when plans are submitted for review.

Total expenses decreased \$3.7 million or 5.9% during FYE 2015, the decrease was primarily in Water Purchases due to the drought. In FYE 2014 the District had a \$4.5 million increase in expenses due to one-time expenditure for the installation of advanced metering infrastructure, which integrated meters, communications networks and data management systems.

In FYE 2015 the District recorded a \$17.2 million Prior period adjustment as required under GASB 68 to record the net pension liability from the accounting valuation report provided by California Public Employees' Retirement as of the measurement date of June 30, 2014.

DUBLIN SAN RAMON SERVICES DISTRICT
MANAGEMENT'S DISCUSSION AND ANALYSIS OF
BASIC FINANCIAL STATEMENTS
Fiscal Years Ended June 30, 2015 and 2014

Capital Assets and Debt

During FYE 2015, the District purchased, had contributed, or transferred from construction in progress the following assets:

Wastewater treatment plant standby/emergency power	\$7,072,089
Contributed sub-surface lines	4,983,455
Wastewater treatment plant - DAFT improvements	1,477,635
Wastewater treatment plant - secondary clarifier	1,144,467
Vehicles and equipment	954,912
Building and land improvements	779,779
Reservoir and pump station improvements	688,128
Computer software and equipment	667,433
Vactor receiving station improvements	613,564
Wastewater treatment plant - various improvements	371,282
	<u>\$18,752,746</u>

In FYE 2015 the District had the following additions to construction in progress:

Recycled water system expansion and improvements	\$1,781,114
Wastewater treatment plant improvements	1,242,019
Improvements to SCADA	542,281
Potable water system improvements	528,539
Network and software upgrades and improvements	413,401
	<u>\$4,507,354</u>

In FYE 2015, the District completed several projects at the wastewater treatment plant (WWTP) the largest being the \$7 million improvement of standby and emergency power which included coordinating with PG&E to bury existing 21kv transmission lines. Other projects at the WWTP included rehabilitating of the Dissolved Air Flotation Thickener (DAFT), rehabilitating a secondary clarifier, and several small projects, all under \$50,000 which totaled \$0.4 million. The District also replaced several vehicles including two sewer cleaning combination trucks. For additional information on capital assets see Financial Statement Note 4 – Capital Assets.

The District has several projects under construction, the largest being the \$1.8million expansion of the recycled water system to the west side of Dublin and to Alameda County facilities in central Dublin. The District also had several improvement projects in progress at the WWTP which total \$1.2million, improvements at field operations to the Supervisory Control and Data Acquisition (SCADA) which monitors instrumentation, pumps and valves at the Districts water and recycled water facilities which total \$0.5million, several projects to improve potable water infrastructure which total \$0.5million, and network/software improvements for an electronic content management system which total \$0.4million.

DUBLIN SAN RAMON SERVICES DISTRICT
MANAGEMENT’S DISCUSSION AND ANALYSIS OF
BASIC FINANCIAL STATEMENTS
Fiscal Years Ended June 30, 2015 and 2014

During FYE 2015, there were no additions to debt and the District was able to pay off the bank note of \$11.1 million with Bank of America. Debt payments in future years for principal and interest are projected to be \$5.3million per year. For additional information on the District’s debt obligations, see Financial Statement Note 7 – Long-term debt.

Debt Payable	Balance at 6/30/2014	Additions	Principal Payments	Balance at 6/30/2015
Bank Note - Sewer	\$11,135,590		\$11,135,590	\$0
LAVWMA Obligation	38,055,942		1,355,530	36,700,412
Water Revenue Refunding Bonds	35,360,000		270,000	35,090,000
	<u>\$84,551,532</u>	<u>\$ -0-</u>	<u>\$12,761,120</u>	<u>\$71,790,412</u>

Rates and Other Economic Factors

The District is not subject to variations in general economic conditions, such as increases or declines in property tax values or sales taxes. Accordingly, the District sets its rates to cover the costs of operations, maintenance, replacement (OM&R), and debt-financed capital improvements.

Contacting the District

This financial report is designed to provide our customers, investors and creditors with a general overview of the District’s finances and to show accountability for the money it receives. If you have questions about this report, or need any additional financial information, contact the Financial Services Department at 7051 Dublin Blvd., Dublin, California 94568; 925-828-0515

DUBLIN SAN RAMON SERVICES DISTRICT
 COMPARATIVE STATEMENTS OF NET POSITION
 JUNE 30, 2015
 WITH SUMMARIZED TOTALS AS OF JUNE 30, 2014

	2015			2014
	Sewer	Water	Totals	
ASSETS				
Current assets:				
Pooled cash	\$ 3,369,166	\$ 2,216,962	\$ 5,586,128	\$ 16,519,667
Pooled investments	77,624,369	51,312,823	128,937,192	106,703,790
Restricted investments		45,393	45,393	
Accounts receivable	7,709,604	4,327,593	12,037,197	8,839,340
Receivable from Water Fund	217,785		217,785	213,515
Interest receivable	133,624	88,335	221,959	167,636
Employee notes receivable	4,450		4,450	6,292
Deferred capacity reserve fees receivable	287,209		287,209	347,638
Prepaid expenses	1,778	1,042	2,820	15,574
Total current assets	<u>89,347,985</u>	<u>57,992,148</u>	<u>147,340,133</u>	<u>132,813,452</u>
Non-current assets:				
Capital assets:				
Property, plant and equipment	247,319,215	182,021,199	429,340,414	410,587,667
Less accumulated depreciation	82,022,290	46,863,424	128,885,714	117,605,285
Net property, plant and equipment	<u>165,296,925</u>	<u>135,157,775</u>	<u>300,454,700</u>	<u>292,982,382</u>
Land and construction in progress	4,870,346	12,760,524	17,630,870	25,782,551
Total capital assets	<u>170,167,271</u>	<u>147,918,299</u>	<u>318,085,570</u>	<u>318,764,933</u>
Other assets:				
Receivable from Water Fund				217,785
Net OPEB asset	8,354,106	3,841,269	12,195,375	12,134,452
Deferred capacity reserve fees receivable - long-term	575,786		575,786	711,350
Total other assets	<u>8,929,892</u>	<u>3,841,269</u>	<u>12,771,161</u>	<u>13,063,587</u>
Total non-current assets	<u>179,097,163</u>	<u>151,759,568</u>	<u>330,856,731</u>	<u>331,828,520</u>
Total assets	<u>268,445,148</u>	<u>209,751,716</u>	<u>478,196,864</u>	<u>464,641,972</u>
DEFERRED OUTFLOW OF RESOURCES				
Deferred outflows - pension related amounts	153,365	87,508	240,873	
Deferred employer pension contributions	4,060,740	2,655,484	6,716,224	
Total deferred outflows of resources	<u>4,214,105</u>	<u>2,742,992</u>	<u>6,957,097</u>	

(Continued)

DUBLIN SAN RAMON SERVICES DISTRICT
 COMPARATIVE STATEMENTS OF NET POSITION
 JUNE 30, 2015
 WITH SUMMARIZED TOTALS AS OF JUNE 30, 2014

	2015			2014
	Sewer	Water	Totals	
LIABILITIES				
Current liabilities:				
Accounts payable	1,095,631	4,918,849	6,014,480	4,099,200
Contractor bonds and deposits	518,069	503,982	1,022,051	825,562
Accrued expenses	488,364		488,364	580,629
Accrued compensated absences	777,846	428,363	1,206,209	1,243,648
Interest payable		807,695	807,695	974,775
Payable to Sewer Fund		217,785	217,785	213,515
Current portion of long-term debt	1,423,597	280,000	1,703,597	3,457,926
Unearned revenue and other liabilities	684,115		684,115	753,432
Total current liabilities	<u>4,987,622</u>	<u>7,156,674</u>	<u>12,144,296</u>	<u>12,148,687</u>
Long term liabilities:				
Long-term debt				
less current portion	35,276,815	34,810,000	70,086,815	81,093,606
Net pension liability	8,735,819	4,984,438	13,720,257	
Payable to Sewer Fund				217,785
DLD remediation reserve	706,000		706,000	690,000
Unearned revenue	575,786	6,086,447	6,662,233	6,889,633
Total long term liabilities	<u>45,294,420</u>	<u>45,880,885</u>	<u>91,175,305</u>	<u>88,891,024</u>
Total liabilities	<u>50,282,042</u>	<u>53,037,559</u>	<u>103,319,601</u>	<u>101,039,711</u>
DEFERRED INFLOW OF RESOURCES				
Deferred inflows - pension related amounts	3,055,534	1,743,412	4,798,946	
Total deferred inflows of resources	<u>3,055,534</u>	<u>1,743,412</u>	<u>4,798,946</u>	
NET POSITION				
Net investment in capital assets	133,466,860	112,828,299	246,295,159	234,213,401
Restricted for:				
Expansion	42,149,249	10,354,427	52,503,676	48,406,861
Assessment district		706,268	706,268	893,405
Unrestricted	43,705,568	33,824,743	77,530,311	80,088,594
Total net position	<u>\$ 219,321,677</u>	<u>\$ 157,713,737</u>	<u>\$ 377,035,414</u>	<u>\$ 363,602,261</u>

See accompanying notes to basic financial statements

DUBLIN SAN RAMON SERVICES DISTRICT
 COMPARATIVE STATEMENTS OF REVENUES AND EXPENSES
 AND CHANGES IN FUND NET POSITION
 FOR THE YEAR ENDED JUNE 30, 2015
 WITH SUMMARIZED TOTALS FOR THE YEAR ENDED JUNE 30, 2014

	2015			2014
	Sewer	Water	Total	
OPERATING REVENUES				
Wastewater service charges	\$ 21,547,608		\$ 21,547,608	\$ 20,772,099
Water sales		\$ 23,186,190	23,186,190	24,992,374
Other revenues	2,785,645	5,857,187	8,642,832	7,715,632
Total operating revenues	<u>24,333,253</u>	<u>29,043,377</u>	<u>53,376,630</u>	<u>53,480,105</u>
OPERATING EXPENSES				
Personnel	10,702,035	6,277,075	16,979,110	16,929,786
Materials	2,526,121	8,491,272	11,017,393	13,532,408
Contractual services	8,434,494	7,878,443	16,312,937	17,983,580
Other	204,212	446,412	650,624	448,169
Depreciation	6,887,206	4,393,223	11,280,429	10,900,456
Total operating expenses	<u>28,754,068</u>	<u>27,486,425</u>	<u>56,240,493</u>	<u>59,794,399</u>
OPERATING INCOME (LOSS)	<u>(4,420,815)</u>	<u>1,556,952</u>	<u>(2,863,863)</u>	<u>(6,314,294)</u>
NONOPERATING REVENUES (EXPENSE)				
Investment income	593,150	364,892	958,042	1,376,221
Interest expense	(421,896)	(1,940,619)	(2,362,515)	(2,470,105)
Total non-operating revenues (expense), net	<u>171,254</u>	<u>(1,575,727)</u>	<u>(1,404,473)</u>	<u>(1,093,884)</u>
(LOSS) BEFORE CONTRIBUTIONS	(4,249,561)	(18,775)	(4,268,336)	(7,408,178)
Non-cash contributions	1,803,309	3,180,146	4,983,455	4,735,340
Capital contributions - capacity reserve fees	22,482,196	7,423,057	29,905,253	13,093,842
Interfund transfers in	4,453,724	9,620,427	14,074,151	
Interfund transfers out	(6,950,614)	(7,123,537)	(14,074,151)	
Changes in net position	17,539,054	13,081,318	30,620,372	10,421,004
TOTAL NET POSITION, BEGINNING OF YEAR	212,725,890	150,876,371	363,602,261	353,181,257
Prior year adjustment	(10,943,267)	(6,243,952)	(17,187,219)	
TOTAL NET POSITION, BEGINNING OF YEAR AS RESTATED	<u>201,782,623</u>	<u>144,632,419</u>	<u>346,415,042</u>	<u>353,181,257</u>
TOTAL NET POSITION, END OF YEAR	<u>\$ 219,321,677</u>	<u>\$ 157,713,737</u>	<u>\$ 377,035,414</u>	<u>\$ 363,602,261</u>

DUBLIN SAN RAMON SERVICES DISTRICT
STATEMENTS OF CASH FLOWS
PROPRIETARY FUNDS - ENTERPRISE
FOR THE YEAR ENDED JUNE 30, 2015
WITH SUMMARIZED TOTALS FOR THE YEAR ENDED JUNE 30, 2014

	2015			2014
	Sewer	Water	Total	
CASH FLOWS FROM OPERATING ACTIVITIES				
Receipts from customers, users and joint powers authorities	\$ 20,223,230	\$ 29,968,297	\$ 50,191,527	\$ 53,411,195
Payments for services and supplies	(11,043,777)	(14,901,673)	(25,945,450)	(33,426,809)
Payments to or on behalf of employees	(14,117,061)	(8,583,683)	(22,700,744)	(17,039,884)
Net Cash Provided (Used) by Operating Activities	(4,937,608)	6,482,941	1,545,333	2,944,502
CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES				
Interfund transfers	(2,496,890)	2,496,890		
Due to other funds		(213,515)	(213,515)	(204,754)
Due from other funds	213,515		213,515	204,754
Net Cash Provided (Used) by Noncapital Financing Activities	(2,283,375)	2,283,375		
CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES				
Principal paid on long-term debt	(12,491,120)	(270,000)	(12,761,120)	(3,302,160)
Interest paid on long-term debt	(584,476)	(1,945,119)	(2,529,595)	(1,924,939)
Acquisition and construction of capital assets	(2,475,981)	(3,141,628)	(5,617,609)	(3,637,173)
Capacity reserve fees collected	22,473,307	7,331,221	29,804,528	11,867,948
Net Cash Provided (Used) by Financing Activities	6,921,730	1,974,474	8,896,204	3,003,676
CASH FLOWS FROM INVESTING ACTIVITIES				
Interest received	569,575	334,144	903,719	1,375,012
Purchase of investment securities	(22,279,088)	(14,729,364)	(37,008,452)	(17,500,000)
Redemptions of investment securities	19,475,955	12,876,128	32,352,083	10,801,216
Proceeds (purchase) of other investments		(45,393)	(45,393)	327,371
Purchases of LAIF investments	(4,923,836)	(12,653,197)	(17,577,033)	8,914,153
Net Cash Provided (Used) by Investing Activities	(7,157,394)	(14,217,682)	(21,375,076)	3,917,752
NET CHANGE IN CASH AND CASH EQUIVALENTS	(7,456,647)	(3,476,892)	(10,933,539)	9,865,930
CASH AND CASH EQUIVALENTS, BEGINNING OF YEAR	10,825,813	5,693,854	16,519,667	6,653,737
CASH AND CASH EQUIVALENTS, END OF YEAR	<u>\$ 3,369,166</u>	<u>\$ 2,216,962</u>	<u>\$ 5,586,128</u>	<u>\$ 16,519,667</u>
RECONCILIATION OF OPERATING INCOME (LOSS) TO NET CASH PROVIDED (USED) BY OPERATING ACTIVITIES				
Operating income (loss)	\$ (4,420,815)	\$ 1,556,952	\$ (2,863,863)	\$ (6,314,294)
Adjustments to reconcile operating income (loss) to net cash provided by operating activities:				
Depreciation	6,887,206	4,393,223	11,280,429	10,900,456
(Increase) decrease in accounts receivable	(4,120,415)	922,558	(3,197,857)	(55,676)
(Increase) decrease in employees notes receivable	1,842		1,842	1,001
(Increase) decrease in prepaid expenses	10,392	2,362	12,754	(13,234)
(Increase) decrease in net OPEB asset	(38,451)	(22,472)	(60,923)	(82,296)
(Increase) decrease in deferred outflow of resources - employer contribution	(3,072,655)	(2,083,985)	(5,156,640)	
(Increase) decrease in deferred outflow of resources - pension related amounts	(153,365)	(87,508)	(240,873)	
Increase (decrease) in accounts payable	80,766	1,834,514	1,915,280	(900,058)
Increase (decrease) in contractor bonds and deposits payable	116,549	79,940	196,489	9,841
Increase (decrease) in accrued expenses	(92,265)		(92,265)	(599,435)
Increase (decrease) in compensated absences	(12,398)	(25,041)	(37,439)	(28,803)
Increase (decrease) in DLD remediation reserve	16,000		16,000	27,000
Increase (decrease) in net pension liability	(3,195,533)	(1,831,014)	(5,026,547)	
Increase (decrease) in deferred inflow of resources - pension related amounts	3,055,534	1,743,412	4,798,946	
Total adjustments	(516,793)	4,925,989	4,409,196	9,258,796
NET CASH PROVIDED BY OPERATING ACTIVITIES	<u>\$ (4,937,608)</u>	<u>\$ 6,482,941</u>	<u>\$ 1,545,333</u>	<u>\$ 2,944,502</u>
NON CASH TRANSACTIONS:				
Fair market value adjustment increase	\$ (56,373)	\$ (37,270)	\$ (93,643)	\$ 194,682
Contributed assets	1,803,309	3,180,146	4,983,455	4,735,340
Uncollected deferred capacity reserve fees	100,725		100,725	1,225,894

See accompanying notes to basic financial statements

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DUBLIN SAN RAMON SERVICES DISTRICT

NOTES TO BASIC FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2015

NOTE #1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

A. General

Dublin San Ramon Services District (the District) is organized under the Community Services District Act provisions of the general laws of the State of California and is governed by a five-member Board of Directors. The District, which was established in 1953 and became active in 1960, provides water, recycled water and wastewater collection and treatment services. The District's jurisdiction is approximately 26 square miles in the counties of Alameda and Contra Costa, California.

B. Basis of Accounting

The District is a proprietary entity; it uses an enterprise fund format to report its activities for financial statement purposes. Enterprise funds are used to account for operations that are financed and operated in a manner similar to private business enterprise, where the intent of the governing body is that costs and expenses, including depreciation, and providing goods or services to the general public on a continuing basis, be financed or recovered primarily through user charges.

Major funds are funds whose revenues, expenditures/expenses, assets, or liabilities (excluding extraordinary items) are at least 10 percent of corresponding totals for all enterprise funds and at least 5 percent of the aggregate amount for all enterprise funds.

The District reports the following major Proprietary Funds:

Water Enterprise – This enterprise accounts for the operation, maintenance and capital improvement projects of the water system, which is funded by user charges and other fees.

Sewer Enterprise – This enterprise accounts for the operation, maintenance and capital improvement projects of the sewer system, which are funded by user charges and other fees.

C. Measurement Focus

Enterprise funds are accounted for on a cost of services or *economic resources* measurement focus, which means that all assets and all liabilities associated with their activity are included on their balance sheets. Enterprise fund type operating statements present increases (revenues) and decreases (expenses) in total net position.

DUBLIN SAN RAMON SERVICES DISTRICT

NOTES TO BASIC FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2015

NOTE #1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

D. Reporting Entity

In evaluating how to define the government for financial purposes, management has considered all potential component units. The decision to include a potential component unit in the reporting entity was made by applying the criteria prescribed by Generally Accepted Accounting Principles (GAAP). As required by GAAP, these financial statements present the primary government and its component units, entities for which the government is considered to be financially accountable. Financial accountability is interpreted to mean appointment of a voting majority of the component unit's board and either the ability to impose will by the primary government or the possibility that there is a financial benefit or burden on the primary government.

In evaluating the financial reporting entity for purpose of preparing the basic financial statements, the District has determined it is financially accountable for the Dublin San Ramon Services District Financing Corporation (Corporation). The Corporation is a separate government entity whose purpose is to assist with the financing of certain public capital facilities for the District through the issuance of bonds or other forms of debt. The Corporation is controlled by the District and has the same governing body as the District, which also performs all accounting and administrative functions for the Corporation. The Corporation is included as a blended component unit in these basic financial statements.

E. Capital Assets

Capital assets, which include property, plant, and equipment are recorded at historical costs or estimated historical cost, if actual cost is not available. Contributed assets are recorded at estimated fair value on the date of contribution.

The District defines capital assets as assets with an initial, individual cost of \$10,000 or more and an estimated useful life in excess of one year.

Depreciation is computed by the straight-line method based on the estimated useful lives of related asset classifications. The District has assigned the useful lives listed below to capital assets:

Land Improvements	15-25 years
Buildings	10-50 years
Equipment	5-25 years
Sub-surface lines	25-50 years
Intangibles - Reclaimed Water Rights	41 years
Intangibles – Sewer Capacity Rights	20 years

F. Cash Flows Defined

For the purpose of the statements of cash flows the District defines cash and cash equivalents to include all cash in deposit accounts and cash on hand but does not include cash held in escrow for restricted purposes.

DUBLIN SAN RAMON SERVICES DISTRICT

NOTES TO BASIC FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2015

NOTE #1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

G. Accounts Receivable

The District bills its water consumption and sewer usage on a cycle billing method. Cycle billing results in an amount of services rendered but not yet billed at year-end. The District has recorded this revenue by estimating the unbilled amount. The estimate was calculated by using the billing subsequent to the balance sheet date (June 30) and calculating the amount of service provided prior to June 30. This calculated amount is included in accounts receivable.

H. Accrued Compensated Absences

The liability for vested vacation pay is calculated and accrued on an annual basis. The amount is computed using current employee accumulated leave hours (excluding sick leave which does not vest) at current pay rates. Full-time employees accrue sick leave at the rate of eight (8) hours per month credited in hour per pay period.

I. Use of Estimates

The District's management has made a number of estimates and assumptions relating to the reporting of assets and liabilities and revenues and expenses and the disclosure of contingent liabilities to prepare these financial statements in conformity with Generally Accepted Accounting Principles (GAAP). Actual results could differ from those estimates.

J. Deferred Outflows/Inflows of Resources

In addition to assets, the statement of net position will sometimes report a separate section for deferred outflows of resources. This separate financial statement element, *deferred outflows of resources*, represents a consumption of net position that applies to a future period(s) and so will *not* be recognized as an outflow of resources expense until then. In addition to liabilities, the statement of net position will sometimes report a separate section for deferred inflows of resources. This separate financial statement element, *deferred inflows of resources*, represents an acquisition of net position that applies to a future period(s) and so will *not* be recognized as an inflow of resources (revenue) until that time.

K. Prior Year Summarized Information

The financial statements for the prior year are included for comparative purposes only. These financial statements do not include the effect of the prior period adjustment for the change in accounting principle related to GASB 68 because the information necessary was not available. See note 1. M. and Note 14.

DUBLIN SAN RAMON SERVICES DISTRICT

NOTES TO BASIC FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2015

NOTE #1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

L. Pensions

For purposes of measuring the net pension liability and deferred outflows/inflows of resources related to pensions, and pension expense, information about the fiduciary net position of the District's California Public Employees' Retirement System (CalPERS) plan (Plan) and additions to/deductions from the Plan's fiduciary net position have been determined on the same basis as they are reported by CalPERS. For this purpose, benefit payments (including refunds of employee contributions) are recognized when due and payable in accordance with the benefit terms. Investments are reported at fair value.

M. Implementation of Governmental Accounting Standards Board Statement (GASB)

The District has implemented the requirements of the following GASB Pronouncement:

GASB Statement No. 68, *Accounting and Financial Reporting for Pensions – An Amendment of GASB Statement No. 27* – The primary objective of this statement is to improve accounting and financial reporting by state and local governments for pensions. It also improves information provided by state and local governmental employers about financial support for pensions that is provided by other entities. This Statement results from comprehensive review of the effectiveness of existing standards of accounting and financial reporting for pensions with regard to providing decision-useful information, supporting assessments and accountability and inter-period equity, and creating additional transparency.

The statement replaces the requirements of Statement No. 27, *Accounting for Pensions by State and Local Governmental Employers*, as well as the requirements of Statement No. 50, *Pension Disclosures* as they relate to pensions that are provided through pension plans administered as trusts of equivalent arrangements (hereafter jointly referred to as trusts) that meet certain criteria. The requirements of Statements 27 and 50 remain applicable for pensions that are not covered by the scope of this Statement. The District restated its beginning net position as part of implementation of this accounting standard.

GASB Statement No. 69, *Government Combinations and Disposals of Government Operations* – This statement establishes accounting and financial reporting standards related to government combinations and disposals of government operations. As used in this Statement, the term *government combinations* includes a variety of transactions referred to as mergers, acquisitions, and transfers of operations. There was no impact on beginning net position as part of the implementation of this accounting standard.

GASB Statement No. 71, *Pension Transition for Contributions Made Subsequent to the Measurement Date – An Amendment of GASB Statement No. 68* – The objective of this Statement is to address an issue regarding application of the transition provisions of Statement No. 68, *Accounting and Financial Reporting for Pensions*. The issue relates to amounts associated with contributions, if any, made by a state or local government employer or nonemployer contributing entity to a defined benefit pension plan after the measurement date of the government's beginning net pension liability. The District restated its beginning net position as part of implementation of this accounting standard.

DUBLIN SAN RAMON SERVICES DISTRICT

NOTES TO BASIC FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2015

NOTE #2 - CASH AND INVESTMENTS

A. Policies

California Law generally requires banks and savings and loan institutions to pledge government securities with a market value of 110% of the District's cash on deposit, or first trust deed mortgage notes with a market value of 150% of the deposit, as collateral for these deposits. Under California Law this collateral is held in a separate investment pool by another institution in the District's name and places the District ahead of general creditors of the institution. As of June 30, 2015, the District's cash in bank was insured or collateralized as discussed above.

The District invests in individual investments and in investment pools. Individual investments are evidenced by specific identifiable securities instruments, or by an electronic entry registering the owner in the records of the institution issuing the security, called the book entry system. In order to increase security, the District employs the Trust Department of a bank as the custodian of certain District managed investments, regardless of their form.

The District's investments are carried at fair value, as required by generally accepted accounting principles. The District adjusts the carrying value of its investments to reflect their fair value at each fiscal year end, and it includes the effects of these adjustments in income for that fiscal year.

B. Classification

Cash and investments are classified in the financial statements as shown below, based on whether or not their use is restricted under the terms of District debt instruments or Agency agreements.

Cash and cash equivalents	\$ 5,586,128
Investments	128,937,192
Restricted investments	<u>45,393</u>
Total cash and investments	<u>\$ 134,568,713</u>

C. Investments Authorized by the California Government Code and the District's Investment Policy

The District's Investment Policy and the California Government Code allow the District to invest in the following investment types provided the credit ratings of the issuers are acceptable to the District; and approved percentages and maturities are not exceeded.

The table below identifies those investments authorized by the Board of Directors in the District's investment policy, which was last adopted August 19, 2014. Maturities on investments are limited to five years except that up to 10% of the portfolio is deemed the "long-term" portfolio and may be invested up to seven years.

DUBLIN SAN RAMON SERVICES DISTRICT

**NOTES TO BASIC FINANCIAL STATEMENTS
FOR THE YEAR ENDED JUNE 30, 2015**

NOTE #2 – CASH AND INVESTMENTS (Continued)

Limit	Minimum Rating	Maximum Maturity	Authorized Investment
None	None	5 years	Bonds issues by the District
None	None	5 years	U.S. Treasury Bnotes, bills, or certificates of indebtedness
None	None	5 years	Registered state warrants or treasury notes or bonds of this state
None	None	5 years	Registered treasury notes or bonds of the other 49 United States
None	None	5 years	Bonds, notes, warrants, or evidences of indebtedness of a local agency within this state
30%	None	5 years	Negotiable Certificates of Deposit by nationally or state chartered bank or a savings association or federal association or a state or federal credit union or by a state licenses branch of a foreign bank
30%	A	5 years	Medium-term notes, defined as all corporate and depository institution
None	None	5 years	Deposits with bank and savings and loan associations, including certificates of deposits, where deposits are insured by FDIC
Maximum allowed by LAIF	None	N/A	The State of California Local Agency Investment Fund
None	None	N/A	Shares in California Asset Management Program (CAMP)

D. Interest Rate Risk

Interest rate risk is the risk that changes in market interest rates will adversely affect the fair value of an investment. Normally, the longer the maturity of an investment, the greater the sensitivity of its fair value to changes in market interest rates. The District generally manages its interest rate risk by holding investments to maturity.

Information about the sensitivity of the fair values of the District’s investments (including investments held by bond trustees) to market interest rate fluctuations is provided by the following table that shows the distribution of the District’s investments by maturity or earliest call date:

DUBLIN SAN RAMON SERVICES DISTRICT

NOTES TO BASIC FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2015

NOTE #2 – CASH AND INVESTMENTS (Continued)

Investment Type	12 Months or less	13-24 Months	25-60 Months	Total
U.S. Agency Securities				
Callable	\$ 28,958,176			\$ 28,958,176
Non-callable		\$ 7,901,212	\$ 9,956,670	17,857,882
State of California Securities			1,990,660	1,990,660
California Asset Management Program	4,005,830			4,005,830
Medium term notes	4,022,300	6,051,147	12,946,606	23,020,053
<i>Not rated:</i>				
California Local Agency Investment Fund	49,100,076			49,100,076
Negotiable certificates of deposit	2,753,185	751,478	499,852	4,004,515
Total Unrestricted Investments	\$ 88,839,567	\$ 14,703,837	\$ 25,393,788	\$ 128,937,192

The District is a voluntary participant in the Local Agency Investment Fund (LAIF) that is regulated by California Government Code Section 16429 under the oversight of the Treasurer of the State of California. The District reports its investment in LAIF at the fair value amount provided by LAIF, which is the same as the value of the pool share. The balance available for withdrawal is based on the accounting records maintained by LAIF, which are recorded on an amortized cost basis. Included in LAIF's investment portfolio are collateralized mortgage obligations, mortgage-backed securities, other asset-backed securities, loans to certain state funds, and floating rate securities issued by federal agencies, government-sponsored enterprises, United States Treasury Notes and Bills, and corporations. At June 30, 2015, these investments matured in an average of 239 days.

The District is a voluntary participant in the California Asset Management Program (CAMP). CAMP is an investment pool offered by the California Asset Management Trust (the Trust). The Trust is a joint powers authority and public agency created by the Declaration of Trust and established under the provisions of the California Joint Exercise of Powers Act (California Government Code Sections 6500 et seq., or the "Act") for the purpose of exercising the common power of its Participants to invest certain proceeds of debt issues and surplus funds. The Pool's investments are limited to investments permitted by subdivisions (a) to (n), inclusive, of Section 53601 of the California Government Code. The District reports its investments in CAMP at the fair value amounts provided by CAMP, which is the same as the value of the pool share. At June 30, 2015, the fair value approximated is the District's cost. At June 30, 2015, these investments have an average maturity of 32 days.

E. Credit Risk

Credit risk is the risk that an issuer of an investment will not fulfill its obligation to the holder of the investment. This is measured by the assignment of a rating by a nationally recognized statistical rating organization. Presented below is the actual rating as of June 30, 2015 for each investment type as provided by Standard and Poor's.

DUBLIN SAN RAMON SERVICES DISTRICT

NOTES TO BASIC FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2015

NOTE #2 – CASH AND INVESTMENTS (Continued)

Investment Type	AAA	AA+	AA	AA-	A+	A	Total
U.S. Agency Securities							
Callable		\$ 28,958,176					\$ 28,958,176
Non-callable		17,857,882					17,857,882
State of California Securities					\$ 1,990,660		1,990,660
California Asset Management Program	\$ 4,005,830						4,005,830
Medium term notes	1,975,691	8,019,138	\$ 4,982,066	\$ 3,002,774	2,996,013	\$ 2,044,371	23,020,053
<i>Not rated:</i>							
California Local Agency Investment Fund							49,100,076
Negotiable certificates of deposit							4,004,515
Total Unrestricted Investments	\$ 5,981,521	\$ 54,835,196	\$ 4,982,066	\$ 3,002,774	\$ 4,986,673	\$ 2,044,371	\$ 128,937,192

F. Concentration Risk

Significant District investments in the securities of any individual issuers, other than U.S. Treasury securities, LAIF, and mutual funds, are set forth below:

Reporting Unit	Issuer	Investment Type	Reported Amounts
District-wide			
	Federal Home Loan Mortgage Association	Federal Agency Securities	\$ 12,480,043
	Federal Home Loan Bank	Federal Agency Securities	11,978,551
	Federal Farm Credit Bank	Federal Agency Securities	11,332,964
	Federal National Mortgage Association	Federal Agency Securities	11,019,413
	General Electric Corp	Corporate Bonds	5,067,198

NOTE #5 – RESTRICTED ASSETS AND RESTRICTED NET POSITION

The District has restricted its net position for capital expansion, asset replacement and other purposes in the amounts set forth below:

	June 30, 2015	June 30, 2014
<u>Capital expansion</u>		
Local sewer expansion	\$ 5,754,748	\$ 4,969,689
Regional sewer expansion	36,394,501	36,469,061
Water expansion	10,354,427	6,968,111
	<u>52,503,676</u>	<u>48,406,861</u>
<u>Assessment District</u>		
Dougherty Valley Assessment District	706,268	893,405
	<u>706,268</u>	<u>893,405</u>
Total restrictions on net position	<u>\$ 53,209,944</u>	<u>\$ 49,300,266</u>

DUBLIN SAN RAMON SERVICES DISTRICT

**NOTES TO BASIC FINANCIAL STATEMENTS
FOR THE YEAR ENDED JUNE 30, 2015**

NOTE #4 – CAPITAL ASSETS

Changes in capital assets accounts are summarized below:

	Balance at 06/30/14	Additions	Transfers	Balance at 06/30/15
Capital assets being depreciated:				
Land Improvements	\$ 8,526,469		\$ 872,818	\$ 9,399,287
Buildings	131,035,148		303,599	131,338,747
Equipment	68,929,546	1,110,255	11,482,620	81,522,421
Sub-surface lines	137,120,624	4,983,455		142,104,079
Intangibles	64,975,880			64,975,880
Total capital assets being depreciated:	410,587,667	6,093,710	12,659,037	429,340,414
Less accumulated depreciation:				
Improvements	(4,349,381)	(407,128)		(4,756,509)
Buildings	(33,832,881)	(2,831,676)		(36,664,557)
Equipment	(33,959,981)	(2,459,672)		(36,419,653)
Sub-surface lines	(38,292,308)	(2,947,813)		(41,240,121)
Intangibles	(7,170,735)	(2,634,140)		(9,804,875)
Total accumulated depreciation	(117,605,285)	(11,280,429)		(128,885,714)
Net capital assets being depreciated	292,982,382	(5,186,719)	12,659,037	300,454,700
Capital assets not being depreciated:				
Land	7,712,945			7,712,945
Construction in progress	18,069,606	4,507,356	(12,659,037)	9,917,925
Total Capital assets not being depreciated:	25,782,551	4,507,356	(12,659,037)	17,630,870
Total capital assets, net	\$318,764,933	\$ (679,363)		\$ 318,085,570

The District has included as intangible assets in the above table \$24,000,786 for DERWA capacity rights (see Note 7A) and \$40,975,094 for LAVWMA transmission rights (see Note 7C).

The District had outstanding construction commitments on capital projects totaling \$4,215,855 at June 30, 2015.

Depreciation expense for the District for June 30, 2015 and June 30, 2014 are as follows:

	June 30, 2015	June 30, 2014
Water Fund	\$ 4,393,223	\$ 4,247,193
Sewer Fund	6,887,206	6,653,263
Total depreciation expense	\$ 11,280,429	\$ 10,900,456

NOTE #5 – DEFERRED CAPACITY RESERVE FEES RECEIVABLE

In fiscal year ended June 30, 1997, the District implemented a deferred payment program for regional sewer capacity reserve fees as a means to attract new business to the area. The program was modified in subsequent years and is now designed for commercial and affordable housing with regional sewer capacity reserve fees owed between \$25,000 and \$100,000. Loans bear an interest rate of 5%. Customers make a 20% down payment of regional sewer capacity reserve fees owed and enter into an agreement with the District to pay the balance over a maximum of ten years. The capacity reserve fee revenue is recognized as it is received. The portions outstanding are recorded as deferred capacity reserve fees receivable, which amounted to \$862,995 at June 30, 2015, of which \$287,209 is the current portion.

DUBLIN SAN RAMON SERVICES DISTRICT

NOTES TO BASIC FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2015

NOTE #6 – JOINT POWERS AUTHORITIES

A. LAVWMA

The District is one of three participants in the Livermore Amador Valley Water Management Agency (LAVWMA), a joint powers authority formed in 1974, which constructed and operates an export pumping facility through which all wastewater in the area is discharged. The other two participants are the Cities of Livermore and Pleasanton, each also having a one-third representation in LAVWMA's Board of Directors, composed of two representatives from each participating agency. The LAVWMA's Board of Directors approves LAVWMA's annual budget, which is prepared by LAVWMA's general manager. The Agency charges its members for project costs in proportion to their rights to the Agency's capacity.

The District contracts with the City of Pleasanton ("City") to provide wastewater treatment. The District establishes user charges for these wastewater services. The City then establishes those same charges in its service area and remits the charges they collect to the District on a monthly basis. A portion of the user charge is for the services provided by LAVWMA. LAVWMA bills the District for both the District's and Pleasanton's share of these costs (which includes both operations and debt service). Financial statements for LAVWMA may be obtained from DSRSD, 7051 Dublin Boulevard, Dublin, California 94568.

During the year ended June 30, 2012, LAVWMA issued \$105,345,000 principal amount of 2011 Sewer Revenue Refunding Bonds on September 28, 2011. Proceeds of the issuance were used to refund and retire the Series A Sewer Revenue Bonds and to pay costs of issuance. Under the Amended And Restated Sewer Service Contract dated October 1, 2011, between LAVWMA and Members, the Members pledged and created, in favor of LAVWMA and the Trustee for the 2011 Bonds, a lien on the Net Revenues of their respective wastewater systems (the "Sewer Systems"), to pay to LAVWMA the amounts owed in order for LAVWMA to pay debt service on the 2011 Bonds. (See Note 7.)

Effective October 16, 2013, the LAVWMA Board entered into an agreement to retain John Archer, the Administrative Services Manager as LAVWMA's Treasurer.

Financial information for LAVWMA summarized below is as of and for the year ended June 30, 2015:

Total assets	\$ 141,061,806
Total liabilities	108,914,924
Total net position	32,146,882
Total operating revenue	11,198,378
Total operating expenses	60,060,594
Net gain	\$ 1,007,680

B. DERWA

The District is also a participant (along with East Bay Municipal Utility District) in the DSRSD/EBMUD Recycled Water Authority (DERWA), a joint powers authority formed in 1995 to plan, design, construct, own and operate various facilities which together will maximize the volume of recycled water deliveries while recovering its costs. Each member provides two representatives to the Board of Directors which approves the annual budget prepared by DERWA's Treasurer. The Authority began its operations on June 28, 1995.

DUBLIN SAN RAMON SERVICES DISTRICT

NOTES TO BASIC FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2015

NOTE #6 – JOINT POWERS AUTHORITIES (Continued)

DERWA constructed a water recycling system, including treatment, conveyance, pumping and storage facilities which became operational on February 1, 2006. Operation and maintenance expenses are allocated based on each member's actual usage. Capital costs, including debt service, are allocated based on each member's proportional share of capital assets.

Financial statements may be obtained from DERWA, P.O. Box 24055 Oakland, California 94623.

DERWA's outstanding loans totaled \$14,895,974. The District's share of the debt is 52.4% or \$7,656,531.

Financial information for DERWA summarized below is as of and for the year ended June 30, 2015:

Total assets	\$ 63,534,599
Total liabilities	15,349,210
Total net position	48,185,389
Total operating revenue	3,615,528
Total operating expenses	3,531,368
Net loss	\$ 319,296

NOTE #7 – LONG-TERM DEBT

	<u>Original Issue Amount</u>	<u>Balance June 30, 2014</u>	<u>Retirements</u>	<u>Balance June 30, 2015</u>	<u>Amount Due Within One Year</u>
2009 Refunding Note					
4.38%, due 9/1/2019	\$ 18,486,000	\$ 11,135,590	\$ 11,135,590		
2011 LAVWMA Obligations					
2%-5%, due 8/1/2031	40,975,094	38,055,942	1,355,530	\$ 36,700,412	\$ 1,423,597
2011 Water Revenue Refunding Bonds					
4%-6%, due 1/1/2041	35,620,000	35,360,000	270,000	35,090,000	280,000
Total Long-term Debt		<u>\$ 84,551,532</u>	<u>\$ 12,761,120</u>	<u>\$ 71,790,412</u>	<u>\$ 1,703,597</u>

2009 Refunding Note

The District entered into a Refunding Note with Bank of America, N.A., on September 1, 2009, for the purpose of refinancing and retiring the District's 2000 Series COPs/Bank Certificates. The original amount of the Note totaled \$18,486,000 with a stated interest rate of 4.38% per annum. The Note was paid off in full in the current fiscal year.

The District's contribution toward debt service due on debt issued by LAVWMA (see Note 6) is payable from draws from a Rate Stabilization Fund (Regional Expansion Fund). At the end of each fiscal year, if the balance in the District's Regional Expansion Fund is in excess of two times maximum annual debt service on the District's contribution toward LAVWMA's debt, then the total amount paid from that fund towards debt service is considered a draw from reserves. Debt service not covered from the draw is included in Rate Covenant Debt Service subject to a coverage requirement of 1.1 times debt service. This coverage calculation is shown below of 202

DUBLIN SAN RAMON SERVICES DISTRICT

NOTES TO BASIC FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2015

NOTE #7 – LONG-TERM DEBT (Continued)

Rate Stabilization Fund/Regional Expansion Fund

Maximum Annual Debt Payments payable from the Rate Stabilization Fund:

2011 LAVWMA Bonds	\$ 4,332,552
	<u>\$ 4,332,552</u>
Target Level of Rate Stabilization Fund (twice the 2011 LAVWMA bonds)	<u>\$ 8,665,104</u>
Working Capital at June 30, 2015	<u>\$ 35,270,933</u>
Actual Debt Paid from the Rate Stabilization Fund:	
LAVWMA 2011 Bonds	\$ 4,310,488
	<u>\$ 4,310,488</u>

If the Working Capital balance exceeds the Target Level, all debt paid from the Rate Stabilization Fund is considered a draw and is excluded from the coverage requirement shown below.

Coverage Calculation (Total Sewer):

Sewer Operating Revenues	\$ 24,333,253
Sewer Non-Operating Revenues	593,150
Capacity Reserve Fees	22,482,196
Less Regional Expansion Capacity Reserve Fees	<u>(18,504,828)</u>
Total Available Revenues	<u>28,903,771</u>
Sewer Operating Expenses	28,754,068
Less Regional Expansion Costs for:	
Debt Payments to LAVWMA	(4,310,488)
Other Operating Costs	(3,606,865)
Less Depreciation	<u>(6,887,206)</u>
Operations & Maintenance Costs	<u>13,949,509</u>
Net Available Revenue	<u>\$ 14,954,262</u>
Rate Covenant Debt Service:	
LAVWMA 2011 Bonds (repair portion)	\$ 1,463,340
	<u>\$ 1,463,340</u>
Coverage on Rate Covenant Debt Service	<u>10.2</u>

DUBLIN SAN RAMON SERVICES DISTRICT

NOTES TO BASIC FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2015

NOTE #7 – LONG-TERM DEBT (Continued)

A. 2011 Water Revenue Refunding Bonds

The District issued \$35,620,000 of 2011 Water Revenue Refunding Bonds on January 6, 2011. Proceeds of the issuance were used to fund a contribution to DERWA which it used to retire its commercial paper obligations (Note 6), to refund and retire the Water-Reuse Finance Authority Obligation, and to pay costs of issuance. Interest rates range from 4% to 6%. Principal payments are due annually beginning August 1, 2013 through August 1, 2041.

The issuance is payable from a pledge of fees, charges and other amounts received from the available Net Revenue of the water enterprise. The pledge of future Water Fund Revenues ends upon repayment of the \$74,479,602 in remaining debt service on the bonds that is scheduled to occur in 2041. Pursuant to the official statement, the District is required to set rates to achieve coverage of 1.2 times debt service. The computation of the Net Revenue and coverage amount is presented below.

WATER REVENUES:	
Water Sales	\$ 23,186,190
Other Revenues (1)	5,857,187
Interest Income	364,892
Capacity Reserve Fees	7,423,057
Less Assessment District (2)	<u>(1,549,187)</u>
TOTAL WATER REVENUES	<u><u>35,282,139</u></u>
WATER OPERATION & MAINTENANCE COSTS:	
Operating Expense	27,486,425
Less DERWA Debt (3)	(843,820)
Less Depreciation	(4,393,223)
Less Assessment District (2)	<u>(1,736,324)</u>
TOTAL WATER OPERATION & MAINTENANCE COSTS	<u><u>20,511,084</u></u>
NET WATER REVENUES	<u><u>\$ 14,771,055</u></u>
DEBT SERVICE:	
DERWA Debt	\$ 843,820
2011 Water Bonds	<u>2,213,869</u>
TOTAL DEBT SERVICE	<u><u>\$ 3,057,689</u></u>
DEBT SERVICE COVERAGE	<u><u>4.83</u></u>

(1) Includes Tax Revenues

(2) Assessments levied in the Dougherty Valley Standby Assessment District can be used only to pay for costs related to the Assessment District and are not available to pay debt service on the Bonds.

(3) The DERWA Payments are payable as Operation and Maintenance Costs prior to payment of debt service on the Bonds pursuant to the Indenture and the Recycled Water Sales Agreement. For purposes of the rate covenant established by the Indenture, the DERWA Payments will be excluded from Operation and Maintenance Costs, but included as Debt Service on Parity Debt.

DUBLIN SAN RAMON SERVICES DISTRICT

NOTES TO BASIC FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2015

NOTE #7 – LONG-TERM DEBT (Continued)

B. Segment Reporting

The Regional Sewer Expansion Fund and Water Fund represent segments reported within the Sewer Fund and Water Fund, respectively, which had debt outstanding, with the revenue pledge discussed above. The Regional Sewer Expansion Fund's and Water Fund's revenues, expenses, assets, and liabilities are required to be accounted for separately. Condensed financial information for each segment is presented below:

	Regional Sewer Expansion	Water
Condensed Statement of Net Position		
Assets:		
Current	\$ 36,877,993	\$ 56,998,505
Capital	29,664,641	147,918,299
Other non current	541,152	
Total assets	<u>67,083,786</u>	<u>204,916,804</u>
Deferred outflows of resources	<u>35,684</u>	<u>2,331,062</u>
Liabilities:		
Current liabilities	1,607,060	6,950,291
Long term liabilities	29,426,073	45,880,884
Total liabilities	<u>31,033,133</u>	<u>52,831,175</u>
Deferred inflows of resources	<u>19,567</u>	<u>2,155,341</u>
Net position:		
Net investment in capital assets	(327,731)	112,828,299
Restricted	36,394,501	10,269,124
Unrestricted		29,163,927
Total net position	<u>\$ 36,066,770</u>	<u>\$ 152,261,350</u>
Condensed Statement of Revenues, Expenses and Changes in Net Position		
Operating revenue	\$ 43,095	\$ 26,867,327
Operating expenses	(5,281,107)	(25,962,913)
Operating loss (gain)	<u>(5,238,012)</u>	<u>904,414</u>
Nonoperating revenues (expenses):		
Interest income	262,929	357,348
Interest expense	(421,896)	(1,940,619)
Non-cash contributions		3,180,146
Capital contributions - capacity reserve fees	18,504,828	7,423,057
Transfers in		9,445,427
Transfers out	(3,601,505)	(6,948,537)
Change in net position	<u>9,506,344</u>	<u>12,421,236</u>
Beginning net position; restated	<u>26,560,426</u>	<u>139,840,114</u>
Ending net position	<u>\$ 36,066,770</u>	<u>\$ 152,261,350</u>
Condensed Statement of Cash Flows		
Net cash provided (used) by:		
Operating activities	\$ (7,950,284)	\$ 2,206,341
Noncapital financing activities	(2,543,214)	2,237,932
Capital financing activities	7,479,110	6,459,531
Investing activities	(466,067)	(14,344,598)
Net cash flows	<u>(3,480,455)</u>	<u>(3,440,794)</u>
Beginning cash and cash equivalents	<u>4,826,814</u>	<u>5,581,769</u>
Ending cash and cash equivalents	<u>\$ 1,346,359</u>	<u>\$ 2,140,975</u>

DUBLIN SAN RAMON SERVICES DISTRICT

NOTES TO BASIC FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2015

NOTE #7 – LONG-TERM DEBT (Continued)

C. LAVWMA Pledge Obligation

As discussed in Note 6, the District is a member of LAVWMA and during the year ended June 30, 2012, LAVWMA issued \$105,345,000 of 2011 Sewer Revenue Refunding Bonds (2011 LAVWMA Bonds) on September 28, 2011. Proceeds of the issuance were used to refund and retire the Series A Sewer Revenue Bonds and to pay costs of issuance. Principal payments are due annually beginning August 1, 2012 through August 1, 2031.

Debt service on the 2011 LAVWMA Bonds is payable from Agency Net Revenues which are defined as Gross Revenues less Maintenance and Operations costs and excluding in all cases depreciation, replacement and obsolescence charges or reserves thereon, debt service, amortization of intangibles.

Member Liens for Repayment of 2011 Bonds: Under an amended and restated Amended And Restated Sewer Service Contract dated October 1, 2011, between the Agency and Members, the Members pledged and created, in favor of LAVWMA and the Trustee for the 2011 LAVWMA Bonds, a lien on the Net Revenues of their respective wastewater systems (the “Sewer Systems”), to pay to LAVWMA the amounts owed in order for LAVWMA to pay debt service on the 2011 LAVWMA Bonds. There are three limitations with respect to this pledge of Net Revenues. First, this lien is subordinate to the Members’ existing obligations payable from their Net Revenues, as well as obligations payable from their Net Revenues to be issued in the future by the Members to finance or refinance improvements to their respective Sewer System. Second, for the District and Pleasanton, “Net Revenues” are not defined in the Sewer Service Contract to include all of the fees, rates and charges collected by the district and Pleasanton in connection with their Sewer System; the District and Pleasanton have only pledged regional service charges as security for their obligation to make the Payments. Third, Pleasanton, in its capacity as the largest customer of the District’s Sewer System, is only obligated to levy regional charges and fees established by the District and to transfer the amount collected to the District.

As a result of the District’s pledge of its regional service charges, the District is considered to be obligated in some manner for its portion of the 2011 LAVWMA Bonds and accordingly has recorded its share of those bonds as the LAVWMA Pledge Obligation. Concurrently, the District has also recorded its transmission rights provided to it under the terms of the Amended and Restated Sewer Service Contract (See Note 4).

Pursuant to the official statement, each member agency is required to set rates to achieve coverage of 1.1 times debt service.

DUBLIN SAN RAMON SERVICES DISTRICT

**NOTES TO BASIC FINANCIAL STATEMENTS
FOR THE YEAR ENDED JUNE 30, 2015**

NOTE #7 – LONG-TERM DEBT (Continued)

D. Repayment Schedule

Shown below are maturities for the District’s debt issues:

For the Year Ending June 30	Principal	Interest	Total
2016	\$ 1,703,597	\$ 3,621,631	\$ 5,325,228
2017	1,787,499	3,537,204	5,324,703
2018	1,880,291	3,448,482	5,328,773
2019	1,970,030	3,355,325	5,325,355
2020-2024	11,436,507	15,195,555	26,632,062
2025-2029	16,751,417	12,029,758	28,781,175
2030-2034	17,126,071	7,375,813	24,501,884
2034-2039	10,920,000	4,126,676	15,046,676
2040-2042	8,215,000	758,550	8,973,550
Total payments due	\$ 71,790,412	\$ 53,448,994	\$ 125,239,406

NOTE #8 – INTERFUND TRANSACTIONS

On November 3, 1992, the District and the City of Pleasanton (the Parties) entered into an agreement for wastewater services (original agreement). On June 2, 2015, the Parties entered into a supplemental agreement to the original agreement. As part of the supplemental agreement, the District agreed to transfer \$2,311,713 from the regional sewer expansion fund to the water expansion fund. The purpose of the transfer was to compensate the water expansion funds for facilities required to dispose of wastewater effluent and to resolve any and all outstanding issues and claims related to the District’s Clean Water Revival project.

As of June 30, 2015 interfund transfers in (out) are as follows:

Transfers Out	Transfers In	
	Water Fund	Total
Major Funds		
Sewer Fund	\$ 2,496,890	\$ 2,496,890
Total	\$ 2,496,890	\$ 2,496,890

DUBLIN SAN RAMON SERVICES DISTRICT

**NOTES TO BASIC FINANCIAL STATEMENTS
FOR THE YEAR ENDED JUNE 30, 2015**

NOTE #8 – INTERFUND TRANSACTIONS (continued)

Prior to June 2005, the District allocated a fixed portion of capacity reserve fees collected toward general administrative costs. In June 2005, the District adopted the practice of transferring a certain percentage of budgeted capacity reserve fees toward general administrative costs as part of its plan to phase out this practice over six years while minimizing the impacts to its rates and fees. Transferring the budgeted rather than actual amounts resulted in some funds paying too much and others paying too little toward administrative costs. The District has recorded an interfund payable/receivable between the various funds to repay the amounts noted above over next the year.

As of June 30, 2015 due from (to) other funds are as follows:

<u>Due To Other Funds</u>	<u>Due From Other Funds</u>
	Sewer Fund
Water Fund	\$ 217,785
Total	<u>\$ 217,785</u>

NOTE #9 – UNEARNED REVENUE AND OTHER LIABILITIES

Unearned revenue and other liabilities for the year ended June 30, 2015 totaled \$7,346,348.

As of June 30, 2015, the Sewer Fund recorded \$396,906 from developers for future capacity reserve fee credits. When utilized in the future, 100% of the cost of the current capacity reserve fee will be paid for with the credit and the revenue will be recorded at that time.

Sewer Fund deferred revenue for the Deferred Capacity Reserve Fee Program was also recorded in the amount of \$862,514 (see Note 5). The current portion of this balance at June 30, 2015 is \$287,209.

As of June 30, 2015, the Water Fund recorded \$6,086,447 from developers for future capacity reserve fee credits. When utilized in the future, 50% of the cost of the current capacity reserve fee will be paid for with the credit and the revenue will be recorded at that time.

NOTE #10 – ACCRUED COMPENSATED ABSENCES

As of June 30, 2015 accrued compensated absences are as follows:

	<u>Business Type Activities</u>		<u>Total</u>
	<u>Sewer</u>	<u>Water</u>	
Summary of Activity:			
Beginning Balance	\$ 790,244	\$ 453,404	\$ 1,243,648
Additions	875,970	514,001	1,389,971
Payments	<u>888,368</u>	<u>539,042</u>	<u>1,427,410</u>
Ending Balance - Due within one year	<u>\$ 777,846</u>	<u>\$ 428,363</u>	<u>\$ 1,206,209</u>

DUBLIN SAN RAMON SERVICES DISTRICT

NOTES TO BASIC FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2015

NOTE #11 – PENSION PLAN

A. Plan Description

All qualified permanent and probationary employees are eligible to participate in the District's Miscellaneous Employee Pension Plan, cost-sharing multiple employer defined benefit pension plan (the Plan) administered by the California Public Employees' Retirement System (CalPERS). Benefit provisions under the Plan are established by State statute and District's resolution. CalPERS issues publicly available reports that include a full description of the pension plan regarding benefit provisions, assumptions and membership information that can be found on the CalPERS website.

B. Benefits Provided

Active plan members in the Miscellaneous Plan (Tier 1 for members hired before January 1, 2013 and Tier 2 for members hired on or after January 1, 2013) are required to contribute 8% and 6.25%, respectively, of their annual covered salary. The District is required to contribute the actuarially determined remaining amounts necessary to fund the benefits for its members. The actuarial methods and assumptions used are those adopted by the CalPERS Board of Administration. The contribution requirements of the plan members are established by State statute and the employer contribution rate is established and may be amended by CalPERS.

For Tier 1 members, on November 16, 2004, the Board of Directors approved a resolution authorizing an amendment to the contract between CalPERS and the Dublin San Ramon Services District. Prior to the amendment, the Retirement Plan formula was 2.0% at 55. The new formula of 2.7% at 55 formula provides local miscellaneous members 2.7% of pay at age 55 for each year of service credited with the employer. If retirement is earlier than 55, the percentage of final compensation decreases for each quarter of age to 2% at age 50. Former District employees' service credit will not be affected by this change and the change became effective in November 2004.

The District has agreed to cost sharing with employees to implement the new retirement formula. The increased cost on an annual basis to implement the program is approximately 7% and is spread over the next 10 years, which is the period over which CalPERS allows an agency to satisfy the increased liability. The cost sharing was negotiated with all employee bargaining groups and continues the employer-employee partnership of jointly funding retirement benefits.

For Tier 2 members, the formula is 2% at age 62, based on the member's final three years' compensation. The District's contribution rate is 6.25% of compensation, which is effective until June 30, 2015.

The Plan's provisions and benefits in effect at June 30, 2015, are summarized as follows:

	<u>Miscellaneous</u>	
	<u>Prior to</u>	<u>On or after</u>
Hire date	January 1, 2013	January 1, 2014
Benefit formula	2.7% @ 55	2% @ 62
Benefit vesting schedule	5 years service	5 years service
Benefit payments	monthly for life	monthly for life
Retirement age	50 - 55	52-62
Monthly benefits , as a % of eligible compensation	2.0% to 2.7%	1.0% to 2.5%
Required employee contribution rates	10%	6.25%
Required employer contribution rates	14.69%	6.25%

DUBLIN SAN RAMON SERVICES DISTRICT

**NOTES TO BASIC FINANCIAL STATEMENTS
FOR THE YEAR ENDED JUNE 30, 2015**

NOTE #11 – PENSION PLAN (Continued)

Contributions – Section 20814(c) of the California Public Employees’ Retirement Law requires that the employer contribution rates for all public employers be determined on an annual basis by the actuary and shall be effective on the July 1 following notice of a change in the rate. Funding contributions for the Plan is determined annually on an actuarial basis as of June 30 by CalPERS. The actuarially determined rate is the estimated amount necessary to finance the costs of benefits earned by employees during the year, with an additional amount to finance any unfunded accrued liability. The District is required to contribute the difference between the actuarially determined rate and the contribution rate of employees.

For the year ended June 30, 2015, the contributions recognized against net pension liability for the Plan were as follows:

Contributions - employer	<u>Miscellaneous</u> \$ 1,559,584
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C. Pension Liabilities, Pension Expenses and Deferred Outflows/Inflows of Resources Related to Pensions

As of June 30, 2015, the District reported net pension liabilities for its proportionate shares of the net pension liability of the Plan as follows:

Miscellaneous	<u>Proportionate Share of</u> <u>Net Pension Liability</u> \$ 13,720,257
Total Net Pension Liability	<u>\$ 13,720,257</u>

The District’s net pension liability for the Plan is measured as the proportionate share of the net pension liability of the CalPERS pooled plans. The net pension liability of the Plan is measured as of June 30, 2014, and the total pension liability for the Plan used to calculate the net pension liability was determined by an actuarial valuation as of June 30, 2013 rolled forward to June 30, 2014 using standard update procedures. The District’s proportion of the net pension liability was based on the District’s plan liability and asset-related information where available, and proportional allocations of individual plan amounts as of the valuation date where not available.

The District’s proportionate share of the net pension liability for each Plan as of June 30, 2013 and 2014 was as follows:

Proportion - June 30, 2013	<u>Miscellaneous</u> 0.572%
Proportion - June 30, 2014	0.556%
Change - Increase (Decrease)	-0.016%

DUBLIN SAN RAMON SERVICES DISTRICT

NOTES TO BASIC FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2015

NOTE #11 – PENSION PLAN (Continued)

For the year ended June 30, 2015, the District recognized pension expense of \$1,093,110. At June 30, 2015, the District reported deferred outflows of resources and deferred inflows of resources related to pensions from the following sources:

	Deferred Outflows of Resources	Deferred Inflows of Resources
Pension contributions subsequent to measurement date	\$ 6,716,224	
Changes in employer's proportion and differences between the employer's contributions and the employer's proportionate share of contributions	240,873	\$ (188,304)
Net differences between projected and actual earnings on plan investments		(4,610,642)
Total	<u>\$ 6,957,097</u>	<u>\$ (4,798,946)</u>

\$6,716,224 reported as deferred outflows of resources related to contributions subsequent to the measurement date will be recognized as a reduction of the net pension liability in the year ending June 30, 2016.

Other amounts reported as deferred outflows of resources and deferred inflows of resources related to pensions will be recognized as pension expense as follows:

Measurement Period Ended June 30:	Deferred Outflows (Inflows) of Resources
2016	\$ (1,133,886)
2017	(1,133,886)
2018	(1,137,642)
2019	(1,152,659)

DUBLIN SAN RAMON SERVICES DISTRICT

**NOTES TO BASIC FINANCIAL STATEMENTS
FOR THE YEAR ENDED JUNE 30, 2015**

NOTE #11 – PENSION PLAN (Continued)

Actuarial Assumptions – The total pension liabilities in the June 30, 2013 actuarial valuations were determined using the following actuarial assumptions:

	Miscellaneous
Valuation Date	June 30, 2013
Measurement Date	June 30, 2014
Actuarial Cost Method	Entry-Age Normal Cost Method
Actuarial Assumptions:	
Discount Rate	7.50%
Inflation	2.75%
Projected Salary Increase	Varies by entry age and service
Investment Rate of Return	7.5% (1)
Mortality	Derived by CalPERS membership data for all funds

(1) Net of pension plan investment expenses, including inflation

The underlying mortality assumptions and all other actuarial assumptions used in the June 30, 2013 valuation were based on the results of a January 2014 actuarial experience study for the period 1997 to 2011. Further details of the Experience Study can found on the CalPERS website.

Discount Rate – The discount rate used to measure the total pension liability was 7.50% for the Plan. To determine whether the municipal bond rate should be used in the calculation of a discount rate for the Plan, CalPERS stress tested plans that would most likely result in a discount rate that would be different from the actuarially assumed discount rate. Based on the testing, none of the tested plans run out of assets. Therefore, the current 7.50 percent discount rate is adequate and the use of the municipal bond rate calculation is not necessary. The long term expected discount rate of 7.50 percent will be applied to all plans in the Public Employees Retirement Fund (PERF). The stress test results are presented in a detailed report that can be obtained from the CalPERS website.

According to Paragraph 30 of Statement 68, the long-term discount rate should be determined without reduction for pension plan administrative expense. The 7.50 percent investment return assumption used in this accounting valuation is net of administrative expenses. Administrative expenses are assumed to be 15 basis points. An investment return excluding administrative expenses would have been 7.65 percent. Using this lower discount rate has resulted in a slightly higher Total Pension Liability and Net Pension Liability. CalPERS checked the materiality threshold for the difference in calculation and did not find it to be a material difference.

CalPERS is scheduled to review all actuarial assumptions as part of its regular Asset Liability Management (ALM) review cycle that is scheduled to be completed in February 2018. Any changes to the discount rate will require Board action and proper stakeholder outreach. For these reasons, CalPERS expects to continue using a discount rate net of administrative expenses for GASB 67 and 68 calculations through at least the 2017-18 fiscal year. CalPERS will continue to check the materiality of the difference in calculation until such time as we have changed our methodology.

DUBLIN SAN RAMON SERVICES DISTRICT

NOTES TO BASIC FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2015

NOTE #11 – PENSION PLAN (Continued)

The long-term expected rate of return on pension plan investments was determined using a building-block method in which best-estimate ranges of expected future real rates of return (expected returns, net of pension plan investment expense and inflation) are developed for each major asset class.

In determining the long-term expected rate of return, CalPERS took into account both short-term and long-term market return expectations as well as the expected pension fund cash flows. Using historical returns of all the funds' asset classes, expected compound returns were calculated over the short-term (first 10 years) and the long-term (11-60 years) using a building-block approach. Using the expected nominal returns for both short-term and long-term, the present value of benefits was calculated for each fund. The expected rate of return was set by calculating the single equivalent expected return that arrived at the same present value of benefits for cash flows as the one calculated using both short-term and long-term returns. The expected rate of return was then set equivalent to the single equivalent rate calculated above and rounded down to the nearest one quarter of one percent.

The table below reflects the long-term expected real rate of return by asset class. The rate of return was calculated using the capital market assumptions applied to determine the discount rate and asset allocation. These rates of return are net of administrative expenses.

Asset Class	New Strategic Allocation	Real Return Years 1 - 10(a)	Real Return Years 11+(b)
Global Equity	47.00%	5.25%	5.71%
Global Fixed Income	19.00%	0.99%	2.43%
Inflation Sensitive	6.00%	0.45%	3.36%
Private Equity	12.00%	6.83%	6.95%
Real Estate	11.00%	4.50%	5.13%
Infrastructure and Forestland	3.00%	4.50%	5.09%
Liquidity	2.00%	-0.55%	-1.05%
Total	100%		

(a) An expected inflation of 2.5% used for this period.

(b) An expected inflation of 3.0% used for this period.

DUBLIN SAN RAMON SERVICES DISTRICT

**NOTES TO BASIC FINANCIAL STATEMENTS
FOR THE YEAR ENDED JUNE 30, 2015**

NOTE #11 – PENSION PLAN (Continued)

Sensitivity of the Proportionate Share of the Net Pension Liability to Changes in the Discount Rate – The following presents the District’s proportionate share of the net pension liability for the Plan, calculated using the discount rate for the Plan, as well as what the District’s proportionate share of the net pension liability would be if it were calculated using a discount rate that is 1-percentage point lower or 1-percentage point higher than the current rate:

	<u>Miscellaneous</u>
1% Decrease	6.50%
Net Pension Liability	\$ 24,445,255
Current Discount Rate	7.50%
Net Pension Liability	\$ 13,720,257
1% Increase	8.50%
Net Pension Liability	\$ 4,819,527

Pension Plan Fiduciary Net Position – Detailed information about each pension plan’s fiduciary net position is available in the separately issued CalPERS financial reports.

E. Payable to the Pension Plan

At June 30, 2015, the District reported a payable of \$0 for the outstanding amount of contributions to the pension plan required for the year ended June 30, 2015.

NOTE #12 – POST EMPLOYMENT HEALTH CARE BENEFITS

The District provides medical and dental benefits for employees that retire from the District and their families under third-party insurance plans. While the District participates in the CalPERS medical plan, it is required to pay the same amounts for retiree medical insurance as it does for active employees. The Board sets the benefit amounts by resolution each year for each bargaining group and in accordance with current employee contracts.

Prior to 2004, the District paid these benefits regardless of the employee’s length of service. Currently, all new employees are automatically enrolled in a medical vesting program where they are eligible for benefits based upon amounts set by CalPERS and length of service. Employees under the vesting program are not eligible to receive any medical benefits without accumulating at least ten years of CalPERS service with at least five of those years as a DSRSD employee.

As of June 30, 2015, 69 retirees are receiving medical benefits, 74 are receiving dental benefits, and there are 105 active participants eligible for future benefits.

DUBLIN SAN RAMON SERVICES DISTRICT

NOTES TO BASIC FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2015

NOTE #12 – POST EMPLOYMENT HEALTH CARE BENEFITS (Continued)

Funding Policy and Actuarial Assumptions

The annual required contribution (ARC) was determined as part of a July 2013 actuarial valuation using the entry age normal actuarial cost method. This is a projected benefit cost method, which takes into account those benefits that are expected to be earned in the future as well as those already accrued. The actuarial assumptions included (a) 7.61% investment rate of return, (b) 3.25% projected annual salary increase, (c) 3.00% inflation rate, and (d) 4.64% - 8.50% health inflation increases. The actuarial methods and assumptions used include techniques that smooth the effects of short-term volatility in actuarial accrued liabilities and the actuarial value of assets. Actuarial calculations reflect a long-term perspective and actuarial valuations involve estimates of the value of reported amounts and assumptions about the probability of events far into the future. Actuarially determined amounts are subject to revision at least biannually as results are compared to past expectations and new estimates are made about the future. The District's OPEB unfunded actuarial accrued liability is being amortized as a level percentage of projected payroll using a 30 year closed amortization period.

In accordance with the District's budget, the annual required contribution (ARC) is to be funded throughout the year as a percentage of payroll. The District Board passed a resolution to participate in the California Employers Retirees Benefit Trust (CERBT), an irrevocable trust established to fund OPEB. CERBT is administered by CalPERS, and is managed by an appointed board not under the control of the District Board. This Trust is not considered a component unit by the District and has been excluded from these financial statements. Separately issued financial statements for CERBT may be obtained from CalPERS at P.O. Box 942709, Sacramento, CA 94229-2709.

Funding Progress and Funded Status

Generally accepted accounting principles permit contributions to be treated as OPEB assets and deducted from the Actuarial Accrued Liability when such contributions are placed in an irrevocable trust or equivalent arrangement. As a result, the District has recorded the Net OPEB Asset, representing the difference between the ARC, the amortization of the Net OPEB Asset and actual contributions, as presented below:

Net OPEB Asset at June 30, 2014	<u>\$ 12,134,452</u>
Annual required contribution (ARC)	742,560
Interest on net OPEB asset	(923,432)
Adjustment to annual required contribution	<u>862,007</u>
Annual OPEB cost	<u>681,135</u>
Contributions made:	
Retiree premiums paid by the District	672,609
Contributions to CERBT	<u>69,449</u>
Total contributions	<u>742,058</u>
Change in net OPEB Asset	<u>60,923</u>
Net OPEB Asset at June 30, 2015	<u><u>\$ 12,195,375</u></u>

DUBLIN SAN RAMON SERVICES DISTRICT

**NOTES TO BASIC FINANCIAL STATEMENTS
FOR THE YEAR ENDED JUNE 30, 2015**

NOTE #12 – POST EMPLOYMENT HEALTH CARE BENEFITS (Continued)

The Plan’s annual OPEB cost and actual contributions for the prior three fiscal years are set forth below:

Fiscal Year Ended	Annual OPEB Cost	Actual Contribution	Percentage of Annual OPEB Cost Contributed	Net OPEB Asset
6/30/2013	\$ 865,137	\$ 2,766,619	320%	\$ 12,052,156
6/30/2014	636,890	719,186	113	12,134,452
6/30/2015	681,135	742,058	109	12,195,375

The Schedule of Funding Progress presents multi-year trend information about whether the actuarial value of plan assets is increasing or decreasing over time relative to the actuarial accrued liability for benefits. Trend data from the actuarial studies is presented below:

Actuarial Valuation Date	Actuarial Value of Assets	Entry Age Actuarial Accrued Liability	Unfunded Actuarial Accrued Liability (Asset)	Funded Ratio	Covered Payroll	Unfunded Actuarial Liability as Percentage of Covered Payroll
7/1/2009	\$ 7,247,589	\$ 12,177,427	\$ 4,929,838	59.52%	\$ 10,995,921	45%
7/1/2011	14,661,042	17,793,420	3,132,378	82.40	11,146,385	28
7/1/2013	17,609,101	17,356,805	(252,296)	101.45	11,865,168	0

As of June 30, 2015, the fund balance in the California Employer’s Retiree Benefit Trust Program (CERBT) was \$20,917,103.

NOTE #13 - RISK MANAGEMENT

The District is exposed to various risks of loss related to torts: theft, damage, and destruction of assets; errors and omissions; injuries to employees and natural disaster. The District joined together with other entities to form the California Sanitation Risk Management Authority (CSRMA), a public entity risk pool currently operating as a common risk management and insurance program for 54 member entities. The purpose of CSRMA is to spread the adverse effects of losses among the member entities and to purchase excess insurance as a group, thereby reducing its cost. The District pays annual premiums to CSRMA for its general, liability, and property damage and workers compensation insurance.

CSRMA is governed by a Board comprised of one representative from each member agency. The Board controls the operations of CSRMA including selection of management and approval of operating budgets, independent of any influence by member entities.

In addition to the primary insurance types provided for through CSRMA listed above, the District also maintains commercial fidelity bonds, public employee dishonesty and public official bonds, to protect against employee theft or defalcation. Settled claims for CSRMA or commercial fidelity bonds have not exceeded coverage in any of the past three fiscal years.

DUBLIN SAN RAMON SERVICES DISTRICT

NOTES TO BASIC FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2015

NOTE #13 - RISK MANAGEMENT (Continued)

The following is a summary of the insurance policies carried by the District as of June 30, 2015:

Company Name	Insurance Program Type of Coverage	Limits	Deductibles
Ironshore Specialty Insurance Co.	Excess liability	\$10,000,000	None
Public Entity Property Insurance Program	Special form property	147,180,766	\$25,000
Illinois Union Insurance Company	Public entity pollution liability	25,000,000	None
Lloyd's of London	Cyber liability coverage	2,000,000	None
Travelers Property and Casualty	Public official bond	100,000	None
National Union Fire Insurance Co.	Employee theft and fraud	2,000,000	2,500
Travelers Property and Casualty	Identity theft	25,000	0
Safety National Casualty Corporation	Excess workers' compensation	Statutory	None
<u>Pooled Insurance Program</u>			
CSRMA Pooled	Bodily injury and property damage- auto, errors and omissions and employment practices	15,500,000	100,000
CSRMA Pooled Workers' Compensation	Workers' compensation	750,000	None

Prior to July 1, 1994, the District was self-insured for workers' compensation and will continue to be responsible for any claims existing as of that date.

Claims and judgments, including provision for claims incurred but not reported, are recorded when a loss is deemed probable of assertion and the amount of the loss is reasonably determinable. As discussed above, the District has coverage for such claims, but it had retained the risk for the deductible or uninsured portion of these claims.

DUBLIN SAN RAMON SERVICES DISTRICT

**NOTES TO BASIC FINANCIAL STATEMENTS
FOR THE YEAR ENDED JUNE 30, 2015**

NOTE #13 - RISK MANAGEMENT (Continued)

The District’s liability for uninsured claims is limited to workers’ compensation and general liability claims, as discussed above, and was estimated by a third party claims administrator based on prior years claims experience as follows:

	2015	2014
Balance at July 1	\$ 253,384	\$ 529,190
Net change in liability for claims and claims incurred but not reported	82,931	395,203
Claims paid	<u>(279,702)</u>	<u>(671,009)</u>
Balance at June 30	<u>\$ 56,613</u>	<u>\$ 253,384</u>

The District has not exceeded its insurance coverage limits in any of the last three years. The District liability is included in accrued expenses on the financial statements.

NOTE #14 – COMMITMENTS AND CONTINGENT LIABILITIES

The District purchases water from the Alameda County Flood Control and Water Conservation District (Zone 7) under a thirty-year contract, which expires August 23, 2024. Under the terms of the contract, subject to various exceptions, the District is required to purchase all of its water from Zone 7. During fiscal year ended June 30, 2015, the District’s water purchases from Zone 7 amounted to \$7,642,677.

The District is a defendant in a number of lawsuits, which have arisen in the normal course of business. In the opinion of the District, these actions when finally adjudicated will not have a material adverse effect on the financial position of the District.

The District operates a Dedicated Land Disposal site upon which the District processes bio solids produced by the District’s wastewater treatment plant. On August 8, 2007, the San Francisco Bay Regional Water Quality Control Board issued Waste Discharge Requirements, which require the District to perform corrective actions for known and reasonably foreseeable releases from the Dedicated Land Disposal site. At this time, the Regional Board and the District expect that the most likely corrective action, if any is needed, would be related to the potential impact to groundwater quality and resulting closure and post-closure activities. The District prepared an analysis in December 2007 to determine the estimated costs of these corrective actions which comprise drilling two extraction wells and constructing a conveyance pipeline for discharge of potentially impacted ground water into the District’s collection system. The Study also included estimated costs of operation, maintenance and monitoring of the above facilities for a ten year period after closure of the site which is expected to occur within thirty to fifty years. Actual closure and post-closure care costs may be higher, lower, or even not required due to inflation variances, changes in technology, or changes in State or Federal regulations.

The present value of these closure and post closure costs, discounted at 5 percent amounted to \$706,000 as of June 30, 2015. The District is required by State and federal laws and regulations to make annual funding contributions to finance closure and post-closure care. The District is in compliance with these requirements for the year ended June 30, 2015 with the establishment of the fully-funded liability for this purpose.

DUBLIN SAN RAMON SERVICES DISTRICT

NOTES TO BASIC FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2015

NOTE #15 – PRIOR PERIOD ADJUSTMENT

The District recorded prior period adjustments as part of implementing GASB Statement 68. The adjustments were to record employer contributions paid for pension during fiscal year ended June 30, 2014 as a deferred outflow of resources and to record the District's beginning balance of the net pension liability as June 30, 2014.

	<u>Net Position, as Previously Reported</u>	<u>Prior Period Adjustment Net Position</u>	<u>Net Position, as Restated</u>
Sewer Fund	\$ 164,491,804	\$ (10,943,267)	\$ 153,548,537
Water Fund	<u>128,468,999</u>	<u>(6,243,952)</u>	<u>122,225,047</u>
Total	<u>\$ 292,960,803</u>	<u>\$ (17,187,219)</u>	<u>\$ 275,773,584</u>

The effect on prior year's Statement of Net Position was an overstatement of Net Position of \$17,187,219.

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DUBLIN SAN RAMON SERVICES DISTRICT

**REQUIRED SUPPLEMENTARY INFORMATION
FOR THE YEAR ENDED JUNE 30, 2015**

REQUIRED SUPPLEMENTAL INFORMATION

DUBLIN SAN RAMON SERVICES DISTRICT

REQUIRED SUPPLEMENTARY INFORMATION FOR THE YEAR ENDED JUNE 30, 2015

SCHEDULE OF THE DISTRICT'S PROPORTIONATE SHARE OF THE NET PENSION LIABILITY

	<u>2015</u>
Proportion of the net pension liability	0.22%
Proportionate share of the net pension liability	\$ 13,720,257
Covered - employee payroll	\$ 11,779,808
Proportionate Share of the net pension liability as percentage of covered-employee payroll	116.47%
Plan's fiduciary net position	\$ 11,391,929
Plan fiduciary net position as a percentage of the total pension liability	83.03%

Notes to Schedule:

Fiscal year 2015 was the 1 st year of implementation, therefore only one year is shown of the District's proportionate share of CalPer's Cost Sharing Defined Benefit Pension Plan

DUBLIN SAN RAMON SERVICES DISTRICT

REQUIRED SUPPLEMENTARY INFORMATION FOR THE YEAR ENDED JUNE 30, 2015

SCHEDULE OF CONTRIBUTIONS

	<u>2015</u>
Contractually required contribution (actuarially determined)	\$ 1,716,224
Contribution in relation to the actuarially determined contributions	(6,716,224)
Contribution deficiency (excess)	<u>\$ (5,000,000)</u>
Covered-employee payroll	\$ 11,779,808
Contributions as a percentage of covered-employee payroll	57.01%

Note to Schedule

Valuation date: 6/30/2013

Methods and assumptions used to determine contribution rates:

Single and Agent Employers Example	Entry age
Amortization method	Level percentage of payroll, closed
Remaining amortization period	15 years
Asset valuation method	5-year
Inflation	2.75%
Salary increases	Varies by entry age and service
Investment rate of return	7.50%, net of pension plan investment expense, including inflation
Retirement age	55 yrs.
Mortality	RP-2000 Healthy Annuitant Mortality Table

Fiscal year 2015 was the 1st year of implementation, therefore only one year is shown of the District's contributions and covered payroll for its cost sharing defined pension plan through CalPERS

DUBLIN SAN RAMON SERVICES DISTRICT

REQUIRED SUPPLEMENTARY INFORMATION FOR THE YEAR ENDED JUNE 30, 2015

POSTEMPLOYMENT HEALTHCARE BENEFITS SCHEDULE OF FUNDING PROGRESS

The Schedule of Funding Progress presents multi-year trend information about whether the actuarial value of plan assets is increasing or decreasing over time relative to the actuarial accrued liability for benefits. Trend data from the actuarial studies is presented below:

<u>Actuarial Valuation Date</u>	<u>Actuarial Value of Assets</u>	<u>Entry Age Actuarial Accrued Liability</u>	<u>Unfunded Actuarial Accrued Liability (Asset)</u>	<u>Funded Ratio</u>	<u>Covered Payroll</u>	<u>Unfunded Actuarial Liability as Percentage of Covered Payroll</u>
7/1/2009	\$ 7,247,589	\$ 12,177,427	\$ 4,929,838	59.52%	\$ 10,995,921	45%
7/1/2011	14,661,042	17,793,420	3,132,378	82.40	11,146,385	28
7/1/2013	17,609,101	17,356,805	(252,296)	101.45	11,865,168	0

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DUBLIN SAN RAMON SERVICES DISTRICT
SEWER OPERATIONS
COMBINING STATEMENT OF NET POSITION
JUNE 30, 2015

	Regional Sewer Total	Local Sewer Total	Allocation	Allocation OPEB Fund	Sewer Total
ASSETS					
Current assets:					
Pooled cash	\$ 2,563,113	\$ 713,395	\$ 85,036	\$ 7,622	\$ 3,369,166
Pooled investments	60,556,923	16,891,189		176,257	77,624,369
Accounts receivable	7,294,086	195,263	220,255		7,709,604
Receivable from Water Fund	130,431	8,294	79,060		217,785
Interest receivable	104,240	29,080		304	133,624
Employee notes receivable	4,450				4,450
Deferred capacity reserve fees receivable	287,209				287,209
Prepaid expense			1,778		1,778
Total current assets	<u>70,940,452</u>	<u>17,837,221</u>	<u>386,129</u>	<u>184,183</u>	<u>89,347,985</u>
Non-current assets:					
Capital assets:					
Property, plant and equipment	192,777,043	54,542,172			247,319,215
Less accumulated depreciation	59,675,755	22,346,535			82,022,290
Net property, plant and equipment	<u>133,101,288</u>	<u>32,195,637</u>			<u>165,296,925</u>
Construction in progress	3,648,260	1,222,086			4,870,346
Total capital assets	<u>136,749,548</u>	<u>33,417,723</u>			<u>170,167,271</u>
Other assets:					
Net OPEB asset				8,354,106	8,354,106
Deferred capacity reserves receivable - long term	575,786				575,786
Total other assets	<u>575,786</u>			<u>8,354,106</u>	<u>8,929,892</u>
Total non-current assets	<u>137,325,334</u>	<u>33,417,723</u>		<u>8,354,106</u>	<u>179,097,163</u>
Total assets	<u>208,265,786</u>	<u>51,254,944</u>	<u>386,129</u>	<u>8,538,289</u>	<u>268,445,148</u>
DEFERRED OUTFLOW OF RESOURCES					
Deferred outflows - pension related amounts	124,763	28,602			153,365
Deferred employer pension contributions	3,671,905	388,835			4,060,740
Total deferred outflows of resources	<u>3,796,668</u>	<u>417,437</u>			<u>4,214,105</u>

(Continued)

DUBLIN SAN RAMON SERVICES DISTRICT
SEWER OPERATIONS
COMBINING STATEMENT OF NET POSITION
JUNE 30, 2015

	Regional Sewer Total	Local Sewer Total	Allocation	Allocation OPEB Fund	Sewer Total
LIABILITIES					
Current liabilities:					
Accounts payable	822,927	85,313	142,174	45,217	1,095,631
Contractor bonds and deposits	449,819	68,250			518,069
Accrued expenses	488,364				488,364
Accrued compensated absences	468,743	65,148	243,955		777,846
Current portion of long-term debt	1,423,597				1,423,597
Unearned revenue	287,209	396,906			684,115
Total current liabilities	<u>3,940,659</u>	<u>615,617</u>	<u>386,129</u>	<u>45,217</u>	<u>4,987,622</u>
Long term liabilities:					
Long-term debt					
less current portion	35,276,815				35,276,815
Net pension liability	7,106,592	1,629,227			8,735,819
DLD remediation reserve	706,000				706,000
Unearned revenue	575,786				575,786
Total long term liabilities	<u>43,665,193</u>	<u>1,629,227</u>			<u>45,294,420</u>
Total liabilities	<u>47,605,852</u>	<u>2,244,844</u>	<u>386,129</u>	<u>45,217</u>	<u>50,282,042</u>
DEFERRED INFLOW OF RESOURCES					
Deferred inflows - pension related amounts	2,485,678	569,856			3,055,534
Total deferred inflows of resources	<u>2,485,678</u>	<u>569,856</u>			<u>3,055,534</u>
NET POSITION					
Net investment in capital assets	100,049,137	33,417,723			133,466,860
Restricted for:					
Expansion	36,394,501	5,754,748			42,149,249
Unrestricted	25,527,286	9,685,210		8,493,072	43,705,568
Total net position	<u>\$ 161,970,924</u>	<u>\$ 48,857,681</u>	<u>\$ -</u>	<u>\$ 8,493,072</u>	<u>\$ 219,321,677</u>

DUBLIN SAN RAMON SERVICES DISTRICT
SEWER OPERATIONS
COMBINING STATEMENT OF REVENUES AND EXPENSES
AND CHANGES IN FUND NET POSITION
FOR THE YEAR ENDED JUNE 30, 2015

	Regional Sewer Total	Local Sewer Total	Allocation	Allocation OPEB Fund	Sewer Total
OPERATING REVENUES					
Wastewater service charges	\$ 19,398,132	\$ 2,149,476			\$ 21,547,608
Other revenues	599,980	1,104,716	\$ 1,042,141	\$ 38,808	2,785,645
Total operating revenues	<u>19,998,112</u>	<u>3,254,192</u>	<u>1,042,141</u>	<u>38,808</u>	<u>24,333,253</u>
OPERATING EXPENSES					
Personnel	6,349,116	1,532,762	2,820,157		10,702,035
Materials	2,340,531	78,530	107,060		2,526,121
Contractual services	7,563,857	254,963	615,674		8,434,494
Other	158,849	20,711	24,652		204,212
Overhead Charges	2,072,629	452,694	(2,525,323)		
Depreciation	5,809,637	1,077,569			6,887,206
Total operating expenses	<u>24,294,619</u>	<u>3,417,229</u>	<u>1,042,220</u>		<u>28,754,068</u>
OPERATING INCOME (LOSS)	<u>(4,296,507)</u>	<u>(163,037)</u>	<u>(79)</u>	<u>38,808</u>	<u>(4,420,815)</u>
NONOPERATING REVENUES (EXPENSE)					
Investment income	468,163	123,632	79	1,276	593,150
Interest (expense)	(421,896)				(421,896)
Total non-operating revenues (expense), net	<u>46,267</u>	<u>123,632</u>	<u>79</u>	<u>1,276</u>	<u>171,254</u>
INCOME (LOSS) BEFORE CONTRIBUTIONS AND TRANSFERS	(4,250,240)	(39,405)		40,084	(4,249,561)
Non-cash contributions		1,803,309			1,803,309
Capital contributions - capacity reserve fees	21,337,775	1,144,421			22,482,196
Interfund transfers in	4,057,855	395,869			4,453,724
Interfund transfers out	(6,554,745)	(395,869)			(6,950,614)
Changes in net position	<u>14,590,645</u>	<u>2,908,325</u>		<u>40,084</u>	<u>17,539,054</u>
TOTAL NET POSITION, BEGINNING OF YEAR	156,282,631	47,990,271		8,452,988	212,725,890
Prior year adjustment	(8,902,352)	(2,040,915)			(10,943,267)
TOTAL NET POSITION, BEGINNING OF YEAR AS RESTATED	<u>147,380,279</u>	<u>45,949,356</u>		<u>8,452,988</u>	<u>201,782,623</u>
TOTAL NET POSITION, END OF YEAR	<u>\$ 161,970,924</u>	<u>\$ 48,857,681</u>		<u>\$ 8,493,072</u>	<u>\$ 219,321,677</u>

DUBLIN SAN RAMON SERVICES DISTRICT
REGIONAL SEWER OPERATIONS
COMBINING STATEMENT OF NET POSITION
JUNE 30, 2015

	Regional Sewer Enterprise	Regional Sewer RSF	Regional Sewer Replacement	Regional Sewer Expansion	Total
ASSETS					
Current assets:					
Pooled cash	\$ 254,213	\$ 314,133	\$ 648,408	\$ 1,346,359	\$ 2,563,113
Pooled investments	5,861,056	7,440,285	15,360,225	31,895,357	60,556,923
Accounts receivable	3,632,065		493,577	3,168,444	7,294,086
Receivable from Water Fund				130,431	130,431
Interest receivable	10,089	12,809	26,422	54,920	104,240
Employee notes receivable	4,450				4,450
Deferred capacity reserve fees receivable			4,727	282,482	287,209
Total current assets	<u>9,761,873</u>	<u>7,767,227</u>	<u>16,533,359</u>	<u>36,877,993</u>	<u>70,940,452</u>
Non-current assets:					
Capital assets:					
Property, plant and equipment	159,291,309			33,485,734	192,777,043
Less accumulated depreciation	53,815,907			5,859,848	59,675,755
Net property, plant and equipment	<u>105,475,402</u>			<u>27,625,886</u>	<u>133,101,288</u>
Land and construction in progress	56,014		1,553,491	2,038,755	3,648,260
Total capital assets	<u>105,531,416</u>		<u>1,553,491</u>	<u>29,664,641</u>	<u>136,749,548</u>
Other assets:					
Deferred capacity reserves receivable - LT			34,634	541,152	575,786
Total other assets			<u>34,634</u>	<u>541,152</u>	<u>575,786</u>
Total non-current assets	<u>105,531,416</u>		<u>1,588,125</u>	<u>30,205,793</u>	<u>137,325,334</u>
Total assets	<u>115,293,289</u>	<u>7,767,227</u>	<u>18,121,484</u>	<u>67,083,786</u>	<u>208,265,786</u>
DEFERRED OUTFLOW OF RESOURCES					
Deferred outflows - pension related amounts	123,781			982	124,763
Deferred employer pension contributions	3,637,203			34,702	3,671,905
Total deferred outflows of resources	<u>3,760,984</u>			<u>35,684</u>	<u>3,796,668</u>

(Continued)

DUBLIN SAN RAMON SERVICES DISTRICT
REGIONAL SEWER OPERATIONS
COMBINING STATEMENT OF NET POSITION
JUNE 30, 2015

	Regional Sewer Enterprise	Regional Sewer RSF	Regional Sewer Replacement	Regional Sewer Expansion	Total
LIABILITIES					
Current liabilities:					
Accounts payable	493,448		173,401	156,078	822,927
Contractor bonds and deposits	447,316			2,503	449,819
Accrued expenses	488,364				488,364
Accrued compensated absences	466,140			2,603	468,743
Current portion of long-term debt	260,203			1,163,394	1,423,597
Unearned revenue			4,727	282,482	287,209
Total current liabilities	<u>2,155,471</u>		<u>178,128</u>	<u>1,607,060</u>	<u>3,940,659</u>
Long term liabilities:					
Long-term debt					
less current portion	6,447,837			28,828,978	35,276,815
Net pension liability	7,050,649			55,943	7,106,592
DLD remediation reserve	706,000				706,000
Unearned revenue			34,634	541,152	575,786
Total liabilities	<u>14,204,486</u>		<u>34,634</u>	<u>29,426,073</u>	<u>43,665,193</u>
	<u>16,359,957</u>		<u>212,762</u>	<u>31,033,133</u>	<u>47,605,852</u>
DEFERRED INFLOW OF RESOURCES					
Deferred inflows - pension related amounts	2,466,111			19,567	2,485,678
Total deferred inflows of resources	<u>2,466,111</u>			<u>19,567</u>	<u>2,485,678</u>
NET POSITION					
Net investment in capital assets	98,823,377		1,553,491	(327,731)	100,049,137
Restricted for:					
Expansion				36,394,501	36,394,501
Unrestricted	1,404,828	7,767,227	16,355,231		25,527,286
Total net position	<u>\$100,228,205</u>	<u>\$7,767,227</u>	<u>\$17,908,722</u>	<u>\$36,066,770</u>	<u>\$161,970,924</u>

DUBLIN SAN RAMON SERVICES DISTRICT
REGIONAL SEWER OPERATIONS
COMBINING STATEMENT OF REVENUES AND EXPENSES
AND CHANGES IN FUND NET POSITION
FOR THE YEAR ENDED JUNE 30, 2015

	Regional Sewer Enterprise	Regional Sewer RSF	Regional Sewer Replacement	Regional Sewer Expansion	Total
OPERATING REVENUES					
Wastewater service charges	\$ 19,398,132				\$ 19,398,132
Other revenues	556,885			\$ 43,095	599,980
Total operating revenues	<u>19,955,017</u>			<u>43,095</u>	<u>19,998,112</u>
OPERATING EXPENSES					
Personnel	6,306,962			42,154	6,349,116
Materials	2,313,869		\$ 25,780	882	2,340,531
Contractual services	3,779,259		242,018	3,542,580	7,563,857
Other	158,849				158,849
Overhead Charges	2,051,380			21,249	2,072,629
Depreciation	4,135,395			1,674,242	5,809,637
Total operating expenses	<u>18,745,714</u>		<u>267,798</u>	<u>5,281,107</u>	<u>24,294,619</u>
OPERATING INCOME (LOSS)	<u>1,209,303</u>		<u>(267,798)</u>	<u>(5,238,012)</u>	<u>(4,296,507)</u>
NONOPERATING REVENUES (EXPENSE)					
Investment income	44,417	\$ 54,042	106,775	262,929	468,163
Interest expense				(421,896)	(421,896)
Total non-operating revenues	<u>44,417</u>	<u>54,042</u>	<u>106,775</u>	<u>(158,967)</u>	<u>46,267</u>
INCOME (LOSS) BEFORE CONTRIBUTIONS AND TRANSFERS	1,253,720	54,042	(161,023)	(5,396,979)	(4,250,240)
Capital contributions - capacity reserve fees			2,832,947	18,504,828	21,337,775
Residual equity transfer	11,497,119		(10,207,327)	(1,289,792)	
Interfund transfers in		1,483,399	2,574,456		4,057,855
Interfund transfers out	(4,243,032)			(2,311,713)	(6,554,745)
Changes in net position	8,507,807	1,537,441	(4,960,947)	9,506,344	14,590,645
TOTAL NET POSITION, BEGINNING OF YEAR	100,552,671	6,229,786	22,869,669	26,630,505	156,282,631
Prior year adjustment	(8,832,273)			(70,079)	(8,902,352)
TOTAL NET POSITION, BEGINNING OF YEAR AS RESTATED	<u>91,720,398</u>	<u>6,229,786</u>	<u>22,869,669</u>	<u>26,560,426</u>	<u>147,380,279</u>
TOTAL NET POSITION, END OF YEAR	<u>\$ 100,228,205</u>	<u>\$ 7,767,227</u>	<u>\$ 17,908,722</u>	<u>\$ 36,066,770</u>	<u>\$ 161,970,924</u>

DUBLIN SAN RAMON SERVICES DISTRICT
LOCAL SEWER OPERATIONS
COMBINING STATEMENT OF NET POSITION
JUNE 30, 2015

	Local Sewer Enterprise	Local Sewer RSF	Local Sewer Replacement	Local Sewer Expansion	Total
ASSETS					
Current assets:					
Pooled cash	\$ 43,754	\$ 27,766	\$ 382,185	\$ 259,690	\$ 713,395
Pooled investments	1,029,760	660,794	9,050,584	6,150,051	16,891,189
Accounts receivable	195,263				195,263
Receivable from other funds				8,294	8,294
Interest receivable	1,773	1,137	15,582	10,588	29,080
Total current assets	1,270,550	689,697	9,448,351	6,428,623	17,837,221
Non-current assets:					
Capital assets:					
Property, plant and equipment	54,542,172				54,542,172
Less accumulated depreciation	22,346,535				22,346,535
Net property, plant and equipment	32,195,637				32,195,637
Land and construction in progress	850,893		349,598	21,595	1,222,086
Total capital assets	33,046,530		349,598	21,595	33,417,723
Total non-current assets	33,046,530		349,598	21,595	33,417,723
Total assets	34,317,080	689,697	9,797,949	6,450,218	51,254,944
DEFERRED OUTFLOW OF RESOURCES					
Deferred outflows - pension related amounts	22,901			5,701	28,602
Deferred employer pension contributions	213,411			175,424	388,835
Total deferred outflows of resources	236,312			181,125	417,437
LIABILITIES					
Current liabilities:					
Accounts payable	20,474		52,543	12,296	85,313
Contractor bonds and deposits	68,250				68,250
Accrued compensated absences	57,689			7,459	65,148
Unearned revenue				396,906	396,906
Total current liabilities	146,413		52,543	416,661	615,617
Long term liabilities:					
Net pension liability	1,304,477			324,751	1,629,227
Total long term liabilities	1,304,476			324,751	1,629,227
Total liabilities	1,450,889		52,543	741,412	2,244,844
DEFERRED INFLOW OF RESOURCES					
Deferred inflows - pension related amounts	456,268			113,588	569,856
Total deferred inflows of resources	456,268			113,588	569,856
NET POSITION					
Net investment in capital assets	33,046,530		349,598	21,595	33,417,723
Restricted for:					
Expansion				5,754,748	5,754,748
Unrestricted	(400,295)	689,697	9,395,808		9,685,210
Total net position	\$ 32,646,235	\$ 689,697	\$ 9,745,406	\$ 5,776,343	\$ 48,857,681

DUBLIN SAN RAMON SERVICES DISTRICT
LOCAL SEWER OPERATIONS
COMBINING STATEMENT OF REVENUES AND EXPENSES
AND CHANGES IN FUND NET POSITION
FOR THE FISCAL YEAR ENDED JUNE 30, 2015

	Local Sewer Enterprise	Local Sewer RSF	Local Sewer Replacement	Local Sewer Expansion	Total
OPERATING REVENUES					
Wastewater service charges	\$ 2,149,476				\$ 2,149,476
Other revenues	85,698			\$ 1,019,018	1,104,716
Total operating revenues	2,235,174			1,019,018	3,254,192
OPERATING EXPENSES					
Personnel	1,205,027		\$ 22	327,713	1,532,762
Materials	71,203		5,427	1,900	78,530
Contractual services	161,703		68,134	25,126	254,963
Other	20,711				20,711
Overhead charges	380,520			72,174	452,694
Depreciation	1,077,569				1,077,569
Total operating expenses	2,916,733		73,583	426,913	3,417,229
OPERATING INCOME (LOSS)	(681,559)		(73,583)	592,105	(163,037)
NONOPERATING REVENUES					
Investment income	6,529	\$ 4,820	68,050	44,233	123,632
Total non-operating revenues	6,529	4,820	68,050	44,233	123,632
INCOME (LOSS) BEFORE CONTRIBUTIONS AND TRANSFERS	(675,030)	4,820	(5,533)	636,338	(39,405)
Non-cash contributions	1,803,309				1,803,309
Capital contributions - capacity reserve fees			580,221	564,200	1,144,421
Residual equity transfer	1,221,917		(1,221,917)		
Interfund transfers in		108,869	287,000		395,869
Interfund transfers out	(395,869)				(395,869)
Changes in net position	1,954,327	113,689	(360,229)	1,200,538	2,908,325
TOTAL NET POSITION, BEGINNING OF YEAR	32,326,011	576,008	10,105,635	4,982,617	47,990,271
Prior year adjustment	(1,634,103)			(406,812)	(2,040,915)
TOTAL NET POSITION, BEGINNING OF YEAR AS RESTATED	30,691,908	576,008	10,105,635	4,575,805	45,949,356
TOTAL NET POSITION, END OF YEAR	\$ 32,646,235	\$ 689,697	\$ 9,745,406	\$ 5,776,343	\$ 48,857,681

DUBLIN SAN RAMON SERVICES DISTRICT
WATER OPERATIONS
COMBINING STATEMENT OF NET POSITION
JUNE 30, 2015

	Water Total	Dougherty Valley Asmnt Dist	Allocation	Allocation OPEB Fund	Eliminations	Total
ASSETS						
Current assets:						
Pooled cash	\$ 2,140,975	\$ 21,777	\$ 49,855	\$ 4,355		\$ 2,216,962
Pooled investments	\$50,700,306	509,706		\$102,811		51,312,823
Restricted investments	\$45,393					45,393
Accounts receivable	4,024,551	173,907	129,135			4,327,593
Receivable from other funds			46,353		\$ (46,353)	
Interest receivable	87,280	878		177		88,335
Prepaid expenses			1,042			1,042
Total current assets	<u>56,998,505</u>	<u>706,268</u>	<u>226,385</u>	<u>107,343</u>	<u>(46,353)</u>	<u>57,992,148</u>
Non-current assets:						
Capital assets:						
Property, plant and equipment	182,021,199					182,021,199
Less accumulated depreciation	46,863,424					46,863,424
Net property, plant and equipment	<u>135,157,775</u>					<u>135,157,775</u>
Land and construction in progress	12,760,524					12,760,524
Total capital assets	<u>147,918,299</u>					<u>147,918,299</u>
Other assets:						
Receivable from Water Fund						
Net OPEB asset				3,841,269		3,841,269
Total other assets				<u>3,841,269</u>		<u>3,841,269</u>
Total non-current assets	<u>147,918,299</u>			<u>3,841,269</u>		<u>151,759,568</u>
Total assets	<u>204,916,804</u>	<u>706,268</u>	<u>226,385</u>	<u>3,948,612</u>	<u>(46,353)</u>	<u>209,751,716</u>
DEFERRED OUTFLOW OF RESOURCES						
Deferred outflows - pension related amounts	87,508					87,508
Deferred employer pension contributions	2,655,484					2,655,484
Total deferred outflows of resources	<u>2,742,992</u>					<u>2,742,992</u>

(Continued)

DUBLIN SAN RAMON SERVICES DISTRICT
WATER OPERATIONS
COMBINING STATEMENT OF NET POSITION
JUNE 30, 2015

	Water Total	Dougherty Valley Asmnt Dist	Allocation	Allocation OPEB Fund	Eliminations	Total
LIABILITIES						
Current liabilities:						
Accounts payable	4,809,141		83,356	26,352		4,918,849
Contractor bonds and deposits	503,982					503,982
Accrued compensated absences	285,334		143,029			428,363
Interest payable	807,695					807,695
Bonds payable - current portion	280,000					280,000
Payable to Sewer Fund	264,138				(46,353)	217,785
Total current liabilities	<u>6,950,290</u>		<u>226,385</u>	<u>26,352</u>	<u>(46,353)</u>	<u>7,156,674</u>
Long term liabilities:						
Bonds payable - less current portion	34,810,000					34,810,000
Net pension liability	4,984,438					4,984,438
Unearned revenue	6,086,447					6,086,447
Total long term liabilities	<u>45,880,885</u>					<u>45,880,885</u>
Total liabilities	<u>52,831,175</u>		<u>226,385</u>	<u>26,352</u>	<u>(46,353)</u>	<u>53,037,559</u>
DEFERRED INFLOW OF RESOURCES						
Deferred inflows - pension related amounts	1,743,412					1,743,412
Total deferred inflows of resources	<u>1,743,412</u>					<u>1,743,412</u>
NET POSITION						
Net investment in capital assets	112,828,299					112,828,299
Restricted for:						
Expansion	10,354,427					10,354,427
Assessment district		706,268				706,268
Unrestricted	29,902,483			3,922,260		33,824,743
Total net position	<u>\$ 153,085,209</u>	<u>\$ 706,268</u>		<u>\$ 3,922,260</u>		<u>\$ 157,713,737</u>

DUBLIN SAN RAMON SERVICES DISTRICT
WATER OPERATIONS
COMBINING STATEMENT OF REVENUES AND EXPENSES
AND CHANGES IN FUND NET POSITION
FOR THE YEAR ENDED JUNE 30, 2015

	Water Total	Dougherty Valley Asmnt Dist	Allocation	Allocation OPEB Fund	Total
OPERATING REVENUES					
Water sales	\$ 23,186,190				\$ 23,186,190
Other revenues	3,681,137	\$ 1,542,432	\$ 611,001	\$ 22,617	5,857,187
Total operating revenues	<u>26,867,327</u>	<u>1,542,432</u>	<u>611,001</u>	<u>22,617</u>	<u>29,043,377</u>
OPERATING EXPENSES					
Personnel	4,619,811		1,657,264		6,277,075
Materials	8,428,503		62,769		8,491,272
Contractual services	5,781,153	1,736,324	360,966		7,878,443
Other	431,958		14,454		446,412
Overhead charges	1,484,406		(1,484,406)		
Depreciation	4,393,223				4,393,223
Total operating expenses	<u>25,139,054</u>	<u>1,736,324</u>	<u>611,047</u>		<u>27,486,425</u>
OPERATING INCOME (LOSS)	<u>1,728,273</u>	<u>(193,892)</u>	<u>(46)</u>	<u>22,617</u>	<u>1,556,952</u>
NONOPERATING REVENUES (EXPENSE)					
Investment income	357,348	6,755	46	743	364,892
Interest (expense)	(1,940,619)				(1,940,619)
Total non-operating revenues (expenses), net	<u>(1,583,271)</u>	<u>6,755</u>	<u>46</u>	<u>743</u>	<u>(1,575,727)</u>
INCOME (LOSS) BEFORE CONTRIBUTIONS AND	145,002	(187,137)		23,360	(18,775)
Non-cash contributions	3,180,146				3,180,146
Capital contributions - capacity reserve fees	7,423,057				7,423,057
Interfund transfers in	9,620,427				9,620,427
Interfund transfers out	(7,123,537)				(7,123,537)
Changes in net position	<u>13,245,095</u>	<u>(187,137)</u>		<u>23,360</u>	<u>13,081,318</u>
TOTAL NET POSITION, BEGINNING OF YEAR	146,084,066	893,405		3,898,900	150,876,371
Prior year adjustment	(6,243,952)				(6,243,952)
TOTAL NET POSITION, BEGINNING OF YEAR AS RESTATED	<u>139,840,114</u>	<u>893,405</u>		<u>3,898,900</u>	<u>144,632,419</u>
TOTAL NET POSITION, END OF YEAR	<u>\$ 153,085,209</u>	<u>\$ 706,268</u>		<u>\$ 3,922,260</u>	<u>\$ 157,713,737</u>

DUBLIN SAN RAMON SERVICES DISTRICT
WATER FUNDS
COMBINING STATEMENT OF NET POSITION
JUNE 30, 2015

	Water Enterprise	Water RSF	Water Replacement	Water Expansion	Total
ASSETS					
Current assets:					
Pooled cash	\$ 492,458	\$ 423,017	\$ 449,150	\$ 776,350	\$ 2,140,975
Pooled investments	11,662,374	10,013,980	10,634,588	18,389,364	50,700,306
Cash in escrow			15,887	29,506	45,393
Accounts receivable	4,026,244	(3,396)		1,703	4,024,551
Interest receivable	20,070	17,241	18,309	31,660	87,280
Total current assets	<u>16,201,146</u>	<u>10,450,842</u>	<u>11,117,934</u>	<u>19,228,583</u>	<u>56,998,505</u>
Non-current assets:					
Capital assets:					
Property, plant and equipment	158,020,413	-	-	24,000,786	182,021,199
Less accumulated depreciation	44,229,191	-	-	2,634,233	46,863,424
Net property, plant and equipment	<u>113,791,222</u>	<u>-</u>	<u>-</u>	<u>21,366,553</u>	<u>135,157,775</u>
Land and construction in progress	6,806,038	-	3,073,265	2,881,221	12,760,524
Total capital assets	<u>120,597,260</u>	<u>-</u>	<u>3,073,265</u>	<u>24,247,774</u>	<u>147,918,299</u>
Total non-current assets	<u>120,597,260</u>	<u>-</u>	<u>3,073,265</u>	<u>24,247,774</u>	<u>147,918,299</u>
Total assets	<u>136,798,406</u>	<u>10,450,842</u>	<u>14,191,199</u>	<u>43,476,357</u>	<u>204,916,804</u>
DEFERRED OUTFLOW OF RESOURCES					
Deferred outflows - pension related amounts	78,446			9,062	87,508
Deferred employer pension contributions	2,363,760			291,724	2,655,484
Total deferred outflows of resources	<u>2,442,206</u>			<u>300,786</u>	<u>2,742,992</u>

(Continued)

DUBLIN SAN RAMON SERVICES DISTRICT
WATER FUNDS
COMBINING STATEMENT OF NET POSITION
JUNE 30, 2015

	Water Enterprise	Water RSF	Water Replacement	Water Expansion	Total
LIABILITIES					
Current liabilities:					
Accounts payable	3,287,666		217,444	1,304,031	4,809,141
Contractor bonds and deposits	503,982				503,982
Accrued compensated absences	269,318			16,016	285,334
Interest Payable				807,695	807,695
Bonds payable - current portion				280,000	280,000
Payable to Sewer Fund				264,138	264,138
Total current liabilities	<u>4,060,966</u>		<u>217,444</u>	<u>2,671,880</u>	<u>6,950,290</u>
Long term liabilities:					
Bonds payable - less current portion				34,810,000	34,810,000
Net pension liability	4,468,340			516,098	4,984,438
Unearned revenue				6,086,447	6,086,447
Total long term liabilities	<u>4,468,340</u>			<u>41,412,545</u>	<u>45,880,885</u>
Total liabilities	<u>8,529,306</u>		<u>217,444</u>	<u>44,084,425</u>	<u>52,831,175</u>
DEFERRED INFLOW OF RESOURCES					
Deferred inflows - pension related amounts	1,562,895			180,517	1,743,412
Total deferred inflows of resources	<u>1,562,895</u>			<u>180,517</u>	<u>1,743,412</u>
NET POSITION					
Net investment in capital assets	120,597,260		3,073,265	(10,842,226)	112,828,299
Restricted for:					
Expansion				10,354,427	10,354,427
Unrestricted	8,551,151	10,450,842	10,900,490		29,902,483
Total net position	<u>\$ 129,148,411</u>	<u>\$ 10,450,842</u>	<u>\$ 13,973,755</u>	<u>\$ (487,799)</u>	<u>\$ 153,085,209</u>

DUBLIN SAN RAMON SERVICES DISTRICT
WATER FUNDS
COMBINING STATEMENT OF REVENUES AND EXPENSES
AND CHANGES IN FUND NET POSITION
FOR THE YEAR ENDED JUNE 30, 2015

	Water Enterprise	Water RSF	Water Replacement	Water Expansion	Total
OPERATING REVENUES					
Water sales	\$ 23,186,190				\$ 23,186,190
Other revenues	682,468	\$ 634,590	\$ 35,805	\$ 2,328,274	3,681,137
Total operating revenues	<u>23,868,658</u>	<u>634,590</u>	<u>35,805</u>	<u>2,328,274</u>	<u>26,867,327</u>
OPERATING EXPENSES					
Personnel	4,154,639		109	465,063	4,619,811
Materials	7,881,023		209,730	337,750	8,428,503
Contractual services	2,963,786		680,129	2,137,238	5,781,153
Other	413,399	18,537		22	431,958
Overhead Charges	1,344,864			139,542	1,484,406
Depreciation	3,807,838			585,385	4,393,223
Total operating expenses	<u>20,565,549</u>	<u>18,537</u>	<u>889,968</u>	<u>3,665,000</u>	<u>25,139,054</u>
OPERATING INCOME (LOSS)	<u>3,303,109</u>	<u>616,053</u>	<u>(854,163)</u>	<u>(1,336,726)</u>	<u>1,728,273</u>
NONOPERATING REVENUES (EXPENSE)					
Investment income	89,948	71,264	75,347	120,789	357,348
Interest expense				(1,940,619)	(1,940,619)
Total non-operating revenues (expenses)	<u>89,948</u>	<u>71,264</u>	<u>75,347</u>	<u>(1,819,830)</u>	<u>(1,583,271)</u>
INCOME (LOSS) BEFORE CONTRIBUTIONS AND TRANSFERS	3,393,057	687,317	(778,816)	(3,156,556)	145,002
Non-cash contributions	3,180,146				3,180,146
Capital contributions - capacity reserve fees			1,952,264	5,470,793	7,423,057
Residual equity transfer	1,050,255		(1,050,255)		
Interfund transfers in	185,177	3,498,016	2,775,521	3,161,713	9,620,427
Interfund transfers out	(6,849,020)	(274,517)			(7,123,537)
Changes in net position	959,615	3,910,816	2,898,714	5,475,950	13,245,095
TOTAL NET POSITION, BEGINNING OF YEAR	133,786,237	6,540,026	11,075,041	(5,317,238)	146,084,066
Prior year adjustment	(5,597,441)			(646,511)	(6,243,952)
TOTAL NET POSITION, BEGINNING OF YEAR AS RESTATED	<u>128,188,796</u>	<u>6,540,026</u>	<u>11,075,041</u>	<u>(5,963,749)</u>	<u>139,840,114</u>
TOTAL NET POSITION, END OF YEAR	<u>\$ 129,148,411</u>	<u>\$ 10,450,842</u>	<u>\$ 13,973,755</u>	<u>\$ (487,799)</u>	<u>\$ 153,085,209</u>

DUBLIN SAN RAMON SERVICES DISTRICT
ALLOCATIONS
COMBINING STATEMENT OF NET POSITION
JUNE 30, 2015

	Administrative Cost Center	OPEB Fund	Total
ASSETS			
Current assets:			
Pooled cash	\$ 134,891	\$ 11,977	\$ 146,868
Pooled investments		279,068	279,068
Accounts receivable	349,390		349,390
Receivable from Water Fund	125,413		125,413
Interest receivable		481	481
Prepaid expense	2,820		2,820
Total current assets	612,514	291,526	904,040
Non-current assets:			
Other assets:			
Receivable from Water Fund			
Net OPEB asset		12,195,375	12,195,375
Total other assets		12,195,375	12,195,375
Total non-current assets		12,195,375	12,195,375
Total assets	612,514	12,486,901	13,099,415
LIABILITIES			
Current liabilities:			
Accounts payable	225,530	71,569	297,099
Accrued compensated absences	386,984		386,984
Total current liabilities	612,514	71,569	684,083
Total liabilities	612,514	71,569	684,083
NET POSITION			
Unrestricted		12,415,332	12,415,332
Total net position		\$ 12,415,332	\$ 12,415,332

DUBLIN SAN RAMON SERVICES DISTRICT
 ALLOCATIONS
 COMBINING STATEMENT OF REVENUES AND EXPENSES
 AND CHANGES IN FUND NET POSITION
 FOR THE YEAR ENDED JUNE 30, 2015

	Administrative Cost Center	OPEB Fund	Total
OPERATING REVENUES			
Other revenues	\$ 1,653,142	\$ 61,425	\$ 1,714,567
Total operating revenues	<u>1,653,142</u>	<u>61,425</u>	<u>1,714,567</u>
OPERATING EXPENSES			
Personnel	4,477,425		4,477,425
Materials	169,827		169,827
Contractual services	976,639		976,639
Other	39,106		39,106
Overhead charges	(4,009,730)		(4,009,730)
Total operating expenses	<u>1,653,267</u>		<u>1,653,267</u>
OPERATING INCOME	<u>(125)</u>	<u>61,425</u>	<u>61,300</u>
NONOPERATING REVENUES			
Investment income	<u>125</u>	<u>2,019</u>	<u>2,144</u>
Total non-operating revenues	<u>125</u>	<u>2,019</u>	<u>2,144</u>
Changes in net position		63,444	63,444
TOTAL NET POSITION, BEGINNING OF YEAR		<u>12,351,888</u>	<u>12,351,888</u>
TOTAL NET POSITION, END OF YEAR		<u>\$ 12,415,332</u>	<u>\$ 12,415,332</u>

DUBLIN SAN RAMON SERVICES DISTRICT

Dublin, California

*Auditors' Communication with
Those Charged with Governance*

For the year ended June 30, 2015



November 24, 2015

To the Board of Directors
of the Dublin San Ramon Services District
Dublin, California

We have audited the basic financial statements of the Dublin San Ramon Services District (District) for the year ended June 30, 2015. Professional standards require that we provide you with the following information related to our audit.

Our Responsibility under U.S. Generally Accepted Auditing Standards

As stated in our engagement letter dated May 21, 2015, our responsibility, as described by professional standards, is to express opinions about whether the financial statements prepared by us with your oversight are fairly presented, in all material respects, in conformity with U.S. generally accepted accounting principles. Our audit of the financial statements does not relieve you or management of your responsibilities.

Planned Scope and Timing of the Audit

An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements; therefore, our audit involved judgment about the number of transactions to be examined and the areas to be tested.

Our audit included obtaining an understanding of the entity and its environment, including internal control, sufficient to assess the risks of material misstatement of the financial statements and to design the nature, timing, and extent of further audit procedures. Material misstatements may result from (1) errors, (2) fraudulent financial reporting, (3) misappropriation of assets, or (4) violations of laws or governmental regulations that are attributable to the entity or to acts by management or employees acting on behalf of the entity.

We performed the audit according to the timing previously communicated to you on the engagement letter.

Significant Audit Findings

Qualitative Aspects of Accounting Practices

Management is responsible for the selection and use of appropriate accounting policies. The significant accounting policies used by the District are described in Note 1 to the financial statements.

Accounting estimates are an integral part of the financial statements prepared by management and are based on management's knowledge and experience about past and current events and assumptions about future events. Certain accounting estimates are particularly sensitive because of their significance to the financial statements and because of the possibility that future events affecting them may differ significantly from those expected. The most sensitive estimates affecting the District's financial statements were:

To the Board of Directors
of the Dublin San Ramon Services District
Dublin, California
Page 2

Certain financial statement disclosures are particularly sensitive because of their significance to financial statement users. The most sensitive disclosures affecting the financial statements were:

- Summary of Significant Accounting Policies
- Cash and Investments
- Long Term Debt
- Net Position
- Pension Liabilities
- Commitments and Contingent Liabilities

The financial statement disclosures are neutral, consistent, and clear.

Difficulties Encountered in Performing the Audit

We encountered no significant difficulties in dealing with management in performing and completing our audit.

Corrected and Uncorrected Misstatements

Professional standards require us to accumulate all known and likely misstatements identified during the audit, other than those that are clearly trivial, and communicate them to the appropriate level of management. Management has corrected all such misstatements. Attached is a listing of audit adjustments proposed and corrected by Management.

Disagreements with Management

For purposes of this letter, a disagreement with management is a financial accounting, reporting, or auditing matter, whether or not resolved to our satisfaction, that could be significant to the financial statements or the auditor's report. We are pleased to report that no such disagreements arose during the course of our audit.

Management Representations

We have requested certain representations from management that are included in the management representation letter dated November 24, 2015.

Management Consultations with Other Independent Accountants

In some cases, management may decide to consult with other accountants about auditing and accounting matters, similar to obtaining a "second opinion" on certain situations. If a consultation involves application of an accounting principle to the governmental unit's financial statements or a determination of the type of auditor's opinion that may be expressed on those statements, our professional standards require the consulting accountant to check with us to determine that the consultant has all of the relevant facts. To our knowledge, there were no such consultations with other accountants.

To the Board of Directors
of the Dublin San Ramon Services District
Dublin, California
Page 3

Other Audit Findings or Issues

We generally discuss a variety of matters, including the application of accounting principles and auditing standards, with management each year prior to retention as the governmental unit's auditors. However, these discussions occurred in the normal course of our professional relationship and our responses were not a condition to our retention.

Other Matters

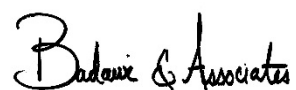
We applied certain limited procedures to management's discussion and analysis, the schedule of the District's proportionate share of net pension liability, the schedule of contributions for the District pension plan and the schedule of funding progress of the District's postemployment healthcare benefits plan, which are required supplementary information (RSI) that supplements the basic financial statements. Our procedures consisted of inquiries of management regarding the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We did not audit the RSI and do not express an opinion or provide any assurance on the RSI.

We were engaged to report on the schedule of sub fund balance sheets and the schedule of sub fund revenues, expenses and changes in net position which accompany the financial statements but are not RSI. With respect to this supplementary information, we made certain inquiries of management and evaluated the form, content, and methods of preparing the information to determine that the information complies with accounting principles generally accepted in the United States of America, the method of preparing it has not changed from the prior period, and the information is appropriate and complete in relation to our audit of the financial statements. We compared and reconciled the supplementary information to the underlying accounting records used to prepare the financial statements or to the financial statements themselves.

Restriction on Use

This information is intended solely for the use of the Board and management of the District and is not intended to be, and should not be, used by anyone other than these specified parties.

Very truly yours,



Badawi & Associates,
Certified Public Accountants
Oakland, California
November 24, 2015

Attachment A

To correct the amortization of deferred inflows of resources related to the District's pension plan.

200.190.10	Deferred outflows of resources	101,412.00	
220.190.10	Deferred outflows of resources	25,247.00	
300.190.10	Deferred outflows of resources	548,129.00	
320.190.10	Deferred outflows of resources	4,349.00	
600.190.10	Deferred outflows of resources	347,376.00	
620.190.10	Deferred outflows of resources	40,122.00	
200.00.00.000.1.199	Pension expense - contra		101,412.00
220.00.00.000.1.199	Pension expense - contra		25,247.00
300.00.00.000.1.199	Pension expense - contra		548,129.00
320.00.00.000.1.199	Pension expense - contra		4,349.00
600.00.00.000.1.199	Pension expense - contra		347,376.00
620.00.00.000.1.199	Pension expense - contra		40,122.00
Total		<u>1,066,635.00</u>	<u>1,066,635.00</u>

To correct the amortization of deferred outflows of resources related to the District's pension plan.

200.239.10	Deferred inflows of resources	107,805.00	
220.239.10	Deferred inflows of resources	26,838.00	
300.239.10	Deferred inflows of resources	582,688.00	
320.239.10	Deferred inflows of resources	4,623.00	
600.239.10	Deferred inflows of resources	369,278.00	
620.239.10	Deferred inflows of resources	42,652.00	
200.00.00.000.1.199	Pension expense - contra		107,805.00
220.00.00.000.1.199	Pension expense - contra		26,838.00
300.00.00.000.1.199	Pension expense - contra		582,688.00
320.00.00.000.1.199	Pension expense - contra		4,623.00
600.00.00.000.1.199	Pension expense - contra		369,278.00
620.00.00.000.1.199	Pension expense - contra		42,652.00
Total		<u>1,133,884.00</u>	<u>1,133,884.00</u>



Reference Engineering Services Manager	Type of Action Authorize Task Order	Board Meeting of December 15, 2015
Subject Authorize Task Order No. OC-19 to the Master Consulting Agreement with Carollo Engineers for Design Services for the DERWA Recycled Water Treatment Plant - Phase 2 (CIP 16-R014) and the DERWA Pump Station R1 - Phase 2 (CIP 16-R015)		
<input checked="" type="checkbox"/> Motion	<input type="checkbox"/> Minute Order	<input type="checkbox"/> Resolution
<input type="checkbox"/> Ordinance	<input type="checkbox"/> Informational	<input type="checkbox"/> Other
REPORT:	<input type="checkbox"/> Verbal	<input type="checkbox"/> Presentation
<input checked="" type="checkbox"/> Staff	D. McIntyre	<input type="checkbox"/> Board Member

Recommendation:

The Engineering Services Manager recommends the Board of Directors authorize, by Motion, the General Manager to execute Task Order No. OC-19 to the Master Consulting Agreement with Carollo Engineers in an amount not to exceed \$1,475,990 for design services for the DERWA Recycled Water Treatment Plant - Phase 2 (CIP 16-R014) and the DERWA Pump Station R1 - Phase 2 (CIP 16-R015).

Summary:

The DERWA members and the City of Pleasanton are expanding their recycled water distribution systems and will exceed the available recycled treatment capacity within two years. To address the treatment capacity shortfall, DERWA completed a draft Recycled Water Treatment Facilities Plan which presented alternatives and estimated costs for expanding the DERWA Recycled Water Treatment Plant and Pump Station R1 (RWTF). The preferred alternative includes adding a flocculating clarifier and additional tertiary influent pumps, ultraviolet disinfection, and Pump Station R1 pumps.

To assure that the RWTF is constructed in time to meet the projected demand, DSRSD, on behalf of DERWA, issued a Request for Proposals (RFP) for engineering design and optional construction management services for the RWTF expansion. The RFP was sent to five engineering consulting firms. Proposals were received from two firms, West Yost Associates and Carollo Engineers. Carollo Engineers was selected.

Staff requests the Board approve a Task Order with Carollo Engineers for the RWTF design in the amount of \$1,475,990. The Task Order with the associated scope of work and budget is attached. Upon completion of the design, if DSRSD staff is satisfied with Carollo’s performance and Carollo has staff available, DSRSD staff will request approval of a second Task Order with Carollo for construction management services.

The attached staff report addresses some related issues including the total project cost, future appropriations, and recycled water supply.

Committee Review			Legal Review	Staff Review		
COMMITTEE	DATE	RECOMMENDATION		ORIGINATOR	DEPARTMENT	REVIEWED BY
---	---	---	No	J. Zavadil	Engineering	
ATTACHMENTS <input type="checkbox"/> None						
<input type="checkbox"/> Resolution	<input type="checkbox"/> Minute Order	<input type="checkbox"/> Task Order	<input checked="" type="checkbox"/> Staff Report	<input type="checkbox"/> Ordinance		
<input checked="" type="checkbox"/> Cost \$1,475,990	<input checked="" type="checkbox"/> Funding Source A. Water Expansion (Fund 620) B.		Attachments to S&R 1. Task Order No. OC-19 2. 3.			

STAFF REPORT



District Board of Directors
December 15, 2015

AUTHORIZE TASK ORDER NO. OC-19 TO THE MASTER CONSULTING AGREEMENT WITH CAROLLO ENGINEERS FOR DESIGN SERVICES FOR THE DERWA RECYCLED WATER TREATMENT PLANT - PHASE 2 (CIP 16-R014) AND DERWA PUMP STATION R1 - PHASE 2 (CIP 16-R015)

DISCUSSION

Recycled Water Treatment Facility Capacity

The DERWA members and the City of Pleasanton are expanding their recycled water distribution systems and their recycled water demands are anticipated to exceed the available treatment capacity within two years. The recycled water maximum day demand was 6.5 mgd in 2015 and is projected to be 16.1 mgd by 2020. Although the design capacity of the DERWA Recycled Water Treatment Plant and Pump Station R1 (RWTF) is 9.7 mgd, the actual treatment capacity varies significantly based on the quality of the secondary effluent. Based on operational experience, the RWTF actual capacity is reduced to only 6.6 mgd. The additional filter currently being implemented on behalf of Pleasanton will increase the capacity to 7.9 mgd. This is significantly below the 16.1 mgd demand estimated in 2020.

To address the treatment capacity shortfall, DERWA completed a draft Recycled Water Treatment Facilities Plan which presented alternatives and estimated costs for expanding the RWTF. The DERWA members and the City of Pleasanton have met to discuss the Facilities Plan alternatives and cost share arrangements. The preferred alternative includes adding a flocculating clarifier and additional tertiary influent pumps, ultraviolet disinfection, and Pump Station R1 pumps.

Recycled Water Treatment Facility Design

To assure that the RWTF is constructed in time to meet the projected demand, DSRSD, on behalf of DERWA, issued a Request for Proposals (RFP) for engineering design and optional construction management services for the RWTF expansion. A quality based selection process consistent with District Purchasing Procedures was used to select a consultant. The RFP was sent to five engineering consulting firms. Proposals were received from two firms, West Yost Associates and Carollo Engineers, and were reviewed by the DERWA manager, DSRSD staff, and Pleasanton's recycled water consultant, Dave Requa. Carollo Engineers was selected based on the depth and breadth of their design team experience on similar projects and their knowledge of the RWTF.

Staff requests the Board approve a Task Order with Carollo Engineers for the RWTF design in the amount of \$1,475,990. The Task Order with the associated scope of work and budget is attached to the Summary and Recommendation. Upon completion of the design, if DSRSD staff is satisfied with Carollo's performance and Carollo has staff available, DSRSD staff will request approval of a second Task Order with Carollo for construction management services.

Funding

The planning level total project cost estimate for the RWTF expansion ranges from \$16,404,000 to \$19,880,000 depending on the need for an additional ultraviolet disinfection channel. A proposed cost-share based on the

current capacity rights and future demands is approximately DSRSD 50%, EBMUD 10%, and Pleasanton 40%. The exact allocation of costs is still under review.

The Capital Improvement Program Two-Year Budget for Fiscal Years Ending 2016 and 2017 included projects for the Recycled Water Treatment Plant and Pump Station R1 expansion. Based on the Facility Plan planning level cost and an approximate cost share, DSRSD's total cost for the RWTF expansion will range from \$8,349,000 to \$9,980,000. The current DSRSD budget for these projects is only \$7,600,180. Prior to construction of the RWTF Expansion, staff will review the Water Expansion Fund (Fund 620) cash flow and request Board approval for a CIP project budget increase.

Recycled Water Supply

The projected recycled water demands not only exceed the available treatment capacity but also exceed DERWA's available recycled water supply (i.e., Regional Wastewater Treatment Plant Facility secondary effluent). The WWTP secondary effluent in the summer months is approximately 9.5 mgd. Pleasanton will ultimately require 4.2 mgd of the supply for its recycled water program, leaving DERWA with approximately 5.3 mgd. DERWA, through the member agencies and in coordination with Pleasanton, is pursuing options for supplemental recycled water supplies.

RECOMMENDATION

The Engineering Services Manager recommends the Board of Directors authorize, by Motion, the General Manager to execute Task Order No. OC-19 to the Master Consulting Agreement with Carollo Engineers in an amount not to exceed \$1,475,990 for design services for the expansion of the DERWA Recycled Water Treatment Plant and Pump Station R1 (RWTF).

Carollo Engineers, Inc.
Task Order No. OC-19 to Agreement dated March 26, 2013

Issue Date:	December 7, 2015
Project Name and Number:	DERWA Recycled Water Facility – Phase 2 (CIP 16-R014) DERWA Pump Station 1 – Phase 2 (CIP 16-R015)
Task Title:	DERWA Recycled Water Facility and Pump Station 1 Design
Project Manager Name & Signature:	Judy Zavadil _____
Source of Funds:	Water Expansion (Fund 620)
Board Review Committee:	Board
Account Number:	16-R014.design.cip (85% of all tasks except 4.1b and 4.2b) 16-R015.design.cip (15% of all tasks and 100% of Tasks 4.1b and 4.2b)
Authorization Amount:	\$1,475,990
Purchase Order Number:	TBD
Return Purchase Order to:	Evita Schnupp
Compensation Method:	Time and materials as per Agreement
Completion Date:	March 31, 2017
Insurance Requirements:	As per Agreement; no special requirements
Work Product:	See Attachment “A”
Digital Drawings, if applicable:	Digital files shall be in AutoCAD 2010 or higher drawing format. Drawing units shall be decimal with a precision of 0.00. Angles shall be in decimal degrees with a precision of 0. All objects and entities in layers shall be colored by layer. All layers shall be named in English. Abbreviations are acceptable. All submitted map drawings shall use the Global Coordinate system of USA, California, NAD 83 California State Planes, Zone III, U. S. foot.
Scope of Work:	See Attachment “A”
Economic Disclosure:	<input type="checkbox"/> Required – Need to include Attachment B <input checked="" type="checkbox"/> Not Required
Recommended by:	Dan McIntyre (_____)

Accepted by:	_____	_____
	Paul Friedlander, Associate Vice President Carollo Engineers, Inc.	Date

Accepted by:	_____	_____
	Lou Carella, Executive Vice President Carollo Engineers, Inc.	Date

Authorized by:	_____	_____
	John Archer, General Manager Dublin San Ramon Services District	Date

DUBLIN SAN RAMON SERVICES DISTRICT
DERWA RECYCLED WATER PLANT EXPANSION
DESIGN SCOPE OF SERVICES

TASK 1.0 - PROJECT MANAGEMENT

Task 1.1 – Prepare Project Work Plan

Carollo will prepare a project Work Plan to serve as a communication tool for all team members. The Work Plan will include: information on project team, including team member contact information; a detailed scope of work; a project schedule including deliverables and meeting dates; and the project budget.

Task 1.2 – Attend Meetings

Carollo will attend, prepare agendas, and write meeting minutes for four meetings related to the project design during the course of this project to solicit input and decisions from the District. Meetings included in this task are:

- Ballasted flocculation workshop.
- Kickoff meeting.
- Two workshop meetings with District staff during the 50 percent and 100 percent design phases with District engineering, operations and maintenance staff.
- Two additional meetings for environmental documentation are included in Task 2 and two additional design review meetings are included in Task 3.

Task 1.3 – Project Coordination, Tracking and Status

Carollo will provide project administration and management necessary to perform planning, execution, monitoring, and reporting. Work included under this task includes:

- Perform coordination with District and Consultant team members.
- Provide budget and schedule tracking
- Prepare monthly invoices and project status reports

Task 1.4 – QA/QC

Carollo will conduct internal quality assurance and quality control reviews of each project deliverable. At the 100 percent deliverable level, Carollo will conduct a detailed, independent check of the design documents using company technical experts unrelated to the design team.

Under this task, Carollo's subconsultant, The Covello Group (Covello) will provide design support services. Covello will attend meetings with the District and Carollo's design team and provide support to Carollo in developing construction sequencing for the project.

Task 1 Deliverables:

- Project work plan.
- Meeting agendas and minutes.
- Invoices and monthly progress letter reports.
- Project schedule updates.

Task 1 Assumptions:

- 12 month design and bid period duration.

TASK 2.0 – ENVIRONMENTAL DOCUMENTATION

Carollo's subconsultant, Vinnedge Environmental Consulting (Vinnedge), will provide both CEQA and NEPA documentation for the project. The District anticipates that an addendum to the 1996 FEIR can be prepared to satisfy the CEQA requirements and an Environmental Assessment will be required to meet NEPA requirements. As the CEQA lead agency, DERWA will need to complete and approve an addendum to the 1996 FEIR SRVRWP prior to construction.

Task 2.1 – Prepare CEQA Addendum: Project Description

Vinnedge, with assistance from Carollo Engineers and the District, will prepare a “high resolution” project description that describes construction methods, trench depths, amount of over-excavation, impacted soil units, etc. The consultant team will then incorporate comments on this high resolution project description into the NEPA/CEQA compliance documents (Task 2.2 and Tasks 2.4 - 2.9).

Task 2.2 – Prepare CEQA Addendum: Prepare Draft Addendum to the 1996 EIR and 2003 Addendum

Vinnedge will prepare an administrative draft (AD) Addendum to the Final 1996 EIR that will be at a level of detail sufficient to meet CEQA requirements. The draft Addendum will be based primarily on information in the 1996 EIR and a 2003 Addendum prepared by Parsons for the construction of DERWA's Recycled Water Treatment Plant and Pump Station R1, but will be updated to reflect changes in the project design and environmental conditions. As the CEQA lead agency, DERWA will need to complete and approve an addendum to the SRVRP 1996 EIR analyzing changes to the proposed action prior to construction. This proposal anticipates that document would be a CEQA Addendum.

The AD Addendum will be based on the project description developed under Task 2.1 and will provide new mitigation measures, if necessary, to reflect changes in the project design and environmental conditions that were described in the 1996 EIR. The AD Addendum will be submitted to the District for review and comment.

Task 2.3 – Prepare CEQA Addendum: Prepare Final Addendum to the 1996 EIR and 2003 Addendum

Vinnedge will respond to District comments on the AD Addendum. The Addendum will be revised based on comments received from the District and prepare Final Addendum for DERWA adoption.

Task 2.4 – Prepare NEPA Environmental Assessment: Prepare Administrative Draft EA

For the purposes of the scope, it has been assumed that BOR requires preparation of EA/FONSI to satisfy NEPA compliance. If, after completion of Task 2.1 we determine a Categorical Exclusion is appropriate, then this scope and budget will be amended accordingly.

Under this task, Vinnedge will prepare a complete Administrative Draft Environmental Assessment (EA) for BOR review based on the project description provided as part of Task 2.1. For budgeting purposes, it is assumed that only the Proposed Action and a No-Action Alternative will be considered in detail in the EA. This subtask includes time for two team members to meet with BOR to review their comments on the draft deliverable.

Task 2.5 – Prepare NEPA Environmental Assessment: Prepare Screen Check Draft EA

Comments received from BOR on the Administrative Draft EA will be incorporated into a Screen Check Draft EA. The purpose of this draft of the EA is to provide BOR with an opportunity to review changes made as a result of their comments, and to ensure that the document is ready to be printed for public distribution. This deliverable will include an Adobe PDF file showing track changes to the Administrative Draft EA and a clean MS Word file.

Task 2.6 – Prepare NEPA Environmental Assessment: Prepare Public Draft EA

Minor comments on the Screen Check Draft EA will be incorporated into a Public Draft EA. For budgeting purposes, it is assumed that up to 10 hard copies and 50 CDs of the Public Draft EA will be made available to interested parties, and that the EA will be no longer than 100 pages, including appendices.

Task 2.7 – Prepare NEPA Environmental Assessment: Prepare Responses to Comments Document and Administrative Final EA

Vinnedge will respond to all substantive comments on the Public Draft EA and revise the EA analysis in response to those comments, as appropriate. Comments received during scoping will be entered into a tracking matrix (Microsoft Excel based spreadsheet) which will be used to organize and streamline the comment response process. The consultant team will work closely with BOR to determine the most appropriate method for responding to comments on the EA, depending on the nature and volume of comments. For budgeting purposes, it is assumed that no more than 10 comment letters will be received on the EA, and that comments will not result in new, substantive analyses.

Task 2.8 – Prepare NEPA Environmental Assessment (EA): Prepare Final EA

Vinnedge will incorporate any comments from BOR into the Final EA. A response to all substantive comments will be included in this document.

Task 2.9 – Prepare NEPA Environmental Assessment (EA): Decision Notice and Finding of No Significant Impact

Vinnedge will prepare a draft Decision Notice/FONSI for review by BOR. The Decision Notice/FONSI will summarize the project proposal and statement of purpose and need; any mitigation measures incorporated into the proposal to minimize impacts; scoping and public outreach efforts completed during development of the EA; alternatives considered and the reasons they were not selected; a decision statement on which, if any, alternative will be implemented; and a description of why the project would not have a significant effect on the natural or human environment.

Task 2.10 – NHPA Section 106 Compliance

Under this task, archaeologists from Tom Origer & Associates will work closely with BOR archaeologist in developing the vertical and horizontal area of potential effect (APE) for the proposed project. The draft APE will be submitted to BOR for review and comment. If necessary, Tom Origer & Associates representative will attend a meeting at BOR to discuss the APE and any project related questions or concerns BOR may have at that time.

We will request a records search at the Northwest Information Center (NWIC) located at Sonoma State University, Rohnert Park. The record search will include a review of the National Register of Historic Places (NRHP), the California Register of Historical Resources, the California Inventory of Historic Resources (1976), the California Historical Landmarks (1996), the California Points of Historical Interest listing (May 1992 and updates), the Historic Property Data File (OHP current computer list, 2006), GLO Plat maps, and other pertinent historic data.

We will prepare a letter requesting the Native American Heritage Commission (NAHC) search their Sacred Lands File and provide recommendations on any Native American concerns. We will also request a list of interested Native American tribal members who may have additional information about the project area. On the basis of information received from the NAHC, a letter will be sent to specific tribal entities requesting additional information from them about the proposed project area.

If necessary, a qualified archaeologist will conduct a pedestrian field survey for the proposed project area. In addition to examining the ground surface for prehistoric resources, the archaeologist will also examine the buildings and structures within the APE to determine if they have the potential for listing on the National Register of Historic Places. If cultural resource sites or resources are found within the project area then we will complete the required Department of Parks and Recreation (DPR) site forms.

This task also includes preparation of a Section 106 Report that meets BOR standards and requirements for SHPO submittal and concurrence with the findings. In brief, the report will contain the record search results, Native American tribal consultation results, the field survey methods and results, and pertinent information on any identified sites. In addition, the completed DPR forms and photographs will be included in the report appendices. Finally, recommendations for further study or Phase II sub-surface testing and/or Phase III sub-surface testing / data recovery will be provided. The report will meet Office of Historic Preservation (OHP) standards and will include the tenets found in the Archaeological Resource Management Report (ARMR) format.

This task includes time and budget to produce two hard copy documents of any required project reports for BOR files.

Task 2 Deliverables:

- Draft and final high resolution project description.
- Administrative Draft CEQA Addendum to the 1996 EIR.
- Response to comments on Administrative Draft Addendum.
- Final CEQA Addendum to the 1996 EIR.
- NEPA Administrative Draft Environmental Assessment (EA) (electronic deliverable).
- Response to comments on Administrative Draft EA.
- NEPA Screen Check Draft EA (electronic deliverable).
- NEPA Public Draft EA (10 hard copies, 10 CDs, and a compiled Adobe PDF file).
- Response to Comments Tracking Matrix addressing comments on NEPA Public Draft EA.
- NEPA Final EA (electronic deliverable).
- Final EA (10 hard copies, 10 CDs, and a compiled Adobe PDF file).
- Draft Decision Notice and FONSI (electronic deliverable).
- Memorandum outlining NEPA approach.

Task 2 Assumptions:

- An Addendum to the 1996 Program EIR will satisfy the SWRCB CEQA-Plus requirements. If the SWRCB requires preparation of a different CEQA document, then this scope will be revised accordingly.
- The ADEA/Addendum will only consider the effects of the proposed project in detail (no other project alternatives, including a "no-project" alternative). As necessary, other alternatives considered during development of the proposed project will be described in the alternatives chapter of the document.
- District will be the State Lead Agency for CEQA compliance and Bureau of Reclamation (BOR) will be the federal Lead Agency for NEPA.
- The District will provide the consultant with all necessary engineering drawings and project plans and all figures necessary to complete a project description.
- No cultural resource sites or resources will be found within the RWTF and no formal building evaluation will be recorded.
- If there are any significant changes to the proposed project, then this scope and cost will be revised accordingly.

TASK 3.0 – PRELIMINARY DESIGN

The purpose of this task is to develop the preliminary design report (PDR) detailing various aspects of the ballasted flocculation process.

Task 3.1 – Draft PDR

Develop a draft of the preliminary design report for review by the District team. Submit a standard comment log along with the draft PDR for the District team's use when reviewing the PDR document. The PDR will include a process flow diagram, hydraulic profile, general process layout drawings (provided by the manufacturer), and a conceptual facility layout model.

Task 3.2 – Final PDR

Revise the draft PDR incorporating the District team's comments as appropriate. Assemble direct responses to the District team's comments in the comment log and submit the log, with responses, concurrently with the Final PDR.

Task 3 Deliverables:

- Draft PDR
- Comment Log Template for Owner use
- Final PDR
- Comment Log with Consultant responses to Owner comments

TASK 4.0 – DESIGN PHASE

The purpose of this task is to provide design engineering services to prepare complete, biddable contract documents for the project. For this scope we have assumed design of the following key elements will be provided:

- Two new tertiary influent pumps at existing Tertiary Influent Pump Station.
- A two-train flocculating clarifier facility upstream of the continuous backwash filters and addition of flocculating clarifier support equipment.
- Expansion of existing UV channels to add two new modules per bank (additional scope needed to design the third UV channel is covered in Task 4.0A below).
- Two new pumps at existing Pump Station R1 and addition of a surge tank.

Task 4.1 – Prepare 50 Percent Design Submittal

Carollo will prepare a 50 percent design submittal including drawings, technical specifications, construction cost estimate, and construction schedule for review by District. The 50 percent design submittal shall be presented to District staff at a 50 percent design review meeting prior to review by District staff. A two week review period by District staff will be provided. The 50 percent design submittal will include established design criteria; process analyses; equipment type, material, size and location; and process and instrumentation diagrams. The 50 percent design submittal shall also include construction sequencing and constraints as required to maintain operations during construction.

Task 4.2 – Prepare 100 Percent Design Submittal

Carollo will prepare a 100 percent design submittal including final drawings, technical specifications, construction cost estimate, and construction schedule for review by District. The 100 percent design submittal shall incorporate District 50 percent review comments along with

an explanation as to how the 50 percent design submittal comments have been addressed. The 100 percent design submittal shall also include contract documents outlining construction sequencing and constraints to be conveyed to the Contractor as required to maintain operations as needed during construction. Carollo will return a redlined hard copy of the District's Division 0 and 1 specifications to the District for electronic editing with the 100 percent submittal. The 100 percent design submittal will be presented to District staff at a 100 percent design review meeting prior to review by District staff. A two week review period by District staff will be provided. The 100 percent design submittal will be fully complete and in biddable condition.

Task 4.3 – Prepare Final Design/Bid Documents Submittal

Carollo will prepare Final Design/Bid Documents including drawings and technical specifications. A final construction cost estimate and construction schedule will be submitted to the District. The completed design will allow for public bidding and construction of the proposed project. Design drawings will be prepared under the supervision of, and stamped and signed by, a California Registered Professional Engineer of the applicable discipline. The final design/bid documents shall incorporate District 100 percent review comments along with an explanation as to how the 100 percent design submittal comments have been addressed.

Task 4 Deliverables:

- Five (5) hard copies and one (1) electronic (PDF) copy of the 50 percent submittal
- Response to comments on the 50 percent submittal.
- Five (5) hard copies and one (1) electronic (PDF) copy of the 100 percent submittal
- Response to comments on the 100 percent submittal.
- Final Design/Bid Documents including:
- One (1) reproducible hard copy and one (1) electronic (PDF) copy of half size drawings, full size drawings, and unbound technical specifications for reproduction by District staff.
- One (1) reproducible hard copy and one (1) electronic (PDF) copy of the final construction cost estimate and construction schedule.
- Electronic files in native format including drawings in AutoCAD (version 12 or higher), specifications in Microsoft Word, construction cost estimate in Microsoft Excel and construction schedule in Microsoft Project.

Task 4 Assumptions:

- The District will provide Carollo a collated set of review comments from its review of each deliverable. The District's review comments will be provided in Microsoft Excel format to enable Carollo to prepare written responses to each comment.
- Drawings will be prepared according to Carollo drawing standards.
- The District will complete project financing in-house or through a separate consultant.
- Geotechnical recommendations will be made based on the 2003 Geotechnical Investigation Report and Addendum No. 1 prepared by DCM/Joyal Engineering in 2003 for the DERWA Recycled Water Treatment Facilities project. The recommendations will be

contained in a technical memorandum and will not include any additional subsurface field investigations.

TASK 4.0A – PREPARE FINAL DESIGN FOR THIRD UV CHANNEL

The purpose of this task is to provide design engineering services to prepare complete, biddable contract documents for the project for the addition of a 3rd UV channel. The RFP requested that the consultant include both UV alternatives, design of the addition of 2 additional modules in each UV bank and design of a 3rd UV channel, in the scope work and fee estimate pending the results of a bioassay currently being conducted. If the District determines a 3rd UV channel needs to be constructed, the following optional scope of work and associated fee would be added to the contract. For this scope we have assumed design of the following key elements will be provided:

- Addition of a third UV channel with UV equipment similar in design to the existing UV disinfection system.

Task 4.1A – Prepare 50 Percent Design Submittal

Carollo will prepare a 50 percent design submittal as described under Task 4.1 above.

Task 4.2A – Prepare 100 Percent Design Submittal

Carollo will prepare a 100 percent design submittal as described under Task 4.2 above.

Task 4.3A – Prepare Final Design/Bid Documents Submittal

Carollo will prepare Final Design/Bid Documents as described under Task 4.3 above.

Task 4.0A Deliverables:

As described under Task 4, above.

Task 4.0A Assumptions:

As described under Task 4, above.

TASK 4.0B – DESIGN SEISMIC UPGRADES OF EXISTING FILTER PIPING

The purpose of this task is to design seismic upgrades to the District's existing filter piping to meet current seismic bracing requirements.

Task 4.1B – Design Seismic Upgrades of Existing Filter Piping

Carollo will prepare the required drawings and specifications to include seismic upgraded work on the District's existing filter piping in the project contract documents.

Task 4.0B Deliverables:

As described under Task 4, above.

Task 4.0B Assumptions:

As described under Task 4, above.

TASK 4.0C BALLASTED FLOCCULATION PROCUREMENT DOCUMENTS

Based on equipment procurement decisions made during development of Task 3, procurement documents will be developed to competitively bid, pre-select, or sole-source the ballasted flocculation mechanical equipment.

Task 4.1C – Prepare Draft Procurement Documents

Develop draft Procurement Documents for review by the District team. The Procurement Documents will include the technical specifications as required to procure the equipment through the selected procurement method. The Procurement Documents will also include basic Process and Instrumentation Diagrams (P&ID's) as well as Mechanical Drawings to delineate the Manufacturer and Contractor scope of supply. A standard comment log will be submitted along with the draft Procurement Documents for the Owner's use when reviewing the document.

Task 4.2C – Prepare Final Procurement Documents

Revise the draft Procurement Documents, as needed, to comply with the District team's comments to produce a 'bid ready' set of technical documents. Assemble direct responses to the District team's comments in the comment log and submit the log, with responses, concurrently with the Final Procurement Documents.

Task 4.0C Deliverables:

- Draft Procurement Documents
- Comment Log Template for Owner use
- Final Procurement Documents
- Comment Log with Consultant responses to Owner comments

Task 4.0C Assumptions:

District will provide their standard Division 0 Procurement Documents for inclusion with the technical specifications.

TASK 4.0D CONSTRUCTABILITY REVIEW

Covello will review the 50 percent and 100 percent design submittal documents for:

- Conflicts, omissions and ambiguities between the plans and specifications
- Completeness of the bidding documents
- Coordination between the design disciplines
- Constructability of the project's facilities
- Potential claim areas
- District operations access and operational considerations

- Contractor license requirements and qualifications: Covello will provide recommendation on Contractor's experience criteria. This scope item does not include a prequalification process.
- Sequence & Constraints: Covello will review and provide comments on the construction sequencing, constraints and shutdowns initially developed by the District and Design Consultant.
- Review of electrical design aspects of the project will be primarily provided by Todd Beecher, Beecher Engineering.

Our review will focus on areas that can commonly be the cause of difficulties in the construction of treatment plant projects. The review will not include a comprehensive review of all technical specifications or plans but will concentrate on the areas where our past experience has shown the greatest potential for value is generally found. The review will not include a review for building code compliance, design peer review, design plan check or value engineering. We also do not guarantee that all deficiencies in the bidding documents will be found during the review. The main purpose of a review is to mitigate potential costly problems and changes during construction. The review can reduce and minimize contractual disputes that often arise during the actual construction of the project. Elimination of changes, design errors or omissions, and conflicts in the design is never completely achieved.

Review of developed opinion of probable costs to ensure costs are reflective of all work required by the Contract, including level of effort to meet the stipulated construction durations and suggested sequencing.

TASK 5.0 – BID PHASE SERVICES

Task 5.1 – Pre-bid Meeting and Site Walk-Through

Carollo will attend one pre-bid meeting and site walk-through for the prospective bidders on the District's behalf.

Task 5.2 – Preparation of Addenda

Carollo will prepare all necessary addenda to the contract documents and issue the addenda in electronic format (.PDF files) to the District for distribution to the plan holders.

Task 5.3 – Respond to Bidder's Questions

During the bid period, Carollo will respond to questions from prospective bidders and provide verbal or written response as appropriate. Verbal or written questions requiring clarification of the contract documents will be resolved by issuing addenda.

Task 5.4 – Evaluation of Bids Received

Carollo will confer with District staff to assess the bids and decide on a recommendation to award the construction contract.

Task 5.5 – Preparation of Conformed Drawings

Upon issuance of the notice of award by District staff, Carollo will prepare conformed drawings and specifications by incorporating all changes by addenda into the final documents for construction.

Task 5 Deliverables:

- Addenda in PDF format for District distribution.
- Response to bidder questions in email format.
- Conformed drawings and specifications, including:
- One (1) half size reproducible hard copy and one (1) electronic (PDF) copy of half size drawings, full size drawings, and unbound technical specifications for reproduction by District staff.

Task 5 Assumptions:

District will not prequalify bidders.

It is assumed the District will complete the following tasks:

- Manage printing, advertisement, and sale of contract documents during the bid period.
- Issue bid packages to prospect bidders and maintain bid tabs.
- Answer procedural questions from prospective bidders.
- Distribute addenda to prospective bidders.
- Open and tabulate bids.
- Issue Notice of Award and Notice to Proceed to successful bidders.

LABOR AND BUDGET ESTIMATE 12-3-15

DUBLIN SAN RAMON SERVICES DISTRICT
DERWA RECYCLED WATER PLANT EXPANSION



Task	Task Description	SP \$257	LPP \$236	PP \$216	P \$182	AP \$159	CAD \$143	WP \$106	Carollo Hours	Carollo Labor Cost	Subconsultant Costs				Other Direct Costs (ODC)				Total Cost			
											Vinnedge	DCM	Covello	Survey and Surge Analysis	Subs Total	PECE \$11.70	Printing	Mileage		ODC Total		
																		Trips			Amount	
1.0	Project Management																					
	1.1 Prepare Project Work Plan	4	8	0	28	0	0	0	40	\$8,012	\$0	\$0	\$0	\$0	\$0	\$468	\$0	0	\$0	\$468	\$8,480	
	1.2 Attend Meetings (Ballasted flocculation + kickoff + 2 design workshops)	32	56	16	32	0	8	4	148	\$32,288	\$0	\$0	\$0	\$0	\$0	\$1,732	\$0	3	\$86	\$1,818	\$34,106	
	1.3 Project Coordination, Tracking and Status (Design Phase)	48	48	0	0	0	0	0	96	\$23,664	\$0	\$0	\$0	\$0	\$0	\$1,123	\$0	0	\$0	\$1,123	\$24,787	
	1.4 QA/QC	97	121	24	0	0	0	0	242	\$58,612	\$0	\$0	\$10,824	\$0	\$10,824	\$2,829	\$0	0	\$0	\$2,829	\$72,265	
	Task 1.0 Totals =	181	233	40	60	0	8	4	526	\$122,576	\$0	\$0	\$10,824	\$0	\$10,824	\$6,152	\$0	3	\$86	\$6,238	\$139,638	
2.0	Environmental Documentation																					
	2.1 Prepare CEQA Addendum: Project Description	4	8	8	0	0	4	0	24	\$5,216	\$2,981	\$0	\$0	\$0	\$2,981	\$281	\$0	1	\$29	\$310	\$9,507	
	2.2 Prepare CEQA Addendum: Draft Addendum	0	0	0	0	0	0	0	0	\$0	\$2,618	\$0	\$0	\$0	\$2,618	\$0	\$0	0	\$0	\$0	\$2,618	
	2.3 Prepare CEQA Addendum: Final Addendum	0	4	0	0	0	0	0	4	\$944	\$913	\$0	\$0	\$0	\$913	\$47	\$0	1	\$29	\$76	\$1,933	
	2.4 Prepare NEPA Env. Assessment: Administrative Draft EA	0	4	0	0	0	0	0	4	\$944	\$6,100	\$0	\$0	\$0	\$6,100	\$47	\$0	0	\$0	\$47	\$7,090	
	2.5 Prepare NEPA Env. Assessment: Screen Check Draft	0	1	0	0	0	0	0	1	\$236	\$1,375	\$0	\$0	\$0	\$1,375	\$12	\$0	0	\$0	\$12	\$1,623	
	2.6 Prepare NEPA Env. Assessment: Public Draft EA	0	1	0	0	0	0	0	1	\$236	\$1,650	\$0	\$0	\$0	\$1,650	\$12	\$0	0	\$0	\$12	\$1,898	
	2.7 Prepare NEPA Env. Assessment: Responses to Comments	0	1	0	0	0	0	0	1	\$236	\$1,375	\$0	\$0	\$0	\$1,375	\$12	\$0	0	\$0	\$12	\$1,623	
	2.8 Prepare NEPA Env. Assessment: Final EA	0	2	0	0	0	0	0	2	\$472	\$1,980	\$0	\$0	\$0	\$1,980	\$23	\$0	0	\$0	\$23	\$2,475	
	2.9 Prepare NEPA Env. Assessment: Decision Notice and Finding of No Significant Impact	0	1	0	0	0	0	0	1	\$236	\$1,100	\$0	\$0	\$0	\$1,100	\$12	\$0	0	\$0	\$12	\$1,348	
	2.10 NHPA Section 106 Compliance	0	2	0	0	0	0	0	2	\$472	\$517	\$0	\$0	\$0	\$517	\$23	\$0	0	\$0	\$23	\$1,012	
	Task 2.0 Totals =	4	24	8	0	0	4	0	40	\$8,992	\$20,609	\$0	\$0	\$0	\$20,609	\$468	\$0	2	\$58	\$526	\$30,126	
3.0	Ballasted Flocculation Preliminary Design																					
	3.1 Prepare draft Preliminary Design Report	24	60	60	0	0	12	8	164	\$35,852	\$0	\$0	\$0	\$0	\$0	\$1,919	\$1,000	1	\$29	\$2,948	\$38,800	
	3.2 Prepare final Preliminary Design Report	8	16	16	0	0	0	4	44	\$9,712	\$0	\$0	\$0	\$0	\$0	\$515	\$500	0	\$0	\$1,015	\$10,727	
	Task 3.0 Totals =	32	76	76	0	0	12	12	208	\$45,564	\$0	\$0	\$0	\$0	\$0	\$2,434	\$1,500	1	\$29	\$3,962	\$49,526	
4.0	Prepare Final Design (Without 3rd UV Channel)																					
	4.1 Prepare 50 Percent Design Submittal - except PSR1	90	300	454	300	150	535	56	1,885	\$352,885	\$0	\$14,025	\$0	\$16,500	\$30,525	\$22,055	\$1,000	1	\$29	\$23,083	\$406,493	
	4.1 Prepare 50 Percent Design Submittal - PSR1	10	30	40	30	14	40	6	170	\$32,332	\$0	\$0	\$0	\$0	\$0	\$1,989	\$0	0	\$0	\$1,989	\$34,321	
	4.2 Prepare 100 Percent Design Submittal - except PSR1	102	335	520	340	170	580	60	2,107	\$395,804	\$0	\$0	\$0	\$0	\$0	\$24,652	\$1,000	1	\$29	\$25,681	\$421,485	
	4.2 Prepare 100 Percent Design Submittal - PSR1	12	30	28	25	14	60	8	177	\$32,416	\$0	\$0	\$0	\$0	\$0	\$2,071	\$0	0	\$0	\$2,071	\$34,487	
	4.3 Prepare Final Design/Bid Documents Submittal	10	36	54	36	18	64	6	224	\$41,933	\$0	\$0	\$0	\$0	\$0	\$2,621	\$500	0	\$0	\$3,121	\$45,054	
	Task 4.0 Totals =	224	731	1,096	731	366	1,279	136	4,563	\$855,370	\$0	\$14,025	\$0	\$16,500	\$30,525	\$53,387	\$2,500	2	\$58	\$55,945	\$941,840	
4.0A	Prepare Additional Final Design for 3rd UV Channel																					
	4.1A Prepare 50 Percent Design Submittal	13	42	64	42	21	74	8	265	\$49,700	\$0	\$0	\$0	\$0	\$0	\$3,101	\$200	0	\$0	\$3,301	\$53,001	
	4.2A Prepare 100 Percent Design Submittal	15	47	71	47	24	82	9	295	\$55,222	\$0	\$0	\$0	\$0	\$0	\$3,446	\$200	0	\$0	\$3,646	\$58,867	
	4.3A Prepare Final Design/Bid Documents Submittal	1	5	7	5	2	8	1	29	\$5,522	\$0	\$0	\$0	\$0	\$0	\$345	\$200	0	\$0	\$545	\$6,067	
	Task 4.0A Totals =	29	94	141	94	47	165	18	0	\$110,443	\$0	\$0	\$0	\$0	\$0	\$6,891	\$600	0	\$0	\$7,491	\$117,935	
4.0B	Design Seismic Upgrades of Existing Filter Piping																					
	4.1B Design Seismic Upgrades of Existing Filter Piping	8	16	64	32	0	80	4	204	\$37,344	\$0	\$0	\$0	\$0	\$0	\$2,387	\$0	0	\$0	\$2,387	\$39,731	
	Task 4.0B Totals =	8	16	64	32	0	80	4	204	\$37,344	\$0	\$0	\$0	\$0	\$0	\$2,387	\$0	0	\$0	\$2,387	\$39,731	
4.0C	Ballasted Flocculation Procurement Documents																					
	4.1C Prepare draft Procurement Documents	8	16	0	40	24	24	8	120	\$21,208	\$0	\$0	\$0	\$0	\$0	\$1,404	\$1,000	1	\$29	\$2,433	\$23,641	
	4.2C Prepare final Procurement Documents	6	0	6	16	0	12	4	44	\$7,890	\$0	\$0	\$0	\$0	\$0	\$515	\$500	0	\$0	\$1,015	\$8,905	
	Task 3.0 Totals =	14	16	6	56	24	36	12	164	\$29,098	\$0	\$0	\$0	\$0	\$0	\$1,919	\$1,500	1	\$29	\$3,448	\$32,546	
4.0D	Constructability Review																					
	4.1D Covello Constructability Review	12	24	16	16	0	0	0	68	\$15,116	\$0	\$0	\$49,555	\$0	\$49,555	\$796	\$0	0	\$0	\$796	\$65,467	
	Task 4.0C Totals =	12	24	16	16	0	0	0	68	\$15,116	\$0	\$0	\$49,555	\$0	\$49,555	\$796	\$0	0	\$0	\$796	\$65,467	
5.0	Bidding Services																					
	5.1 Pre-Bid Meeting and Site-Walk Through	6	6	0	0	0	0	0	12	\$2,958	\$0	\$0	\$0	\$0	\$0	\$140	\$0	1	\$29	\$169	\$3,127	
	5.2 Preparation of Addenda	4	16	16	24	0	16	4	80	\$15,340	\$0	\$0	\$0	\$0	\$0	\$936	\$0	0	\$0	\$936	\$16,276	
	5.3 Respond to Bidder's Questions	6	24	24	16	0	0	0	70	\$15,302	\$0	\$0	\$0	\$0	\$0	\$819	\$0	0	\$0	\$819	\$16,121	
	5.4 Evaluation of Bids Received	4	8	0	0	0	0	0	12	\$2,916	\$0	\$0	\$0	\$0	\$0	\$140	\$0	0	\$0	\$140	\$3,056	
	5.5 Preparation of Conformed Drawings	8	12	16	24	0	40	0	100	\$18,432	\$0	\$0	\$0	\$0	\$0	\$1,170	\$1,000	0	\$0	\$2,170	\$20,602	
	Task 5.0 Totals =	28	66	56	64	0	56	4	274	\$54,948	\$0	\$0	\$0	\$0	\$0	\$3,206	\$1,000	1	\$29	\$4,235	\$59,183	
Totals (Tasks 1-5)=		532	1,280	1,504	1,053	437	1,640	190	6,341	\$1,279,452	\$20,609	\$14,025	\$60,379	\$16,500	\$111,513	\$77,639	\$7,100	10	\$288	\$85,026	\$1,475,990	

Legend:
 SP Senior Professional
 LPP Lead Project Professional
 PP Project Professional
 P Professional
 AP Assistant Professional
 CAD CAD Technician/Graphics
 WP Word Processor

Subconsultants:
 Vinnedge - Vinnedge Environmental Consulting / Environmental
 DCM - DCM Consulting Inc. / Geotechnical Engineer
 Covello - The Covello Group / Design Support and Construction Management

PECE: Project Equipment and Communication Expense
 Mileage: Based on 50 Miles per Round Trip @ \$0.575/mile

This fee schedule is subject to annual revisions due to labor adjustments.



Reference Board President	Type of Action Approve Committees	Board Meeting of December 15, 2015
Subject Approve Board Committees for Calendar Year 2016		
<input checked="" type="checkbox"/> Motion	<input type="checkbox"/> Minute Order	<input type="checkbox"/> Resolution
<input type="checkbox"/> Ordinance	<input type="checkbox"/> Informational	<input type="checkbox"/> Other
REPORT:	<input checked="" type="checkbox"/> Verbal	<input type="checkbox"/> Presentation
	<input type="checkbox"/> Staff	D. Howard
		<input checked="" type="checkbox"/> Board Member

Recommendation:

The Board President requests the Board of Directors approve, by Motion, the 2016 Committee structure, assignments and Mission Statements as proposed in Attachments 1 and 2.

Summary:

Each year at the second meeting in December, the newly-selected Board President presents a recommendation for Boardmember assignments to the various Board committees; these traditionally become effective on January 1, 2016. The use of Board Committees is covered in Chapter 8 of Guidelines for Conducting Board Business (Attachment 3).

The proposed DSRSD 2016 Board Committee structure and assignments have been developed by the Board President and are presented as Attachment 1. The Board President is proposing the following adjusted Committee structure:

- Water Resources (formerly titled Technical Affairs);
- Finance and Personnel (Personnel matters formerly in Administrative Affairs); and
- External Affairs (Formerly part of Administrative Affairs).

The Administrative Affairs and Executive Committees are eliminated.

A proposed Mission Statement for each Committee is presented as Attachment 2. Liaison assignments would largely be the External Affairs Committee; JPA assignments (DERWA and LAVWMA) would consist of one member of the Water Resources Committee paired with another Boardmember. The 2015 Tri-Valley Water Policy Roundtable Committee would be eliminated.

Given that Committees only meet when a matter has been referred to the Committee by the full Board, and to further streamline administration and reduce costs, it is proposed that the Committees meet, when needed, on the afternoon of Board meeting dates (exact start time dependent on the complexity of the matter before the Committee).

In accordance with regulation 18705.5 of the Fair Political Practices Commission, Form 806 (Agency Report of Public Official Appointments) will be completed upon approval of formal Board Committee assignments.

Committee Review			Legal Review	Staff Review		
COMMITTEE ---	DATE ---	RECOMMENDATION ---	Not Required	ORIGINATOR Board President	DEPARTMENT Executive	REVIEWED BY
ATTACHMENTS <input type="checkbox"/> None						
<input type="checkbox"/> Resolution	<input type="checkbox"/> Minute Order	<input type="checkbox"/> Task Order	<input type="checkbox"/> Staff Report	<input type="checkbox"/> Ordinance		
<input checked="" type="checkbox"/> Cost \$0 per meeting if held on BOD dates, \$146 per meeting not on BOD dates	<input checked="" type="checkbox"/> Funding Source A. Administrative Overhead (Fund 900) B.		Attachments to S&R 1. 2016 Proposed Committee Structure and Assignments 2. 2016 Proposed Committee Mission Statements 3. Chapter 8 – Guidelines for Conducting District Business			

ASSIGNMENTS by COMMITTEE				
Committee/Agency	Member/Delegate	Member/Delegate	First Alternate	Additional Alternates
Water Resources	Duarte	Howard	Halket	Misheloff, Vonheeder-Leopold
Finance and Personnel	Halket	Misheloff	Vonheeder-Leopold	Duarte, Howard
External Affairs	Halket	Vonheeder-Leopold	Misheloff	Duarte, Howard
DERWA	Howard	Vonheeder-Leopold	Duarte	
LAVWMA	Duarte	Misheloff	Howard	

ASSIGNMENTS by BOARD MEMBER			
Board Member	Committee or JPA	Committee or JPA	First Alternate
Duarte	Water Resources	LAVWMA	DERWA
Halket	Finance and Personnel	External Affairs	Water Resources
Howard	Water Resources	DERWA	LAVWMA
Misheloff	Finance and Personnel	LAVWMA	External Affairs
Vonheeder-Leopold	External Affairs	DERWA	Finance and Personnel

WATER RESOURCES COMMITTEE	
Members:	Duarte, Howard
Alternates:	Halket (First); Misheloff, Vonheeder-Leopold
Committee Mission:	The mission of the Water Resources Committee is to provide policy level guidance for policies, programs, planning, projects, operations and regulatory compliance related to the District’s water, recycled water and wastewater services. This will also include all matters related to water resource planning. The Committee’s mission also includes representation of the District’s interests with DERWA and LAVWMA. The Committee will recommend new policies or changes to policies that are related to its mission. In addition, the Committee shall review and guide other matters that from time to time may be referred to it by the Board of Directors. The Committee shall also represent the District’s interest with stakeholders when those interests are directly aligned with the Committee’s mission including, but not limited to, residents in the neighborhoods adjacent to the Wastewater Treatment Plant as may be required.
Type of Committee:	Deliberative At least one member will be a LAVWMA Delegate - Duarte At least one member will be a DERWA Delegate – Howard

FINANCE and PERSONNEL COMMITTEE	
Members:	Halket, Misheloff
Alternates:	Vonheeder-Leopold (First); Duarte, Howard)
Committee Mission:	The mission of the Financial and Personnel Committee is to provide policy level guidance related to programs that focus on the District’s financial and personnel affairs. The Committee will recommend new policies, or changes to policies that are related to its mission. The Committee’s mission also includes policy level review of auditing, budgeting, rate-setting, risk management, financial acquisitions, debt management and programs that focus on the District’s personnel including labor relations, benefits and performance management. In addition, the Committee shall review and guide other matters that from time to time may be referred to it by the Board of Directors.
Type of Committee:	Deliberative

EXTERNAL AFFAIRS COMMITTEE	
Members:	Halket, Vonheeder-Leopold
Alternates:	Misheloff (First); Duarte, Howard
Committee Mission:	The mission of the External Affairs Committee is to provide policy level guidance related to programs that focus on the District's service to, relationship with, and communication with all of our customers including residents, businesses, schools, and major institutional customers. The Committee's mission also encompasses programs that focus on the District's service to, relationship with, and communication with our "contract" customers including Zone 7, the City of Pleasanton and any multi-agency efforts. The Committee's mission also includes matters that require a focused District involvement on external matters such as legislation and regulation at the local, regional, state and federal levels, the subject of which is not specifically covered by the Charter of another Committee. Finally, the Committee will provide policy level guidance on all openness and transparency matters. The Committee will recommend new policies, or changes to policies that are related to its mission. In addition, the External Affairs Committee shall review and guide other matters that from time to time may be referred to it by the Board of Directors.
Type of Committee:	Deliberative and Liaison Liaison to Cities of Dublin, San Ramon, Livermore, Pleasanton and school districts

CHAPTER
8

**Placing an Item on the
Board or Committee Agenda**



Items on the Board agenda originate from a variety of sources: the General Manager, deliberative committees, Directors, and the public.

PROCESSING MATTERS THAT REQUIRE BOARD ACTION

Any matter requiring board action will be presented initially to the board for consideration without committee review or involvement unless it is specifically identified as a matter that may be initially considered by a committee. When so presented, the board may:

- Consider the matter and render an appropriate decision (approve, disapprove).
- By motion, refer the matter to a board committee for deliberation, asking that committee to formulate a recommendation (in such cases, the maker of the motion should be as specific as possible as to exactly what question is being referred to the committee).

If a matter is referred to committee by the board, it will be handled in accordance with the normal process for handling committee items.

MATTERS THAT MAY BE CONSIDERED INITIALLY BY A COMMITTEE

The following matters may be considered by a committee without a formal referral from the board:

Development of committee charters

- Rates and fees (finance or related committee)
- Labor relations matters (personnel or related committee)
- District budgetary issues within the charter of a committee
- District operational issues within the charter of a committee
- Informational items requested by the committee
- Issues that a committee may wish to preliminarily explore ahead of formal consideration
- Issues that staff may wish to preliminarily explore with an appropriate committee ahead of formal consideration

ITEMS THAT ARE ALWAYS TO BE CONSIDERED BY THE BOARD WITHOUT COMMITTEE REVIEW

Several types of items are always considered by the board without committee review, regardless of their origin, because they are routine or because it is proper or legally required that only the full board consider them. The following items are always placed on a board agenda without committee review.

Administrative Matters

- Proclamations
- Warrant list
- Notifications (availability of ethics training, etc.)
- Board organizational matters (appointment of president or vice president)
- Appointment of committee

Board Oversight

- Performance evaluations (general manager, general counsel, treasurer, district secretary)
- Mandated reports (e.g., annual \$100 list of expenses, annual aged receivables report)
- Consideration of conference attendance/day of service payments to a director

Procedural Streamlining

- Second reading of an ordinance
- Rejection of routine claims
- Acceptance of projects
- Acceptance of developer improvements
- Intention to levy assessments in existing assessment districts (mandated notification step in anticipation of formal action later)

-
- Routine construction project awards (e.g., project approved, under budget, no bid irregularities, no contractor issues, no public concern)
 - Items accomplished within previous direction given by board (e.g., labor MOUs that conform to negotiating direction, revisions to items as previously directed by board)
 - Follow-up on action items previously presented in workshops for discussion

District-Wide Matters

- Items with over-arching policy implications (e.g., budgeting, strategic planning)⁴
- Items spanning the adopted charter of two or more committees
- General informational items that keep the board current on a matter or allow members to discuss the implications of continuing with a previously agreed upon course of action
- Presentations requested by the board
- Actions required by law (e.g., consolidation of district elections, amending conflict of interest codes)

Matters to Protect the District's Interest

- Personnel appeals (that are before the board and on which the board must be unbiased and rule on the record before them)
- Environmental review documents where the administrative record must be developed in front of the entire board

⁴ *Individual components may go to a committee whose charter covers that area.*

PLACING AN ITEM ON AN AGENDA

Items Originating from the General Manager

Many items on the agenda originate with the general manager and district staff. The following types of items are typical:

- Matters on which policy direction from the board is required.
- Items with over-arching policy implications (e.g., labor negotiations, budgeting, strategic planning).
- Actions required by law (e.g., consolidation of district elections, amending a Conflict of Interest Code).
- Intermediate actions in the overall implementation of a board-approved project or program (e.g., awarding construction contracts, considering an environmental review document).
- Discretionary decisions for which authority has not been delegated to the general manager.
- Informational items to keep the board current on a matter or to allow them to discuss the implications of continuing on a previously agreed course of action.
- Items with critical timing.
- Proclamations honoring special events or individuals.

Items Originating from or of Interest to a Director

A director may ask that a new item be placed on a future committee or board agenda during the board member Items portion of a board meeting or during the committee comments portion of a committee meeting. However, in such cases, the advance notice requirements of the Brown Act only allow the committee to decide to place such a matter on a future agenda; the matter cannot be discussed at the meeting at which it was first mentioned.

If the director originating an item wishes the item to be discussed by the committee or board when he/she brings it up, the director should contact the general manager to explain the issue so that the agenda can be properly prepared to allow discussion. The director who placed the item on the agenda is cited as the reference and is expected to lead the discussion.

For any matter referred to or being discussed by a committee, the committee shall report its findings back to the board and capture its deliberations in the notes prepared for the committee. If, after reviewing the notes prepared by the committee, a director wishes to have the matter discussed by the full board, he/she may state this request at a board meeting or notify the general manager. The general manager shall then notify the committee of the director's request and schedule the matter for discussion at the next reasonably available committee meeting. This process allows the committee to complete its work and respond to concerns raised. Following that committee meeting, the general manager shall place the matter on the board agenda for discussion at the next reasonably available board meeting.

Items Originating from the Public

During the public comment portion of a board or committee meeting, members of the public may ask to have an item placed on a future board or committee agenda. If the board or committee desires to have the item placed on a future agenda, it will direct the general manager to do so through a properly seconded and approved motion. The committee or board may not discuss the matter brought up by the public at the same meeting at which it is first mentioned.